



TC 7-22.7

The Noncommissioned Officer Guide



JANUARY 2020

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HEADQUARTERS, DEPARTMENT OF THE ARMY

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Foreword

Throughout the history of the U.S. Army, the NCO has been its backbone. Our NCO corps is admired by our contemporaries around the world, and is an integral part of what has made our Army so successful throughout our 244 years of service to the Nation. The NCO Corps has made revolutionary changes in the past decade and continues to evolve to meet the emerging threats posed by our enemies. Grounded in our Oath to the Nation, and our rich history and heritage, the NCO corps is the vanguard for leading and training Soldiers at the crew, team, squad, section, and platoon level. Focusing on the basics with tough, realistic combat training, will ensure that in the crucible of ground combat, our Soldiers will be victorious.

In an era of persistent conflict, the Army has been the dominant land force, projecting combat power worldwide and defeating our enemies wherever they are found. For over 18 years, we have met the challenges of continuous combat operations and deployments in an ever-changing and complex environment. Time after time, our reputation as the premier land force in the world has been upheld and reaffirmed through the professionalism of the Soldiers in the finest Army the world has ever known. Now is the time to refocus our efforts on what makes us successful as a force, both now, and in the future. Focusing on our people, and how we train and equip them, prepares our units for the increasing challenges of large scale, multi-domain operations. To successfully lead our people in training and on the battlefield, we must know them, invest in them and manage their talents in a way that yields the strong, cohesive teams our Army requires to win.

The contents of this guide will serve as a tool in the kit bag of every NCO, enabling them to understand the requirements, regulations, and methods required to train our Soldiers. As Noncommissioned Officers, you are charged with the care, training, education and readiness of every Soldier in the U.S. Army. Your ability to coach, train and mentor competent Soldiers of character is the key to the success of our force. The Nation, our Officers and our Soldiers have placed great trust and confidence in the NCO Corps, and deserve nothing less than competent, confident, and trusted professionals to remain the world's premier land fighting force.

This We'll Defend! Backbone! Army Strong!

A handwritten signature in black ink, appearing to read 'Michael A. Grinston', with a stylized flourish at the end.

**Michael A. Grinston
16th Sergeant Major of the Army**

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Preface

This Department of the Army Training Circular (TC) is dedicated to the men and women of the U.S. Army NCO Corps, who have made the ultimate sacrifice and to NCOs presently serving in the Active Army, the Army National Guard, and the Army Reserve. The Soldiers will seek guidance, trust you to train them, and to develop them to win the nation's wars. As the standard-bearer, instill pride and strive to live the Army Values. You are "The Backbone of the Army."

Scope. The TC provides the Army's NCOs a guide for leading, supervising, and caring for Soldiers. While this guide is not all-inclusive, nor is it intended as a stand-alone document, it provides NCOs a quick and ready reference to refresh and develop leadership traits.

Interim Changes. None

Purpose: To use as a guide to develop an innovative, competent professional NCO. NCOs must learn to analyze and evaluate the operational environment to create and apply an understanding of the changing world that confronts them.

Distribution. Unlimited.

Applicability. The TC provides critical information for the success of today's NCO and is intended for use by all the NCOs in the Active Army Component, the Army National Guard, and the Army Reserve Component.

Proponent and Exception Authority Statement. The proponent for the TC is the Noncommissioned Officer Leadership Center of Excellence (NCOLCoE). Send comments and recommendations on a DA Form 2028 (Recommended Changes to Publications and Blank Forms) to Director, NCOPDD, United States Army NCOLCoE and Sergeants Major Course, ATTN: ATSS-DAE, Fort Bliss, Texas 79918-8002.

Introduction

NCOs conduct the daily operations of the Army. NCOs are relied on to execute complex tactical operations, make intent-driven decisions and operate in joint, interagency, and multinational environments. NCOs are responsible for maintaining and enforcing standards and a high degree of discipline. NCOs process Soldiers for enlistment, teach basic Soldier skills, are accountable for the care of Soldiers, and set the example. NCOs are trainers, mentors, advisors, and communicators.

Every Soldier has a Sergeant, and every Soldier deserves a leader who is a capable trainer, is trustworthy, is genuinely concerned for their health and welfare, and develops them to be the leaders of tomorrow. The Soldier's Creed and Warrior Ethos are compelling obligations we expect our Soldiers to live by. Likewise, we expect our leaders to live by those obligations and those of the NCO Creed and Charge of the NCO (See Figure 1 & 2).

As the culture of the Army changes, we face tremendous challenges. How we communicate, use technology, increase resilience, sustain tactical and technical proficiency, and inculcate ourselves and our Soldiers on ethics and values are critical to maintaining an "Army Strong" force.¹ Soldiering is and has always been an affair of the heart. Leading is a privilege and an honorable profession. The two major responsibilities of leadership remain the accomplishment of the mission and the welfare of Soldiers. This guide is intended to enhance your ability to lead and arm you with tools such as self-awareness, self-discovery, how you lead, and how you develop your subordinates. It also ties into how competent and confident you are in your duties, responsibilities, and roles.

¹ Nickerson, Thomas COL, "The Making of Army Strong." November 8, 2006. The United States Army Home Page.



Creed of the Noncommissioned Officer

No one is more professional than I. I am a Noncommissioned Officer, a leader of soldiers. As a Noncommissioned Officer, I realize that I am a member of a time honored corps, which is known as “The Backbone of the Army”. I am proud of the Corps of Noncommissioned Officers and will at all times conduct myself so as to bring credit upon the Corps, the Military Service and my country regardless of the situation in which I find myself. I will not use my grade or position to attain pleasure, profit, or personal safety.

Competence is my watchword. My two basic responsibilities will always be uppermost in my mind—accomplishment of my mission and the welfare of my soldiers. I will strive to remain technically and tactically proficient. I am aware of my role as a Noncommissioned Officer. I will fulfill my responsibilities inherent in that role. All soldiers are entitled to outstanding leadership; I will provide that leadership. I know my soldiers and I will always place their needs above my own. I will communicate consistently with my soldiers and never leave them uninformed. I will be fair and impartial when recommending both rewards and punishment.

Officers of my unit will have maximum time to accomplish their duties; they will not have to accomplish mine. I will earn their respect and confidence as well as that of my soldiers. I will be loyal to those with whom I serve; seniors, peers, and subordinates alike. I will exercise initiative by taking appropriate action in the absence of orders. I will not compromise my integrity, nor my moral courage. I will not forget, nor will I allow my comrades to forget that we are professionals, Noncommissioned Officers, leaders!



The Creed of the Noncommissioned Officer.

Charge to the Newly Promoted Noncommissioned Officer



1775
RED - SGT
GREEN - CPL
WORN ON RIGHT SHOULDER

1821
SGM - ON EACH ARM ABOVE THE ELBOW
SGT - ON EACH ARM BELOW THE ELBOW
CPL - RIGHT ARM ONLY BELOW THE ELBOW
FIRST USE OF CHEVRON

1833
SGT CPL

1847
SGM 1SG

1851
SGM 1SG

1888
1SG SGT

I will discharge carefully and diligently the duties of the grade to which I have been promoted and uphold the traditions and standards of the Army.

I understand that soldiers of lesser rank are required to obey my lawful orders. Accordingly, I accept responsibility for their actions. As a noncommissioned officer, I accept the charge to observe and follow the orders and directions given by supervisors acting according to laws, articles and rules governing the discipline of the Army, I will correct conditions detrimental to the readiness thereof. In so doing, I will fulfill my greatest obligation as a leader and thereby confirm my status as a noncommissioned officer.

First Sergeant

Date

Noncommissioned Officer

1898 - 1907

1945

1958

1994



SGM

1SG

SGT

MSG

1SG

TSGT

MSG

SFC

SMA

TODAY



SMA



CSM



SGM



1SG



MSG



SFC



SSG



SGT



CPL

Charge of the Noncommissioned Officer.

CHAPTER 1

HISTORY OF THE NCO



SGT John Hill riding on Jumping Dan Ware, the finest jumping horse in the Infantry Stables.

Ft. Benning, Georgia (July 25, 1941), Photo #161-SC-41-1323 by the 161st Signal Photography Company.

CPL John Robbins of Louisville, Nebraska, 41st Signal, 41st Inf. Div., operating his SCR 188 in a sandbagged hut at Station NYU.

Dobodura, New Guinea, (9 May 1943), Signal Corps Photo: GHQ SWPA SC 43 5901 (T/4 Harold Newman).



SSG James L. Leach (dog handler) with "bomb" dog Jupiter used as a team to check vehicles, 118th Military Police Company at entrance to XVIII Airborne Corps Main Command Post.



Rafha Airport, Northern Province, Saudi Arabia, (8 February 1991), XVIII Airborne Corps History Office photograph by SSG LaDona.

"History shows that when we moved into the 20th century, the Army decided it needed a different kind of NCO... an NCO Corps that's motivated, dedicated, and smart, and a Corps that can deal with ever-changing environments because we're going to continue to deploy, we're going to continue to be busy."

- 11th SMA Robert E. Hall

Chapter 1

History of the NCO

1.1. Origins of the American NCO.

Introduction

a. The United States Army NCO Corps has evolved greatly in the more than two centuries of its existence. It is a uniquely American institution, both a product of a European military tradition and of the American frontier. During the American Revolution, Prussian Baron Friedrich Wilhelm August Heinrich Ferdinand von Steuben (hereafter Baron von Steuben) trained selected Continental troops to drill, lead, and most importantly to teach others, thereby laying the foundation for the modern NCO Corps. Yet after the Revolution, the Continental Army was eventually reduced in size to less than 1,000 active troops. However, post-Revolution conflict with the Native Americans and British-Canadians in the Ohio valley, as well as Spanish forces in the southern regions, required a larger federal force, so the Army expanded. In 1803, with the acquisition of the Louisiana Purchase, the need for an Army to explore and safeguard the new territory became the main role of the Federal Army for much of the 19th century. The War of 1812, the Mexican-American War, the Civil War, and the Spanish-American War were fought during this century.

b. Baron von Steuben's Blue Book was in use, at least as a standard drill manual, until 1812.² But after von Steuben, the general formative experience of the NCO Corps lay in the lonely forts and outposts of the vast American West rather than from exposure to other military traditions.³ Once the United States began to consolidate its holdings and territories, prior to World War I, the NCO Corps slowly transformed, growing into its roles as technical experts and combat leaders. While the Revolution and the Frontier drove the evolution of the 19th century NCO, the need for professionalism and technical competency drives it in the 20th and 21st century. The 20th century saw the development and decline of Specialist and Technical ranks. In the post-Vietnam era, the maturation of the Army-wide NCO educational system (NCOES) created a pathway to an NCO Corps that combined all the expertise of the Specialist with the leadership skills of the combat NCO. Today's Army demands more from its NCOs than ever before, thus the institutional Army provides educational and training systems to help the modern NCO meet those requirements. While today's NCOs are better educated, trained, and equipped, the fundamental nature of the American NCO and the NCO Corps, developed throughout the experience of the United States Army, remains intact.

2. See F.W.A.H.S. von Steuben, *Regulations for the Order and Discipline of the Troops of the United States*, available at <https://www.loc.gov/item/05030726/>.

