

SECRETARY OF THE ARMY WASHINGTON

2 2 FEB 2023

MEMORANDUM FOR SEE DISTRIBUTION

SUBJECT: Army Directive 2023-03 (Army Adverse Information Program)

- 1. References. See references enclosed.
- 2. Purpose. Pursuant to the 2020 National Defense Authorization Act (NDAA), the requirements in references 1a and 1b were broadened to include pre-board adverse information screening for officers O-4 and above in the Regular Army (RA) and officers O-6 and above in the Reserve components. This directive updates and expands the Army Adverse Information Program (AAIP), the repository for adverse information resulting from administrative investigations conducted pursuant to Army Regulation (AR) 15–6, to comply with the new screening requirements.
- 3. Applicability. This directive applies to the RA, as well as the U.S. Army National Guard/Army National Guard of the United States and the U.S. Army Reserve (referred to collectively as the Reserve components).
- 4. Policy.
 - a. Army Regulation 15–6 is hereby amended as follows:
- (1) Substantiated adverse findings from administrative investigations must be filed in the AAIP database for all officers in the grade of O-1 and above.
- (2) Paragraph 3–19b is expanded to require adverse summaries against all officers O-1 and above to be filed in the AAIP and maintained in accordance with paragraph 3–19b.
- (3) Paragraph 4–3b is expanded to include all officers in the grade of O-1 and above.
- (4) The requirement to refer all potentially adverse information regarding an officer, currently limited to field grade officers in paragraph 2–8c, is expanded to include all officers in the grade of O-1 and above.
- (5) The right to respond to adverse information, currently afforded to field grade officers in paragraph 5–4a, is expanded to include all officers in the grade of O-1 and above. Nothing precludes approval authorities from extending the right to respond to

any individual who is the subject of adverse information. Only substantiated adverse information regarding officers in the grade of O-1 and above is required to be uploaded to the AAIP database.

- (6) The approval authority is responsible for ensuring any adverse information contained in an administrative investigation conducted pursuant to AR 15–6 meets the requirements of reference 1c, enclosure 4, paragraph 1a, prior to approval and is recorded in the AAIP database. The approval authority's Staff Judge Advocate or legal advisor is responsible for completing the administrative tasks necessary to record the information under the direction of the investigation approval authority.
- b. To comply with the new screening requirements, National Guard complex administrative investigations, conducted pursuant to reference 1e, with adverse findings against Army officers in the grade of O-1 and above will be uploaded to the AAIP database.
- c. AR 135–155 and AR 600–8–29 will be updated to reflect use of the AAIP database in the officer promotion process pursuant to references 1a–1c.
- d. AAIP entries will be recorded in accordance with reference 1f, paragraph 3–19(b).
- 5. Effective Date. The provisions of this directive are effective 30 calendar days from the date of signature and apply to all administrative investigations conducted pursuant to AR 15–6 approved on or after the effective date.
- 6. Proponent. The Judge Advocate General is the proponent for this policy and will ensure the provisions of this directive are incorporated into AR 15–6 within 2 years of the date of this directive. The Deputy Chief of Staff, G-1 will ensure its provisions are incorporated into AR 135–155 and AR 600–8–29 within 2 years of the date of this directive.

7. Duration. This directive is rescinded on publication of the revised Army regulations.

Christine E. Wormuth

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Encl

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REFERENCES

- a. Title 10, United States Code, section 615 (Information furnished to selection boards)
- b. Title 10, United States Code, section 14107 (Information furnished by the Secretary concerned to promotion boards)
- c. Department of Defense (DoD) Instruction 1320.04 (Military Officer Actions Requiring Presidential, Secretary of Defense, or Under Secretary of Defense for Personnel and Readiness Approval or Senate Confirmation), 3 January 2014, incorporating Change 1, effective 30 June 2020
- d. DoD Instruction 1320.14 (DoD Commissioned Officer Promotion Program Procedures), 16 December 2020
- e. Chief National Guard Bureau Manual (CNGBM) 0400.01A (National Guard Complex Administrative Investigations Procedures), 16 May 2018
- f. Army Regulation (AR) 15–6 (Procedures for Administrative Investigations and Boards of Officers), 1 April 2016
- g. AR 135–155 (Promotion of Commissioned Officers and Warrant Officers Other Than General Officers), 13 July 2004
- h. AR 600–8–29 (Officer Promotions), 9 September 2020
- i. AR 600–37 (Unfavorable Information), 2 October 2020
- j. Secretary of the Army memorandum (Pilot Program for Providing Adverse Information to Brigadier General and Major General Promotion Selection Boards), 21 July 2015
- k. Acting Secretary of the Army memorandum (Continuation of Pilot Program for Providing Adverse Information to Brigadier General and Major General Promotion Selection Boards), 1 August 2017