3. See Conrad E. Harvey, *An Army without Doctrine: The Evolution of US Army Tactics in the Absence of Doctrine, 1779 to 1847*, Fort Leavenworth, KS, 2007.

European Origins of the NCO

c. What is a NCO? From the European tradition, an NCO was one who had some level of command authority, but did not hold an officer's commission from a sovereign. NCOs were appointed by a commissioned officer largely to discipline and train Soldiers. A NCO dealt with men, while an officer did not. During the 17th and 18th centuries, as gunpowder tactics and volley-fire linear tactics developed, the NCO became the one responsible not simply for training troops in how to use their equipment individually, but in operating as part of a formation – that is to say, collective training. The halberd, useful both for dressing lines of the rank and file, and identifying in battle where the NCO was physically on the battlefield, became a symbol of the NCO and remains so today. During the so-called Seven Years' War (1756-1763, the portion of which was fought in North America was known as The French and Indian Wars), the ability to rapidly bring a column of men to fire and repeat was often the deciding factor in battle. The European sergeant, therefore, was crucial to military success.

Early American Innovations

d. The U.S. concept of the NCO is unique because it originates from multiple sources. George Washington and many other colonists observed the British Sergeants in the Seven Years War, and when the Continental Army was created in 1775, Washington used the British organization as its basis. For most of the colonists, the idea of a sergeant in charge of training and maneuver was familiar. Most able-bodied male colonists had served in one of the colonial militias and, although the way Sergeants were appointed and served varied between militias, the idea of an NCO was one of the American Army's founding concepts. In general, however, the militia systems proved too elitist to produce effective NCOs. Militia regulations and drill books emphasized the role of the professional officer, but left the specific duties and authority of NCOs undefined.⁴ Arriving at a consensus on the role(s) of the NCO proved difficult, especially since the Army was chronically short of supplies, experienced erratic pay, and was plagued by desertion. As with the militias, authority was centered in the officer. Discipline was harsh, and despite some small early tactical victories (and quite a few defeats) the early American Army never matched the British standard of discipline, training, organization, or effectiveness.

e. In 1778, Prussian General Baron von Steuben joined Washington's staff. Von Steuben's idea of the NCO was based that the officer should be the "father of the company while the Sergeants should be the mothers."⁵ They should also enforce discipline and administer the troops. He believed in regulations and discipline, and his work *Regulations for the Order and Discipline of the Troops of the United States* (also known as the "Blue Book") laid the foundation for the Army's organization. Unlike the militia drill books, the Blue Book codified the duties of each rank, including instructing Sergeants the how and why of drill and administration. The Blue Book became the Army's first standard work on doctrine, training, and organization. Von Steuben is often called the "Father of the NCO Corps" because the Blue Book described the role of the NCO as being more than an extension of the officer. Troop care, training, and drill was the particular and for the first time defined charge of the NCO.

⁴ See John D. Alternburg, "NCO Authority: Roles, Rules and Regulations" (MA thesis: US Army Command and General Staff College: Fort Leavenworth, 1986.) He cites in particular Humphery Bland's 1762 *A Treatise of Military Discipline* and W. Windham and G. Townshend's 1760 *A Plan of Discipline, Composed For The Use of the Militia Of the County of Norfolk*.

⁵ J.M. Palmer's *General Von Steuben* (Yale UP: New Haven, 1937), although dated, provides an excellent insight into von Steuben's military background and Revolutionary experience. See also Whitehorne, Joseph W.A. *The Inspector Generals of the United States Army Vol I: 1777-1903* (Office of the Inspector General and Center for Military History: Washington D.C., 1995) for an in-depth examination of von Steuben's activities.

f. For von Steuben, an NCO should be able to both perform any task and teach it, be clean and sober, command respect from his men, and be able to read and write. His NCO organizational structure created a hierarchy of ranks from Corporal to Sergeant, First Sergeant (1SG), and culminated in the Regimental Sergeant Major. In addition, companies usually had a Quartermaster Sergeant and specialists such as saddlers were included in the NCO ranking system.⁶ Promotion to NCO ranks was at the discretion of commanding officers, a system that would continue in one fashion or another in the Army well into the 20th century. By 1779, these reforms were already having an effect and by 1781, the time of the Yorktown campaign, Washington's Army was at least equal or superior to the British. One European tradition that Washington and von Steuben did not adopt, however, was the promotion of NCOs into commissioned ranks. In both the British and Prussian armies, a meritorious NCO could rise to an officership (See Figure 1-1).



Figure 1-1. Prussian General Friedrich von Steuben and Bluebook.

During the Revolution, in the Continental army this was occasionally done, but Washington believed that officers should be drawn from the propertied, educated classes, and enlisted troops were generally unqualified to be officers. Despite this, during the Revolution NCOs provided a natural reservoir of talent to replace officers so promotion from the ranks seemed a possibility.⁷

Post-Revolutionary War

g. After the Revolution, however, the Continental army was reduced in size and the responsibility for local defense passed to state or local militias. In June 1784, the Army was authorized 76 troops, the rest to be made of volunteers provided and commanded by the states.⁸ In 1802, the U.S. Military Academy at West Point was opened, providing comprehensive officer training, and the path from NCO to commissioned officer was all but closed. At the same time, the idea that being an NCO was a profession in its own right was a concept gaining traction in Europe, where the large armies of the Revolutionary and Napoleonic wars were raging, but that concept did not emerge in the U.S.

⁶ von Steuben, *Regulations* Chapter XV.

⁷ Complicating this, the actual lines of responsibility laid out in the Blue Book descend from Regimental Commander to Private Soldier and do not generally specify how one attains rank or promotion. See von Steuben, *Regulations*, Chapter XXV, Article II: Instructions.

⁸ Ernest F. Fisher, Jr., *Guardians of the Republic: A History of the Noncommissioned Officer Corps of the U.S. Army* (Stackpole Books: Mechanicsburg, 2001), p. 42. In general numbers cited throughout will come from *Department of Defense: Selected Manpower Statistics-Fiscal Year 1997. Department of Defense Directorate for information, Operations and Reports. Washington, D.C., 1997. See especially Tables 2-11 and 2-13, P. 46-53 and 63-70.*

h. In part, the failure of the concept to thrive was directly related to the opening of West Point. A surplus of educated officers reinforced the idea of the officer as a professional Soldier within the Army. Although the Blue Book would remain the organizational handbook for the Army until 1821, Von Steuben's concept of the NCO suffered. As systematically educated and trained officers became more common, the influence of the NCO declined. With some exceptions such as artillery (where rank came from the branch rather than the unit to which one was assigned), a unit's NCOs were generally appointed by the unit commander. If a Soldier left the unit, he lost his rank and reverted back to Private. Before the rise of the professional enlisted Soldier, the system worked reasonably well. In the first half of the 19th century, the role of the Army mainly centered on moving westward and opening the frontier. In 1803, the Louisiana Purchase doubled the size of the United States, and between 1803 and 1859 the Continental United States assumed the shape it has today. As the United States expanded, the role of the Army and the environment in which it developed both changed. After an attempt in 1784 to replace regular troops with militia on the border failed in the face of regular British and Native Americans, the US authorized General "Mad Anthony" Wayne a force of 5,120 troops to lead a punitive expedition to Fort Jefferson, Ohio. He organized his "American Legion" with an eye to Von Steuben, affording his NCOs greater privileges and responsibilities.⁹ Likewise, during the war of 1812, Winfield Scott opened a "Camp of Instruction" near Buffalo, NY, and using a French regulation book, organized his troops, once again placing NCOs in training and administrative positions.¹⁰ The importance of the NCO in maneuver was increased by the development of the Color Guard, on which the unit could align in the smoke and fog of battle. Being a member of the Color Guard required courage and coolness under fire, but was an essential part of successful line maneuver (See Figure 1-2). Originally colors were held by the ensign, but by 1840 only NCOs could hold this honor. At the battles of Chippewa and Lundy's Lane, Scott's system proved successful. In 1815, Scott's *Infantry Drill Regulations* replaced the Blue Book as the basis of Army tactics, emphasizing the unique role and importance of the NCO and defining (in a limited way) the NCO Corps as being a separate military class all its own.¹¹



Figure 1-2. Union Colors Sergeant.

⁹. James Ripley Jacobs, *The Beginning of the U.S. Army, 1783-1812* (Greenwood Press: Westport, 1947), p. 124-153.

¹⁰. Fisher, *Guardians of the Republic*, 56-58.

¹¹. Fisher, *Guardians of the Republic*, 59.

i. A period of relative peace followed the War of 1812. Between 1814 and 1914 three major trends shaped and defined the role and composition of the NCO Corps. The first was the frontier, the second were the major wars, and the third was the development of industry and technology. The most common Army experience in the 19th century was frontier duty, and the NCO experience for most of the 19th century tended more toward social and geographic isolation. Most 19th century Soldiers spent their time in remote and isolated western forts and outposts rather than in major combat operations. The NCO-officer relationship proved to be an asset in this situation and forged a sense of unity, professionalism and purpose. Serving together for long periods, the NCO was not inclined to leave the unit for fear of losing stripes. The officer, on the other hand, could hand-pick his NCOs, train them as he saw fit, and they developed a close working relationship. Frontier conflicts were often small unit engagements. Because of the shortage of officers, NCOs would often be tasked with leading tactical engagements. As the U.S. expanded into the Louisiana territory, the Army was charged with protecting settlers, showing the flag, and safeguarding American interests. Given the size of the territories involved and the small numbers of Soldiers available for such duties, such relationships were crucial. During the Indian wars of the 1840s and 50s, for example, roughly 11,000 Soldiers were spread across 130 outposts, watching three million square miles.¹²

j. The size of the Army reflected the peacetime social remoteness of the Army. Americans have viewed a standing army with suspicion for much of American history, regarding it as a threat to freedom, and underfunding it during periods of peace. During wartime, the ranks swelled, and once peace was established the Army was once again underfunded. For example, at the beginning of the war of 1812, the Army had 5,212 troops; during the war, roughly 450,000 militia were mobilized, and after the war the authorized number was 11,600.¹³ Before the Mexican-American War (1846-1848) the number of standing troops was 41,873, increased to 110,000 during the war, and dropped to an authorized force of 10,744 once peace was established. This pattern repeats itself throughout all the wars the U.S. fought up until the Cold War. In order to accommodate this increase in manpower, veteran officers and Soldiers, and especially NCOs, were frequently promoted beyond their experience and the positions they previously held were filled by new and inexperienced Soldiers. Complicating matters, since NCO rank was dependent on the unit and commanding officer, at war's end, as the Army was downsized, NCOs would frequently find themselves reduced in rank regardless of their record.¹⁴ This caused many to leave the Army, which in turn diluted available NCO expertise and experience, which proved difficult to recover when needed and discouraged NCOs from pursuing the Army as a career.

k. Both the frontier experience and problems of the expanding Army were evident when the Army faced its greatest 19th century challenge. On the eve of the Civil War, July 1859, Congress authorized a standing Army of 18,165. In 1861, as the Confederacy broke from the Union, a third of Army officers went with it, but the NCO Corps remained generally loyal to the Union, and they generally remained in their units.

¹² See Francis Paul Prucha, *The Sword of the Republic: The United States Army on the Frontier, 1783-1846*. Wars of the United States series (Indiana University Press: Bloomington & London, 1977.)

¹³ Exact troop numbers are difficult to establish. See *Department of Defense: Selected Manpower Statistics – Fiscal Year 1997*. Department of Defense Directorate for Information, Operations and Reports. Washington, D.C., 1997, Tables 2-11 and 2-13.

¹⁴ A classic example of this is Alexander Loungeway, who enlisted in 1908 as a Private and rose to Sergeant by 1910. During World War I, he became a 1st LT. After the war, he became a 1SG, and as the Army was reduced throughout the 1920s and 30s his rank was also reduced. He retired in 1941 as a Corporal, despite having excellent ratings and a distinguished combat record. See Longeway, A., Archival Cartons at the Office of the Historian, USASMA/NCOLCoE, Ft. Bliss, Texas, for complete record.

l. The size of the Federal army was expanded through the addition of state and volunteer regiments, but the core units of the Army remained intact. In some cases, regular Army NCOs would assume training functions for state units, but as before the training and leadership of the unit was seen to be the responsibility of the officer. In any case there were not many Federal NCOs to go around and state volunteers would often rely on training manuals such as Scott's Regulations, which stressed the role of the NCO as a line guide and color guard. However, as the war progressed and linear tactics proved bloody, skirmishing became the dominant tactic. As on the frontier, this meant small unit actions, speed, and mobility, and reemphasized the importance of NCOs as combat leaders.¹⁵

Between the Civil War and World War I

m. At the end of the War of the Rebellion, commonly known as the Civil War, the Army was once again drastically reduced. By 1866, more than 800,000 volunteers had been mustered out of the Army, and the same year Congress authorized an Army of only 57,072. Of those, 20,117 troops were on occupation duty in the south. At the end of Reconstruction in 1876, the Army was reduced to a force of 27,442.¹⁶ From 1865 to the beginning of the Spanish American War, the Army again found itself facing relative isolation, but the impact of the Civil War had changed the NCO Corps. The role NCOs played in the Civil War highlighted the need for competent, resourceful small unit leaders who could function as more than an extension of the commanding officer. The Indian Wars of the 1880s and tensions with Mexico underscored the need for tactical leaders of small units. Highly mobile cavalry, patrolling for weeks at a time under one or two officers, would divide, reunite, pursue and fight, generally under an NCO's supervision. On the frontier, the primary combat unit was cavalry, not infantry. By 1885, there were 255 posts throughout the western frontier. These posts gave rise to two significant exceptions in the way NCOs were officially selected and used. Each post was allocated an Ordnance and Quartermaster Sergeant, whose attachment was to the post rather than to a specific unit. These NCOs were selected by the Adjutant General and were not dependent on local commanders for pay or promotion.¹⁷ Although small unit leaders and administrative specializations changed the NCO Corps, the perception of the NCO as a professional in their own right remained elusive even as the need for NCO education and dependence on NCO technical and administrative ability expanded.

n. The third trend in the 19th century was industrial and technological. The Civil War saw a revolution in military technology and administration that required new levels of technical proficiency. In the period between 1865 and 1898, the Army responded by developing several schools aimed at technical proficiency. Artillery, signal corps, and the medical services opened schools tying promotion and rank to education. In 1898, the Spanish-American War brought the United States onto the world stage, especially with the occupation of the Philippines. As time progress, additional ventures outside the Continental U.S. (CONUS) including Hawaii, Panama, and even China put new demands on the Army, and by extension pressure upon Army educational reform. While the idea that being an NCO was a profession by itself was never articulated, the expanding level of professional expertise required of an NCO created a crisis in the first decade of the 20th century.

¹⁵ Fisher, *Guardians of the Republic*, 114-120; Arnold G. Fisch and Robert K. Wright, *The Story of the Noncommissioned Officer: The Backbone of the Army* (Center for Military History: Washington, 1989), 8.

¹⁶ *Selected Manpower Statistics*, Table 2-11.

¹⁷ Fisher, *Guardians of the Republic*, 128-133.

o. At the turn of the 20th century, the US was developing rapidly industrially and technologically. The skills in mechanics, communication, medicine etc. that the Army needed were as much in demand in the private sector as in the military, but the Army paid much less and put more responsibilities on its NCOs than a factory supervisor or a private tradesmen received doing the same work. Recruitment and retention were thus a problem. At the end of their terms, skilled Soldiers and NCOs were not reenlisting, but taking their skills to the more lucrative private sectors. Socially, Americans rejected the idea that enlisted soldiering could be a profession, a perception which had a direct political impact on the corps. Army retirement policy, for example, allowed an officer to retain grade during reassignment and to retire in grade after 20 years. In order to qualify for a full pension, however, an enlisted Soldier had to serve 30 years, and there was no guarantee of retirement rank since enlisted rank was usually dependent on local commanders. There had always been some exceptions to this, and by 1900 there were some new specialties that retained rank – the Ordnance and Quartermaster Sergeants are clear examples. By 1905, Coastal Artillery, Hospital, and Signal Corps NCOs received their rank from their branches after rigorous branch schooling and exams and thus were secure in rank, but they were the exception, not the rule.

p. The Spanish-American War (1898) highlighted the issues. Senior NCOs who had entered service during the Civil War were approaching the end of their 30 year terms in the 1890s. Once again, in Cuba and the Philippines, the Army faced an opponent using non-traditional tactics for which the Army's Indian Wars experience was well suited, but the older NCOs were past their prime and the influx of civilian volunteers (the strength of the Army was 27,865 in 1898, 209,714 in 1898, and 80,670 at the end of 1899) swamped the Army.¹⁸ Providing training, supply, and medical care for new recruits stretched the Army's administrative capabilities. In addition to new recruits, the American occupation of the Philippines and evolving technologies such as communications, transportation, weapons, and even civil relations created a demand for NCOs in a radically different model than that of the 19th century.

1.2. The Professional NCO Emerges – the 20th and 21st Century.

a. The performance of the Army in the Spanish American War, difficulty in recruiting, and a new global presence forced the Army to reconsider its recruitment and retention practices, which included a reassessment of the nature and role of the NCO. In 1907 the War Department issued its annual report identifying pay, promotion and prestige as the main challenges to retaining NCOs. It noted:

"The noncommissioned officer are men who in civil life would be skilled workmen, foremen, chief clerks and subordinate officials. If the Army can not offer them inducements that civil institutions are glad to offer, it cannot hope to secure or retain them."¹⁹

¹⁸ *Selected Manpower Statistics*, Table 2-11.

¹⁹ *War Department Annual Report 1907: Vol. I* (GPO: Washington, D.C., 1907), 83. The entire document addresses problems of recruitment, but pages 76-93 ("A Study of Conditions in the Ranks of the Army and of the Measures Deduced Therefrom as Essential to Make Enlisted Service Desirable as a Life Occupation") pays particular attention to Army NCOs.

b. Making pay equivalent to what the private sector, therefore, was key. Prestige was also crucial – In Great Britain the report observed, "... (Noncommissioned ranks) form a class into which... the best Soldiers strive to enter, and having entered, remain."²⁰ Acting on the report (and at the urging of the Army) Congress passed the Pay Bill of 1908, raising the pay for NCOs to levels comparable to private industry. The Pay Bill gave rewards for those with specialized skills and seniors NCOs, but it was still recognition that the working skills of the NCO Corps were a crucial part of the Army and worth the pay of civilian counterparts. Following that, in 1912 the Committee on Military Affairs began revisions Articles of War, which had remained essentially unchanged throughout the 19th century. Article 65 (Insubordinate Conduct Toward a Noncommissioned Officer) prescribed penalties for a Soldier who "...disobeys the lawful order of a noncommissioned officer...", giving NCOs specific legal authority for the first time.²¹ The 1908 Pay Bill and the revisions to the Articles of War addressed the problems of pay and prestige, it would take a world war to rediscover the need to develop the NCO as a combat leader.

c. Between 1908 and 1917, the Army was slow to change. Despite advances in pay, the Army in 1914 still retained much of its 19th century character. The largest units in the Army were regiments (not divisions or corps), and supply, training, and administrative organizations were capable of supporting relatively small unit operations, but not large scale operations.²² The United States tried to stay neutral in the conflict that began in 1914, but once the US declared war in 1917, the Army was flooded with volunteers and draftees. For the first time since the Civil War, Americans were conscripted and nearly three million reported for duty before the war was over. Once again, the influx of civilians placed enormous strains on the entire Army and on the NCO system in particular. The need for officers led to rapid senior NCO commissioning, and long-term NCOs found themselves in positions where they had little experience. To replace them, lower grade and in some cases untalented NCOs rose to fill the slots they vacated. Another way NCOs were replaced was through remobilization of retired NCOs, but the more common method was to give stripes to Officer Candidate School washouts. Neither method could create NCOs as fast as they were needed, and neither enhanced the quality, prestige or authority of the NCO within units. The Pay Bill of 1908 rewarded new recruits who had a useful skill, but the nature of modern industrialized warfare required an additional level of standardized NCO training and professionalism that was simply not present.²³

d. In response, in 1917 GEN John Pershing, Commander of the American Expeditionary Force (AEF), called for division and local units to create NCO schools designed to "...make the NCO realize his position was a responsible one."²⁴ It was the first call for NCO education beyond technical training, but its implementation left much to be desired.²⁵ Some units did set up schools, but most relied on the understudy system where a senior NCO would be shadowed by his replacement.

²⁰ *War Department Annual Report 1907*, 84.

²¹ There were several meetings about the revisions, which were finalized in 1920. This reference comes from Hearing before the Committee on Military Affairs: Revision of the Articles of War. Report to accompany S.3191. 64th Congress, 1st Session, Article 65 p. 8. See also Altenburg, NCO Authority, p. 41-45.

²² Elijah Root had begun reforming the Army in 1899, but his vision had not been realized by 1914. See Hirrel, Leo P. Supporting the Doughboys: U.S. Army Logistics and Personnel During World War I (Combat Studies Institute Press: Ft Leavenworth, 2017), p. 1-7.

²³ Daniel K. Elder, *Educating Noncommissioned Officer: A chronological study of education programs for U.S. Army noncommissioned officer* (Letterkenny Army Depot: Chambersburg, 1999), p. 6-7; Fisher, *Guardians of the Republic*, 184-190.

²⁴ Fisher, *Guardians of the Republic*, 199; Fisch and Wright, *The Story of the Noncommissioned Officer Corps*, 25.

²⁵ To be clear, others had called for NCO education before, and even the 1907 War Department report cited observed the positive effects of NCO schools in Germany and Great Britain. However, in the US Army in general proposals aimed for either preparing NCOs for commissioning or for general education.

The call for the divisional school recognized the need for professionally trained NCOs, but in the pressure of war such education and standardization did not materialize. This also pointed out two serious flaws in the NCO system.

e. First, since officers appointed NCOs within units, NCO training was localized, short, and inconsistent, if it existed at all. Training reflected local commander's needs and did not necessarily mean promotion or rank retention. This left them unprepared to deal with conscripts who were in some cases better educated and less motivated than prewar privates. Complicating this, in most new regiments, the NCOs were selected from the draftees much as they had been in the Civil War and the NCO in many cases became little more than an enhanced private or super-Private First Class (PFC). Second, the role and ranking of NCOs was not clearly defined. According to Army regulations, virtually everything in Army life was to be done under an officer's inspection, but time and manpower shortages made this all but impossible. According to the War Department's 1917 *Manual for Noncommissioned Officers and Privates of Infantry of the Army of the United States*, the role of the NCO was primarily to support and assist the officer in instruction and care of other enlisted Soldiers. As the war progressed, however, NCOs found themselves taking over roles that had been the domain of officers, such as inspections and setting training schedules, but not officially given authority, status or recognition.

f. Taking over such roles had long been the norm in other armies. The French, British, German and Russian armies viewed the NCO as a separate class, and when officers were killed in battle, it was expected that the senior NCO would take command and be able to function in that role. In 1916, that was not the expectation of the American NCO, but as the American NCO was exposed to this idea, as officers came to depend on them, and faced with the realities of combat, expectations changed. The NCO began to see himself as more than an enlisted Soldier promoted and demoted at his officer's pleasure, but as an essential part of the Army's functioning. It is instructive to note that when a British commander at the time addressed his troops he began "Officers, NCOs and men," while in the US the opening was "Officers and men." The short-term, makeshift schools that trained the Army in 1917-18 could not meet that standard, but the view had changed. Once the American Army was sent to the front, the fragmented nature of combat created opportunities for NCOs to demonstrate their value as combat leaders. The most famous case is that of Alvin C. York. In 1918, CPL York of G Co., 2^d BN, 328th Infantry, 82^d Division, four other NCOs, and thirteen privates were sent to flank a machine gun emplacement. The other NCOs were killed, but York managed to capture 129 Germans, destroy the machine gun emplacement and repel a counterattack. York's actions are a classic example of NCO initiative under fire. Still, NCOs such as York were produced despite the NCO selection and training system, not because of it.

g. The First World War ended in November 1918. Postwar, it was clear that the system of recruiting, training, and retaining NCOs badly needed overhauling. World War I was an infantry war, but ushered in new weapons and tactics that required more technical expertise than ever before. It has been noted elsewhere that the development of the internal combustion engine and the rise of the modern NCO Corps go hand-in-hand, and at no time was the link more obvious than in the immediate postwar world.²⁶ At the same time, the 1920s saw yearly reductions in force, reducing the Army from 2,897,000 in 1918 to 247,398 by 1923.²⁷ Of those, roughly 150,000 were enlisted.

²⁶ Fisher, *Guardians of the Republic*, 12.

²⁷ *Selected Manpower Statistics*, Table 2-11.

h. The pressure on the NCO Corps was intensified when senior NCOs who had been commissioned during wartime were returned to NCO ranks, while excess officers were given Master Sergeant rank as a way of dealing with officer overages. Multiple efforts were made to keep NCOs, especially those with technical skills, through demotion, relocating NCOs to Reserve Officer Training Corps (ROTC) units, and freezing promotions, none of which enhanced the NCO's professional status. The greatest impact on the NCO Corps and on enlisted personnel in general, however, was the National Defense Act (NDA) of June, 1920.

i. The 1920 NDA amended the 1916 NDA, which had created the National Army and replaced it with the standing Army of the United States. It recognized new technical specialties within the Army, such as the Chemical Corps, and established a standing US Army Air Service as a permanent part of the Army.²⁸ The 1920 NDA also created a new enlisted seven-rank system. At the top was the G (for Grade) 1 Master Sergeants.²⁹ First Sergeants and Technical Sergeants were G2s, G3s would be Staff Sergeants, a new position between the 1SG and the platoon leaders, who were G4 line Sergeants. Corporals made up the fifth rank and PFC and Privates made up the G6 and G7 ranks. (This changed in 1948 and 1949, becoming the system we use today where Privates are E1s.) The G rank established a basic pay grade. In addition to that, however, the privates and PFCs had 12 specialist ratings which added to pay, and by 1922 the Army identified 231 vocational skills in which a Private/Specialist could qualify and receive additional pay. While on paper this system addressed the overabundance of NCOs and reduced the number by reclassifying those whose rank depended on a specific skill as Specialist rather than NCO (thereby reducing the rank without reducing pay), in practice this became an unwieldy and confusing system. Under those conditions, one could draw almost as much pay as a G7 PFC Specialist Rating 10 as a line sergeant, and one would find an easier, more stable career. Further, since promotion was still controlled by the local commander, NCOs transferring from one unit to another may or may not keep the same rank and if one lost rank there was no appeal. In addition, when an enlisted Soldier was transferred, regardless of rank, they were demoted to Private from the time of transfer, and it was up to local command and unit need to determine if rank would be restored once the Soldier arrived at the new permanent duty station. As mentioned, a G7 PFC Specialist Rating 10 drew as much pay as an NCO and had none of these issues, and his pay was steady.³⁰

j. Despite that, the position of the NCO had changed dramatically between the end of the Spanish-American War and 1920. NCO pay was roughly the equivalent of a comparable civilian, a more attainable retirement plan was in place, and NCO authority had been enshrined in military law. Stabilizing the NCO career path was the next logical step, especially as the Army added new, technically challenging branches. Yet, reforming the local selection and promotion system proved difficult. The Chief of the Ordnance Branch, for example,

²⁸ In 1926 the USAAS became the USA Air Corps, and in 1941 the US Army Air Force. In 1947 the USAAF became the US Air Force. The presence and expansion of the air components within the Army was a major factor in reforming permanent retention of NCO rank within the entire Army.

²⁹ The ranks of Regimental Sergeant Major and Sergeant Major Senior Grade were abolished.

³⁰ Taken as a whole, the National Defense Acts sought to create a three tiered Army, with the Regular/Active duty Army, the National Guard and the Army Reserve. Although not specifically mentioned in the legislation, the general idea seems to be the Regular Army would provide the nucleus of an expanded wartime Army, especially a professional officer corps, and the National Guard would provide a reserve of experienced troops, especially NCOs.

argued that allowing enlisted transfer in grade would dilute the professional standards of the ordnance system, which required technical skill for promotion. Ironically, it was the expansion of the Army Air Corps in the 1930s that put pressure on the system, as highly technically skilled NCOs were needed in a number of new units and transferring in and out of rank was not a practical option. By 1939, the Army Air Corps, Signal Corps, Finance, Quartermaster Corps, Medical Service and Chemical Warfare Service were allowed NCO transfer in grade, but in general, up until WW2, enlisted personnel were only allowed transfers as privates. As the United States entered WW2, however, the need to build on the experiences of NCOs overrode tradition, and by 1943 enlisted transfer in grade became the norm in practice, if not in law. An NCO could be, and during combat often was, transferred without losing rank.

k. In the 1930s, the need for Soldiers who would follow that path became painfully obvious. The rise of Imperial Japan, Fascist Italy and Nazi Germany threatened world peace, and although the US was initially neutral, the Japanese attack on Pearl Harbor in 1941 brought the US into the war. As in World War I, the ranks quickly swelled. In 1938, the year Hitler annexed Austria and Czechoslovakia, the US Army had 185,488 Soldiers under arms. By 1941, that number increased to 1,462,315 and peaked at over 8 million in 1945. Mass mobilization alone could not achieve victory, because the wars raging in Europe and Asia were the not the same as World War I. Much more dependent on tanks, vehicles and aircraft, WW2 was a war of technology, industry, mobility and firepower. Not only could the US produce manpower, it also produced huge amounts of relatively high-tech, sophisticated weapons. As such, the Army counted on its specialists and technicians more than ever before, but managing the civilian-soldiers and leading them in combat required an effective NCO Corps. The Army responded by creating Technical ranks, an attempt to combine specialist ranks with NCOs while keeping them separate.³¹ A Technical rank would have NCO authority in between his G rank and the next lowest. For example, a T/5 would rank below a Corporal (G5) and above a PFC (G6). As with the Private/Specialist idea, this was an unwieldy and confusing system, and was replaced in 1949 in favor of the grade structure we use today.³²

l. In part, this was because of the massive post-war demobilization – by 1948, the Army was only 554,030 troops. After the atomic bombing of Japan, the need for conventional nonnuclear forces was in some doubt. The technical ranks were simply not seen as needed, but the need for technically competent combat NCOs did not disappear, especially in American-occupied Cold War Europe.³³ Under the point system, Soldiers who had been in-theater longest were given priority to demobilize back to the States, and the Soldiers who had been there the longest were the NCOs. Replacing them became a matter of urgency in the face of the threat the USSR posed. During the escalating tensions of the Cold War, the Army rethought how it selected and trained NCOs, and it is not a coincidence that the first postwar NCO academies were created in occupied Italy and Germany.

³¹. Army Circular No. 204, War Department, Washington, D.C., 24 June 1924. Found in Fisch and Wright, *The Story of the Noncommissioned Officer Corps*, 188-189.

³². "Career Compensation Act of 1949." H.R.5007/Public Law 351: October 12, 1949.

³³. Army Circular No. 202, Section II: Grade Structure. Found in Fisch, Arnold G. and Robert K. Wright, *The Story of the Noncommissioned Officer Corps*, 189-190.

m. In November 1945, the 88th Infantry Division in Venezia Giulia, Italy, created the first school devoted to educating professional NCOs, called the Lido Training Center. The course taught at Lido Training Center lasted six weeks, and prospective NCOs lived in a model battalion that was in many ways reminiscent of basic training. Corporals and Sergeants conducted instruction. At the same time, the US Army in Europe (USAREUR) organized the United States Constabulary. Training new Soldiers in occupation duty required new techniques and the Constabulary School opened its doors in February 1946. Both officers and enlisted Soldiers made up the first class, although separating the two occurred immediately afterward. Between 1946 and 1948, the Constabulary School offered several NCO courses, including a First Sergeants course. In mid-1948, the Constabulary School was closed. By that time, at the Armor School in Ft. Knox, BG Bruce C. Clarke organized a four-month long NCO Course with comprehensive classroom instruction. In mid-1949, Clarke assumed command of the 2nd Constabulary Brigade. In September 1949, with the support of MG Isaac White, Constabulary commander, BG Clarke opened the Constabulary NCO Academy (NCOA) at Jensen Barracks, Munich, West Germany. It was the first NCOA and the first academy specifically devoted to creating a professional NCO.³⁴

n. Throughout the 1950s, BG Clarke organized NCOAs both in the European theater and in Texas, Hawaii, and Korea. The Korean War, 1950-1953, put pressure on efforts at systematic, standardized NCO education. Once again, the rapid size increase of the Army strained the entire system and underscored the need for technical expertise. After the war, in 1954, the Army introduced the Military Occupational Specialty (MOS) system of specialized tasks and brought in the Specialist system, which was similar to the old technical rank. Demand for NCOs was great, yet attendance at NCOAs was not then mandatory for promotion.

o. The 1954 system revived to some degree the old technical rank system, but with the understanding that the Specialist was not an NCO and had no command authority.³⁵ At E-4, a Soldier could either become a Corporal or a Specialist. Likewise, for each pay grade, an NCO rank was paired with a Specialist rank – a E5/Sergeant held the same pay grade as a Specialist Fifth Class. Like the technical system, this proved to be awkward in practice. It was unclear, for a single example, what a Specialist six should do for guard mount. Should they pull guard duty like an E3 or should they hold guard mount like an NCO?³⁶ The Army itself wavered on the position. Upper grade Specialists saw themselves as senior enlisted troops and thus exempt from the mundane work details of the junior ranks, while NCOs saw them as drawing the same pay without the responsibilities for leadership. In practice, when NCOs were in short supply, Specialists could and did find themselves doing an NCO's job, while an NCO was always expected to have the same pay level MOS expertise as a Specialist. Four years later, in part to ensure a career path for Specialists, a Spec eight and Spec nine rank were created. It was never clear how the qualifications for a Spec nine and a Sergeant Major differed, and in 1968 the Spec eight and nine were abolished. In 1978, the Spec seven rank was converted to SFC and the Spec five and six ranks were converted to SGT and SSG in 1985.³⁷

³⁴. Dan Elder, *Educating Noncommissioned Officers*, p. 14-16.

³⁵. Army Regulation 615-15: Separation of Noncommissioned Officers from Specialists. HQ, Department of the Army, Washington D.C., 2 July 1954. Found in Fisch, Arnold G. and Robert K. Wright, *The Story of the Noncommissioned Officer Corps*, 190-192.

³⁶. AR 615-15 (opus cited) Section VII :b states that Specialists E7 and E6 would be exempt from guard and fatigue duty ... "except in unusual circumstances..." but granted those grades the same privileges as NCOs – which did not clarify the situation.

³⁷. Message, HQ, Department of the Army, Washington, D.C., 28 May 1985: Elimination of Specialist Ranks. Found in Fisch, Arnold G. and Robert K. Wright, *The Story of the Noncommissioned Officer Corps*, 192.

Today the Specialist E4 is the last Specialist rank in the Army. While the Specialist system was designed to put Soldiers in pay grades commensurate with their expertise while preserving the prestige of the NCO as distinct combat leaders in those pay grades, it never quite lived up to its promise.

p. Separating NCOs from Specialists made clear what was expected of Specialists, but producing NCOs remained a challenge. Throughout the 1950s, the NCOA experiment continued, but issues emerged which affected the quality and effectiveness of the schools. NCOAs suffered from a lack of common curriculum, trained instructors, and a clear sense of purpose. On 25 June 1957, AR 350-90 attempted to standardize NCOAs, but fell woefully short. Commanders at multiple levels were authorized “but not required” to establish NCOAs; there was a minimum of four weeks of instruction; and while there was a prescribed number of class hours in various topics, there was no “standardized course of instruction” and even the number of courses offered was “determined by local requirements.” While it was suggested that junior and senior NCOs should attend separate courses, it was not required and “...the content of the two courses need not vary appreciably.”³⁸ Critics pointed out NCOAs “did not provide an overall program of NCO development” and noted a “lack of uniformity” in their operations.³⁹ Even as late as October 1967, GEN J. K. Woolnough wrote to GEN Ralph Haines “...I have found no clear and universally accepted definition of the mission of our NCO Academies as I have traveled through CONARC [Continental Army Command]...I believe this has been a basic weakness in our NCO development program.”⁴⁰

q. Despite this, in the 1950s new emphasis on education and development of the NCO rank structure promised change within the NCO Corps due to the new organizational structure of the Pentomic Division. But the maelstrom of Vietnam put most of that on hold. As the Army became more deeply involved in Vietnam, the structural flaws in the NCO system of recruiting, training, and adaptability became increasingly apparent. The lack of NCO-specific training and education became critical as the need for small unit combat leaders increased. The Army system of rotation in Vietnam (in particular the one year tour of duty) did not allow the NCO time to develop skills and experience to train and maintain the unit. Officers, on the other hand, went through extensive training in several venues, which placed them in a much stronger position as small unit leaders. The war in Vietnam then reversed the traditional role between the NCO and the commissioned officer. Unlike Von Steuben’s vision of the NCO being the mother and the commissioned officer the father of the unit, conditions in Vietnam enhanced the role of the better educated, better trained officer at the expense of the NCO and forced the officer to assume, at least in part, both roles.⁴¹ The role of senior NCOs such as First Sergeants became increasingly minimized in the highly fragmented battlefield environment. In addition, it was not entirely understood precisely what the role of the Sergeant Major (SGM) was to be.

38. “Extract from Army Regulation 350-90, HQ, Department of the Army, Washington, and 25 June 1957.” Found in Fisch, and Wright, *The Story of the Noncommissioned Officer Corps*, 180-181.

39. Darden, Fred A. (CPT.) “No Time for Sergeants.” *Infantry Journal* V. 51: January-February 1961, 21-23; USCONARC/USARSTRIKE Annual Historical Summary 1 July 1964-30 June 1965 (HQ, United States Continental Army Command: Ft Monroe, 1965), 241-243.

40. J.K. Woolnough, (GEN), CONARC CG to GEN Ralph Haines, Vice Chief of Staff. 25 October 1967. Washington, D.C. Found in NCOLCoE Archives, NCOES Development Files, Fort Bliss, Texas.

41. Fisch, and Wright, *The Story of the Noncommissioned Officer Corps*, 18-20.

It was generally understood by the mid-1960s that the SGM's first duty was to serve his commanding officer "well and loyally;" his second duty was to keep his staff advised of the commander's intent; and his third duty was as the senior enlisted Soldier with responsibilities towards the troops.⁴² Balancing these three duties depended greatly on other's perceptions, but specific clarity remained elusive.

r. So as to address that lack of clarity, Chief of Staff, Army (CSA) GEN Harold Johnson sought to define the role of the SGM as senior advisor at all levels and wanted to add prestige to the position of senior NCO. He did this two ways. First, in 1966, stating that "...if we are going to talk about the noncommissioned officer as being the backbone of the Army, there ought to be a position that recognizes that this is in fact the case," and thus approved the creation of and appointed to the position of Sergeant Major of the Army (SMA) William O. Wooldridge. Promoting an enlisted man, as an enlisted representative, to a key position within the CSA's position was unprecedented. When Wooldridge became SMA in 1966, the rank of SGM itself was eight years old. The SMA was to serve as the senior advisor to the CSA regarding enlisted matters (the term Johnson used was "ombudsman"). SMA Wooldridge expanded the role by working to improve enlisted/NCO communication. He became, in a time of great trauma in the NCO Corps, a symbol of what an enlisted Soldier's career could be. The creation of office of the SMA changed the way the Army perceived NCOs and the way enlisted/NCOs viewed themselves. SMA Wooldridge connected the enlisted ranks with the upper Army echelons. As Vietnam challenged the perception many had about the Army and pushed change the SMA worked to keep that change on a positive footing. He became not just the CSA's eyes and ears but, in many ways, that of the enlisted ranks as well.⁴³

s. Likewise, so as to establish clarity between those SGMs who acted as the commander's eyes and ears and those who served as staff, in 1967 Johnson approved the creation of the Command Sergeants Major (CSM) Program.⁴⁴ The program was designed to create a select group of SGMs ready for assignment to all major commands, and in 1968 192 SGMs were selected to become CSMs.⁴⁵ For the first time in Army history an enlisted Soldiers were to be given responsibility at the highest Army levels. The creation of the ranks of CSM, SGM and SMA was in response to problems that had been endemic throughout the 20th century. Demobilization, career stagnation, and limited opportunity were challenges the Army had to deal with repeatedly, and a large part of that stemmed from an NCO system that was better suited for the 1880s than for the problems of the post-WW2 world. The creation of the new ranks changed the system, added to the prestige of the NCO Corps, and preserved and utilized senior NCO experience. Developing an educational system that supported these new responsibilities remained to be done.

1.3. The NCO as an Educated Professional.

a. The idea of educating NCOs was, as we have seen, not a new one. GEN Pershing had called for schools at local unit levels, and post-World War II NCO academies had been established to address the shortage of competent NCOs.

⁴² Fisher, *Guardians of the Republic*, 314.

⁴³ Daniel K. Elder, et al., *The Sergeants Major of the Army. Part II: William O. Woolridge*. Center for Military History (Washington, D.C.: 2013), 57-67.

⁴⁴ "Command Sergeant Major Program Chronology." Unpublished bound volume compiled by Dr. R. Bouilly. NCOLCoE Archives, Ft. Bliss, Texas.

⁴⁵ CIR 611-39: *Personnel Selection and Classification Command Sergeants Major*. Headquarters, Dept. of the Army. Washington, D.C.: 17 June 1968.

b. Overall, NCO academies had limited success. In 1957, Army studies began on how NCOs were selected and developing a standard NCO course or courses. One result of such studies was Secretary of the Army Stephen Ailes's "Drill Sergeant Concept." Ailes compared the basic training of all services and concluded the Army needed specially trained Drill Sergeants to instruct, monitor and mentor new recruits. Drill Sergeants would represent, personally and professionally, the highest standards of the Army. Thus in September 1964, the Drill Sergeant program and course was established at Fort Jackson, SC.⁴⁶

c. This was the first NCO school to focus on effective NCO leadership rather than on leadership within an MOS or branch (See Figure 1-3).⁴⁷ It maximized the role of the NCO, as a Drill Sergeant was expected to work with trainees throughout their entire Basic (and in most cases Advanced Individual Training) cycles. Within three months, Drill Sergeant schools had opened at Fort Dix, NJ; Fort Polk, LA; Fort Knox, KY; Fort Leonard Wood, MO, and Fort Ord, CA. By 1967, Drill Sergeants were an established part of Army life, and introduced Soldiers to NCO professionalism and authority from their first day. In the face of the situation in Vietnam, graduates of the Drill Sergeant schools became highly desired as unit NCOs.



Figure 1-3. Seventh Army NCO Academy.

d. As effective as the Drill Sergeant program was, it was specialized training and not a replacement for the NCOA system or for general NCO education. The situation in Vietnam demanded NCOs immediately, and "...the expansion of the Army was creating a youthful and inexperienced corps of noncommissioned officers." More than half (57 percent) of NCOs assigned to CONUS had not attended either an NCOA or Drill Sergeant School.⁴⁸ Partly influenced by the success of both the Drill Sergeant school and Officer candidate courses, and partly considering the time frame of a two-year enlistment, CSA Harold Johnson approved in June 1967 a Noncommissioned Officer Candidate Course (NCOCC).⁴⁹

⁴⁶ The Fort Jackson NCOA was established in 1959, and the practice had been to rotate NCOs from the NCOA to the basic training units.

⁴⁷ Jackie H. Tyson and Mark T. Swanson. *Fort Jackson 1917-2017* (New South Associates: Columbia, 2017), 165-168.

⁴⁸ AG COL S. Gritz proposed sending all NCOs to Drill Sergeant School prior to deployment to Vietnam. Memorandum, "Training of Noncommissioned Officers," Gritz, S. COL (Adjutant General Command) to Commanding Generals, CONUSA. 8 February 1967. Found in NCOLCoE Archives, NCOES Files, Ft Bliss, Texas.

⁴⁹ Dan Elder, *Educating Noncommissioned Officers*, 24.

The NCOCC was based on the same principle as OCS. Over twelve weeks, Soldiers were trained in tactics, unit management, and leadership, followed by a 9 or 10 week practical application in a training center. MG Melvin Zais, one of the original designers of the program, called the course a “bold departure from previous...policies” and “revolutionary,” and its graduates were a “new kind of Soldier.”⁵⁰ NCOCCs produced more than 33,000 graduates who were in high demand in combat and other units in Vietnam. At the same time, older NCOs who saw Soldiers obtaining the rank in 21 or 24 weeks that had taken them years to achieve harbored a great deal of resentment over the ‘shake and bake NCOs’. They were also concerned about how young, educated NCOs would affect their own chances for promotion. Yet the success of the NCOCCs could not be denied, producing both junior NCOs in both quality and quantity.⁵¹ MG Zais summed up the NCOCC’s legacy on future NCO education almost by accident in 1967: “What we had been doing by accident, heretofore we would do by design.”⁵²

e. The 1960s and the war in Vietnam left the Army and the NCO Corps badly in need of reform, but between the Drill Sergeant school and the NCOCCs it was clear that the way to build an effective NCO Corps lay in education. Throughout the 1960s several studies collectively known as Project Proficiency laid the framework for NCOES, based on the principles learned at NCOCC and on a progressive model of education similar to the system used for officers.⁵³

The objectives of NCOES were

“... to increase the quality of the noncommissioned officer corps, to provide enlisted men the opportunities for progressive and continuing development, to enhance career attractiveness by offering formal leadership and development training, and to provide the Army with highly trained and dedicated noncommissioned officers to fill positions of increasing responsibility.”⁵⁴

f. It is a vision that has remained consistent even as the mission of the Army and NCO education itself evolved. Ten years later, U.S. Army Training and Doctrine Command (TRADOC) stated NCOs had to be “...competent, confident, and knowledgeable, and capable of teaching, leading, and caring for Soldiers.”⁵⁵ In 2007, TRADOC CSM John Sparks, in a presentation titled *Transforming NCOES: A NCO Life-Long Learning Strategy*, stated an NCO was a professional American Soldier, technically and tactically competent, a steward of resources, a critical and creative thinker, a warrior-leader, a leader developer, and a worldwide ambassador.⁵⁶ In the aftermath of Vietnam, NCOES was designed to produce a stronger, modern NCO Corps.

50. Melvin Zais (MG), “The New NCO.” *Army* (May 1968), 72-76.

51. Dan Elder, *Educating Noncommissioned Officers*, 25-26.

52. *Infantry NCO Candidate Course Handbook* (US Infantry School: Ft. Benning, n.d.), p. 7.

53. *CONARC/ARSTRIKE Annual Historical Summary, FY 1971* (HQ, USACAC: Ft Monroe, 15 July 1972), p.241-242.

54. *CONARC/ARSTRIKE Annual Historical Summary, FY 1971* (HQ, USACAC: Ft Monroe, 15 July 1972), p.241.

55. *TRADOC Annual Historical Review 6 January to 31 December 1988*, Henry O. Malone, ed. (Office of the Command Historian: Ft, Monroe, 1989), p. 118.

56. John Sparks, CSM. *Transforming NCOES: A NCO Life-Long Learning Strategy*, 29 October 2007. Slides from PPT presentation, found in NCOLCoE Archives, Slides 60-66.

g. NCOES was originally conceived as a three-tier system – Basic for E5s, Advanced for E6s and 7s, and a Sergeant Majors Course.⁵⁷ The program itself was approved in 1969. The first Basic courses were taught in 1970, and the Advanced courses taught in 1972. It was a rocky implementation, but as the NCO Corps began rebuilding after Vietnam, NCOES quickly became part of the NCO experience. For the first time, NCO advancement and promotion became linked to a standardized core curriculum. The third, and most controversial, tier was added by Department of the Army General Order 98 in July 1972, which created The U.S. Army Sergeants-Major Academy (USASMA). USASMA had two functions – it was the home of the NCOES and it provided the capstone, 600-hour educational experience for senior NCOs. For the first time, NCOs had an educational structure reflecting the professional realities and capabilities of an Army NCO.

h. If the NCOES was an educational system comparable to that which produced the officer corps, in 1976 the creation of the Enlisted Personnel Management System (EPMS) provided a career management system for NCOs similar to that of the officer corps. Tying enlisted promotion to education had always been a long-range Army goal.⁵⁸ The establishment of TRADOC on 1 July 1973 facilitated the standardization of curriculum throughout NCOES and provided a forum for reform of the system. For example, as EPMS was implemented concerns about lower ranking enlisted personnel not being ready for Basic course led to the development of the Primary NCO Course (PNCOC) and the redesign of the Basic course as BNCOC, while the Advanced courses became ANCOC. The development of the EPMS and the NCOES throughout the 1970s and 80s began transforming the image of the Army NCO into a highly trained, highly educated professional in their own right. One of the main engines of professionalization and education has been USASMA. In 1987, USASMA moved into a modern academic campus at Fort Bliss, TX (See Figure 1-4).



Figure 1-4. United States Army Sergeants Major Academy (USASMA).

⁵⁷. There was some debate over the Sergeant Majors/Senior NCO course. CSA GEN William Westmorland and CG CONARC GEN James K. Woolnough, while enthusiastic supporters of NCOCCs and NCOES, felt that E8s and E9s, like general officers, needed no further education.

NOTE: GEN Woolnough's successor, GEN Ralph Haines (CG CONARC from 1970 to 1973) reversed that, fully supporting what would become the US Army Sergeants Major Academy.

⁵⁸. *TRADOC Annual Historical Review 6 January to 31 December 1988*, p. 118.

i. Into the 1990s, and 2000s, NCOES underwent several changes. Reforms in the 1990s showed marked improvement in curriculum. The attacks of September 11, 2001, and subsequent conflicts put increased pressures on the Army and its NCOs. A major turning point came with the publication of The Army Training and Leader Development Panel Report (NCO) Final Report 2 April 2002. The report itself was the culmination of numerous studies and conferences throughout the 1990s, and the Final Report provided input from the NCO corps itself on the way it should evolve. The report clearly stated that improving NCOES was the NCO corps top concern. Such improvements included better addressing of full spectrum operations, a downward rather than lateral emphasis on skill level training, increased rigor and higher standards, more emphasis on experiential learning, and better input from the field to maintain NCOES relevancy. The wars of the 2000s shaped the way NCOES responded to this study. On one side, repeated deployments and the pressure of war made attendance and completion at NCOES schools difficult. Yet, the Gulf War had demonstrated, and Final Report emphasized, how important NCOES was in creating and maintaining an effective and professional NCO corps.

j. Responses to the report and the war included curriculum changes, such as the 2005 transition from PLDC to the Warrior Leader Course. The changes also included reconceptualizing NCO education, and in particular the SGM's course, as a pathway to a college degree. That meant a substantial redesign of USASMA and NCOES itself, with the ultimate goal of giving USASMA 120 hour BA granting status. In 2002, invoking Title X as its authority, the number of instructors at USASMA increased and the academic requirements to be an instructor became the same as at an accredited or other Army college who were accredited through the Higher Learning Commission (HLC.) In 2005, USASMA began adopting the Command Staff General College's (CSGC) Program of Instruction (POI) and academic structure, increased its instructors again, expanded the number of academic departments from three to five, and the lessons and lesson plans became more adaptable. The SGM Course itself went from nine to ten months to meet CSGC/HLC academic requirements. USASMA qualified for accreditation through CGSC/The Higher Learning Commission, with the first 110 Sergeants Majors of Class 69 receiving their BA in Leadership and Workforce Development in 2019.

k. The new curriculum was in place by 2009, and first class to use the new POI was Class 60. That same year, CSM Raymond Chandler became the Commandant of USASMA, the first time an NCO became commandant of a major Army school. The graduates of Class 60 also paved the way for another innovation. In 2015, Commandant Defreese oversaw the initiation of the Pennsylvania State University's USASMA Fellowship Program. Graduates of USASMA attend classes for a master's, and in turn they would qualify to become instructors at USASMA. This created a "feed" of instructors whose qualifications met the HLC requirements for certification.

l. Still, NCO professional development remained fragmented in many ways. In August 2009 TRADOC created the Institute for NCO Professional Development (INCOPD.) INCOPD was to coordinate and develop all aspects of NCO education and professional development. Between 2009 and 2016, it developed a comprehensive NCO educational and professional development system (NCOPDS) which replaced NCOES. NCOPDS was announced to the Army with the publication of NCO 2020 Strategy: NCOs Operating in a Complex World (Dec 2015), and continues the idea of educating NCOs, but in a more holistic way than NCOES allowed. The NCO 2020 Strategy sees "NCOES evolving into an integrated NCO Professional Development System."

m. NCOPDS includes education, with Line of Effort (LOE) #1-Development, LOE#2-Talent Management, and LOE#3-Stewardship of the Profession. The NCOPDS consists of five schools: the Basic Leader Course, Advanced Leader Course, Senior Leader Course, Master Leaders Course, and Sergeant Majors Course. It allows for continuous education by requiring Distributed Leaders Course (DLC) in-between courses (See Figure 1-5). NCOPDS includes MOS proficiency and policy, providing NCOs with a holistic and relevant approach to education, training and professional career development. Overseeing NCOPDS and developing a common curriculum and POIs, in 2018 USASMA became the NCO Leadership Center of Excellence (NCOLCoE.) The end result is to systematically and holistically develop an NCO corps that is flexible, adaptable, credentialed, has a strong sense of its own identity and ethos and is capable of meeting warfighting challenges across a broad spectrum of situations and environments.

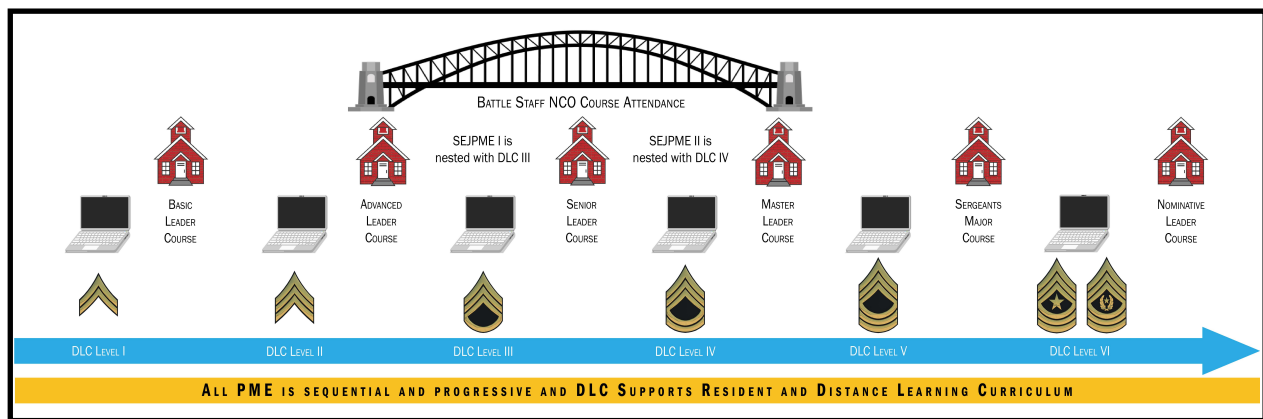


Figure 1-5. NCO PME Learning Continuum.

n. NCOPDS and the NCOLCoE are the latest step in the evolving capabilities and opportunities of the NCO corps. The modern battlefield is expected to be fluid and fast and requires maximum subordinate freedom of action within the commander's intent. Full spectrum dominance expands that and pushes the physical battlefield into areas such as cyberspace that the combat leader cannot afford to ignore. The volunteer Soldiers of today are better educated and technologically aware than previous generations and the level of technical sophistication is much greater. Effectively leading, teaching and administering such troops has never been more challenging. The NCO educational system developed in the post-Vietnam era teaches NCOs how to function at higher levels and preserves, enhances and expands the quality, authority, and prestige of the NCO corps.

o. Post-9/11, with its subsequent invasions of Afghanistan and Iraq as part of the Global War on Terrorism (GWOT), the Army and the NCO Corps that developed throughout the post-Vietnam era faces its greatest trial to date. The Army has been at war for more than a decade and half, placing pressure on troops, material, and resources. Tactically, the NCO of today bears more responsibility for mission success than at any other time in the Army's history. The nature of today's conflicts places more emphasis than ever on the role of the small unit leader and decentralized operations. The equipment the modern Soldier carries is more technologically advanced and requires knowledge, care and skill to employ successfully. Today's NCOs perform tasks in civil and military affairs that previously would have been the exclusive province of officers.

p. In the era of the Internet and 24 hour news, the responsibility to act as upholders of Army values is a never-ending task and the NCO ensures these values are kept throughout the ranks. Leading today's educated, technically savvy, highly motivated troops requires excellent leadership, critical thinking, communication and managerial skills. Being an NCO has transitioned from being a job to a professional career because today's Army demands more from its NCOs than ever before. As always, the NCO keeps careful watch over the troop's morale, training, well-being and readiness. The risks of war and multiple deployments add a unique stress to the NCO's personal and family life. Yet, history, tradition and the educational, professional and organizational reforms from the 1970s to the present prepare the NCO corps for the challenge. Von Steuben might be surprised at the diversity of roles and responsibilities the NCO corps of today carries, but he would certainly recognize in it the backbone of the Army he envisioned more than two centuries ago.

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CHAPTER 2

BE, KNOW, DO



THE NCO LEADERSHIP CENTER OF EXCELLENCE
Presents



NCO Common Core Competencies

READINESS

LEADERSHIP

OPERATIONS

**TRAINING
MANAGEMENT**

**PROGRAM
MANAGEMENT**

COMMUNICATIONS

**"Sergeants must inspire Soldiers to be more than they ever thought they could be."
- CSM James A. (Art) Johnson**

Chapter 2

Be, Know, Do

2.1. NCO Common Core Competencies (NCO C³).

NCO Common Core Competencies: Six major topic areas (Readiness, Leadership, Training Management, Communication, Operations, and Program Management) taught in NCO Professional Military Education (PME) are common to all Noncommissioned Officers regardless of Military Occupational Specialty (MOS), rank, or position. NCO common core competency topics support the four Army Learning Areas, includes subjects that are sequential and progressive, and build on skills, knowledge, and abilities of every NCO by enhancing a shared understanding required to operate effectively as a professional member of a ready and lethal force.

READINESS

NCOs are responsible for Soldier readiness and play a key role in unit readiness. This competency includes: inspections, comprehensive Soldier fitness (physical, spiritual, emotional, social, and family), equipment maintenance, Soldier for Life-Transition Assistance Program (SFL-TAP), resiliency, Medical Protection System (MEDPROS), and financial readiness.

LEADERSHIP

The Army relies on NCOs capable of conducting daily operations, executing complex tactical operations, and making intent-driven decisions. NCOs must lead by example and model characteristics of the Army Profession. This competency includes: servant leadership, counseling, coaching and mentoring, the Army ethic, Army values, and character development. It also includes a thorough understanding of the leadership requirements model (attributes and competencies), critical thinking, and problem solving.

TRAINING MANAGEMENT

NCOs are directly responsible for training individual Soldiers, crews, and small teams. The Army training principles provide a broad but essential foundation to guide NCO leaders as they plan, prepare, execute, and assess sustained and effective training. This competency includes: risk management, preparing an 8-step outline, conducting individual training, and the art and science of training from squad to brigade level.

COMMUNICATION

Competent leaders depend on good communication. Leaders cannot lead, supervise, counsel, coach, mentor, or build teams without the ability to communicate clearly. This competency includes: verbal (public speaking & military briefings), written (English and Grammar), active listening, facilitation, negotiations, social media, digital communications, and media engagement.

OPERATIONS

Mission command is the Army's approach to command and control that empowers subordinate decision making and decentralized execution appropriate to the situation. This competency includes: large-scale combat operations, multi-domain operations (cyber, land, sea, air, & space), Joint operations, operational & mission variables, Troop Leading Procedures (TLP), Military Decision Making Process (MDMP), warfighting functions/combat power, operational terms & symbols, operational contract support, and DoD strategies.

PROGRAM MANAGEMENT

NCOs assist their officer counterparts in managing Army programs that help Soldiers and Families. This competency includes: The Army Safety Program, Army Learning Management Systems (ALMS), Army Career Tracker (ACT), Army Training Management System (ATMS), Talent Management, Army Maintenance Program, Army Community Services (ACS), Information Management, Command Supply Discipline Program (CSDP), Integrated Personnel and Pay System-Army (IPPS-A), and the Army Force Management Model (How the Army Runs).

"It is the Noncommissioned Officer to whom the Soldier first turns to when he/she needs information, counsel, or other help. Squad Leaders, Platoon Sergeants, and First Sergeants create the leadership environment in which today's Army concepts thrive or expire. The quality of the Noncommissioned Officer Corps determines in large measure the quality of the Army."

- 4th SMA Leon L. Van Autreve

The Role of the Sergeant Major of the Army



2.2. The Sergeant Major of the Army (SMA).

The SMA is the senior enlisted position of the Army, serving as the senior enlisted adviser and consultant to the Secretary of the Army and the Chief of Staff of the Army. The SMA is appointed to serve as the voice of the NCO corps to address the issues of enlisted Soldiers to all officers.

The Role of the Command Sergeant Major and Sergeant Major



2.3. The Command Sergeant Major (CSM).

The CSM is the senior NCO in the command at battalion and higher levels. The CSM carries out, and enforces, policies and standards on performance, training, appearance and conduct of the organization. The CSM is the principal advisor to the commander, giving advice and making recommendations to the commander and staff in matters pertaining to the organization. The CSM is responsible for enlisted talent management and ensures all aspects of the NCO C³ are present in the operational domain.



The Sergeant Major (SGM).

The SGM is the key enlisted member of staff elements at battalion and higher levels. The SGM's experience and abilities are often equal to that of the unit CSM, but their direct leadership influence is limited in scope to those directly in their charge. The SGM is key in the training and operations planning process, leveraging their experience and vast technical and tactical knowledge to advise the staff and the Operations Officer. The SGM is a subject matter expert in their field, primary advisor on policy development, analytical reviewer of regulatory guidance and often fulfills the duties of the CSM in the incumbent's absence.

The Enlisted Commandant.

Department of the Army selected CSMs will serve as an enlisted Commandant at NCO Academies. These CSMs exercise command and control over all elements assigned or attached to the academy. The responsibilities of an enlisted Commandant differ from normal CSM duties and authorities in that they are solely responsible for directing the operations of their academy through mission command. Enlisted Commandants can expect to be responsible for property accountability, budget decisions, administrative actions, manning, training, mentoring, and talent management in addition to the above CSM/SGM responsibilities.

While the duties and responsibilities may vary, the core competencies required of the CSM and SGM are synonymous. Those competencies include, but are not limited to:

Readiness

- 1) Apply Standards for training proficiency and report training data accurately.
- 2) Conduct continuous performance assessments of organizations to ensure effective management of opportunities (self-development, leadership development, NCOPDS, and functional courses).
- 3) Focus on key training objectives.
- 4) Coordinate and sustain a reception and integration program.
- 5) Determine effectiveness of all policies and practices affecting readiness; manage the process.
- 6) Manage the personnel and individual training components of the Unit Status Reporting (USR).
- 7) Leverage current and proposed initiatives (ESB, EIB, EFMB, ACFT) to drive readiness.
- 8) Promote relationships with supporting organizations that support readiness.
- 9) Manage Human Resources in order to maintain directed levels of individual readiness.

Leadership

- 1) Possess the courage and moral strength to do the right thing consistent with the Army Values.
- 2) Execute enlisted talent management utilizing Soldier knowledge, skills, and behaviors.
- 3) Understand Mission Command to execute the Commander's intent through disciplined initiative.
- 4) Extend influence inside and outside the organization through negotiation and utilizing social intelligence (self-awareness, self-management, social awareness, and social skills).
- 5) Develop agile and adaptive leaders who solve problems using critical and creative thinking to sustain an organizational culture that enables Mission Command.
- 6) Mentor staff NCOs and officers, continually develop their skills to build a stronger staff and planning cell.
- 7) Be present and engaged with the unit's training and operations, provide clear and unbiased feedback to staff and planners.
- 8) Identify and provide solutions to problems or issues affecting the unit.
- 9) Drive the NCO development process.

Training Management

- 1) Foster an organizational learning culture where opportunities for growth and improvement are enduring.
- 2) Eliminate or minimize training distractions; discipline the training management process.
- 3) Verify completed training is codified in the system of record.
- 4) Conduct After Action Reviews and capture lessons learned.
- 5) Collaborate efforts and resources to allow for cross-training and continuity.
- 6) Ensure training meets the commander's intent, mission requirements, and Soldier's abilities.
- 7) Ensure the implementation and proper conduct of key and essential training events such as the ACFT, individual and collective training.
- 8) Enforce the 8-Step Training Model.
- 9) Implement and enforce policies and standards on training.
- 10) Identify, plan, coordinate and protect training.

Communications

- 1) Ensure common operating picture thru unity of effort, greater efficiency, and increased accountability.
- 2) Communicate strategic and operational level policy to the tactical level.
- 3) Coordinate staff efforts by assessing and adjusting information sharing as needed, based off of capability gaps or weaknesses as identified.
- 4) Advise the commander in the development and employment of redundant communications systems (PACE plan).

- 5) Understand the target audience and effectively communicate the message to echo the commander's intent and inspire those audiences.
- 6) Monitor and enforce the unit's digital communications SOPs. Utilizes engaging communication techniques and messages to ensure open communication, solicit feedback from the staff, and remain approachable to foster a positive command environment that supports organizational growth through open and candid communication.
- 7) Assess and advise the impacts of policy and initiatives on the organization.
- 8) Engage key stakeholders and foster strong relationships with agencies within the community to build teams and expand the unit's sphere of influence.
- 9) Build relationships with peer units and higher headquarters to champion the unit up and out.

Operations

- 1) Understand the operational environment.
- 2) Employ Mission Command principles and support the Commander's priorities.
- 3) Extend the operational reach of the Commander in all aspects, from leadership, to planning, and operations.
- 4) Identify gaps in both the organization and the plan, then leverage resources to overcome them.
- 5) Engage throughout the planning process – Influence planning efforts to reflect reality and true training goals.
- 6) Validate unit sustainment practices and participate in sustainment rehearsals.
- 7) Leverage time and resources to plan, prepare, execute, and assess training.
- 8) Enforce and the command's SOPs.
- 9) Have a directed focus on the battlefield and in garrison.
- 10) Ensure leaders are accountable and responsible for the proficiency of their subordinates (Soldiers and Army Civilians) to prepare to conduct its wartime mission.
- 11) Prioritize resources and ensure subordinate unit requirements are met.

Program Management

- 1) Shape leaders through talent management efforts that develop NCOs through educational and training opportunities.
- 2) Enforce effective use of available time to support unit increases in proficiency; actively champion the balance of work/life balance for Soldiers and leaders.
- 3) Support commander's programs and facilitate Army programs through accountability practices (UPL, AOAP, Retention).
- 4) Integrate teams to optimize unit performance with programs and practices.
- 5) Understand the vision, objectives, and goals of higher headquarters; advise the Commander in developing supporting efforts and policy.
- 6) Develops, mentors, and manages talent two levels down, based on organizational requirements and assists in subordinates career progression.
- 7) Implement and maintain organizational Leader Professional Development programs.
- 8) Promote the unit's heraldry and history.

The Role of the First Sergeant and Master Sergeant

2.4. General Baron Von Steuben, a key advisor to George Washington, outlined the duties of NCOs such as the Sergeant Major, Quartermaster Sergeant, and other key NCOs, but it was the company First Sergeant to which he directed most of his attention. According to Von Steuben, First Sergeants must be "intimately acquainted with the character of every Soldier in the company and should take great pains to impress upon their minds the indispensable necessity of the strictest obedience as the foundation of order and regularity."



The First Sergeant (1SG).

The 1SG is the senior enlisted advisor to the Commander at the Company/Troop/Battery level. 1SGs are responsible for maintaining and enforcing standards, ensuring training objectives are met, developing the Soldiers in the unit, all administrative functions, and ensuring the health, welfare, and morale of the unit and their Families. The 1SG serves as a coordinator for training and resources, as well as the lead integrator with outside organizations and entities. The 1SG is the standard bearer for their organization and serves as a role model for all Soldiers, NCOs and Officers.



The Master Sergeant (MSG).

The MSG serves as a key enlisted member of staff elements at battalion or higher levels. Although not charged with leadership responsibilities equal to that of a 1SG, the MSG's level of experience makes them an invaluable member of the staff for input on policy development, training development, and enforcing standards and discipline within the organization.

As with the SGM/CSM, the duties and responsibilities of the 1SG and MSG will vary, however, the core competencies are synonymous. Those competencies include, but are not limited to:

Readiness

- 1) Assist the commander in prioritizing AR 350-1 training; enforce the unit's training program.
- 2) Supervise and guide the unit's medical readiness (MEDPROS); establish positive relationships with medical providers.
- 3) Support and enforce the Integrated Disability System.

- 4) Promote and establish relationships with supporting organizations that assist in organizational readiness.
- 5) Advise the commander on Uniform Code of Military Justice actions and manage the execution of the administration process.
- 6) Implement and manage the unit physical readiness program.
- 7) Enforce and support the Soldier for Life Transition Assistance Program.
- 8) Manage the Unit Commander's Financial Report.

Leadership

- 1) Live and demonstrate the attributes and competencies of the leadership requirements model.
- 2) Exhibit and maintain an atmosphere of respect, adaptability, and resilience.
- 3) Assess, create, and foster an ethical and positive culture and climate within the organization.
- 4) Extend influence within and beyond the chain of command to build relationships.
- 5) Prioritize presence at training and determine daily the most important place to be within the organization.
- 6) Communicate and drive the commander's vision and intent by serving as the conduit between the commander and Soldiers.
- 7) Recognize disciplined initiative within the commander's intent through competency based talent management.
- 8) Strengthen the characteristics of trust, honorable service, military expertise and esprit de corps through stewardship of the Army Profession.
- 9) Coach and foster servant leadership through effective counseling and development.

Training Management

- 1) Develop an organizational learning culture where opportunities for growth and development are enduring.
- 2) Design, implement, and manage an NCO leader development program and coach junior officers.
- 3) Provide oversight to the training management process.
- 4) Direct the input of completed training data into the system of record DTMS.
- 5) Identify and communicate resource needs to allow for training and cross-training proficiency.
- 6) Understand and participate in the operations process.
- 7) Monitor and evaluate individual and collective training in accordance with the unit's approved METL.
- 8) Drive the implementation of the 8-Step Training Model.
- 9) Recommend, implement, and enforce policies and standards in training.

Communications

- 1) Utilize both oral and written products to effectively communicate ideas, viewpoints, and military correspondence.
- 2) Demonstrate confidence and presence when speaking publicly to present ideas logically and clearly.
- 3) Employ active listening and the components of communication to solve problems and achieve results.
- 4) Translate military briefings to the appropriate stakeholders to create shared understanding.
- 5) Understand organizational level policy and apply at the direct level.
- 6) Exercise and enforce emotional intelligence (self-awareness, self-management, social awareness, social skill).

Operations

- 1) Understand the operational environment.
- 2) Exercise and support the Commander's priorities and enable mission command.
- 3) Extend the operational reach of the Commander in all aspects, from leadership to planning and operations.
- 4) Analyze operational gaps in both the organization and the plan; leverage the shortfalls by providing the appropriate resources and guidance to achieve positive results.
- 5) Engage throughout the planning process to ensure training objectives are well defined and achieved.
- 6) Validate unit sustainment practices and direct sustainment rehearsals.
- 7) Understand Army doctrine associated with mission command and operations.
- 8) Endorse and enforce the command's SOPs.
- 9) Advise commanders and staff; direct the NCO support channel in all operations.
- 10) Leaders must be accountable and responsible for their subordinates proficiency in conducting their wartime missions.

Program Management

- 1) Train and educate subordinates on Army and organizational programs.
- 2) Assist in developing and maintaining a training calendar to create predictability for service members and enable comprehensive Soldier and Family fitness.
- 3) Execute talent management.
- 4) Lead information dissemination within the unit.
- 5) Oversee social media platforms and online conduct.
- 6) Assist and enforce the standards associated with unit maintenance programs.

The Role of the Sergeant First Class



2.5. The Sergeant First Class (SFC).

The SFC may serve in a variety of positions from Platoon Sergeant to NCOIC, or as a member of the staff at battalion or brigade levels. The Platoon Sergeant is the senior NCO at the platoon level and primary advisor to the Platoon Leader. They are responsible for the continued professional development and mentoring of the Platoon Leader. The Platoon Sergeant is primarily responsible for the health, welfare, training, and development of the Soldiers in their charge. They are the first level of NCO that has input into the unit's Mission Essential Task List and train collective tasks at the platoon level. The SFC who is an NCOIC on staff uses their extensive experience and knowledge base to provide input to their superiors for purposes of planning or executing operations. They may also be called upon to train Soldiers on both collective and individual tasks.

While the duties and responsibilities may vary based on assigned position, the core competencies required of the SFC remain constant. Those competencies include, but are not limited to:

Readiness

- 1) Prioritize readiness across the formation by forecasting future shortfalls and gaps created by equipment and personnel changes.
- 2) Pro-actively address Soldier issues that will affect unit readiness.
- 3) Assess and manage readiness across the platoon.
- 4) Understand and teach the impact of Soldier's individual readiness on the unit.
- 5) Manage an effective readiness tracking system.
- 6) Engage with unit's medical providers and Army agencies to resolve individual Soldier's readiness issues.

Leadership

- 1) Utilize Mission Command to execute the Commander's and Platoon Leader's intent through disciplined initiative empowering subordinate leaders.
- 2) Advise leaders of Soldier's talents and capabilities.
- 3) Coach and counsel the NCOs in the platoon, as well as advising and mentoring the Platoon Leader.
- 4) Possess the courage and moral strength to do and teach the right thing within the Army Values.

- 5) Foster a positive and respectful culture within the platoon that supports the Chain of Command (COC).
- 6) Develop adaptive and agile Soldiers that learn “how to think” vs “what to think” – promote critical and creative thinking in subordinate leaders.
- 7) Create a climate of discipline and standards through effective training that creates mental toughness, unit cohesion, bonding, and a spirit of teamwork.
- 8) Create and maintain a command climate through mutual respect between senior and subordinate personnel by utilizing fairness, justice, and equality for all Soldiers, regardless of race, religion, color, gender, or national origin.

Training Management

- 1) Ensure training is properly planned, resourced, rehearsed, and executed.
- 2) Ensure subordinate leaders conduct proper physical readiness training and have a training plan for the APFT and the ACFT.
- 3) Ensure Soldiers know and understand Commander’s intent, mission requirements, and the reason for the training event(s).
- 4) Understand, teach, and implement the 8-Step Training Model.
- 5) Set and enforce standards during all training events.
- 6) Tailor training to develop subordinate leaders keeping within the scope of Mission Command philosophy.
- 7) Eliminate or minimize training detractors, discipline the training management process.
- 8) Understand how to utilize ATN, METL Crosswalk, and individual task selection to develop a training plan.

Communications

- 1) Provide direction, guidance, and clear priorities that support the commander’s intent.
- 2) Effectively communicates during planning, preparation, and execution.
- 3) Publicly praise good performance and privately reprimand substandard performance.
- 4) Leverage communication methods and technologies.
- 5) Understand social media communication platforms and reinforce discipline within the platoon.
- 6) Provide clear feedback when reviewing subordinate’s evaluations, award submissions, and training plans.
- 7) Continually develop and improve written communication skills.

Operations

- 1) Assess the operational environment, leveraging knowledge and experience to understand how it will affect accomplishment of the unit’s mission.
- 2) Employ the principles of Mission Command IOT support the commander’s intent.
- 3) Synchronize time and resources in order to plan, prepare, execute, and assess operations.
- 4) Possess a direct focus on successful mission accomplishment.

- 5) Utilize the Military Decision Making Process (MDMP) to resolve complex problems, and present valid courses of action to the Platoon Leader and commander.
- 6) Advise the Platoon Leader and commander on prudent and acceptable levels of risk during training and operations.
- 7) Advise S3/G3 and FUOPS planners in the development of operations and training plans.

Program Management

- 1) Ensure platoon/section understand all requirements for qualification, certification and recertification for all required tasks.
- 2) Conduct continuous performance assessments to ensure the platoon meets the unit mission requirements.
- 3) Be personally accountable, and responsible for physical fitness readiness training programs.
- 4) Increase maintenance program efficiency by understanding and being actively involved in platoon level maintenance.
- 5) Understand DA Pam 600-25, NCO Professional Development Guide, to guide subordinates career progression within their CMF.
- 6) Ensure the platoon's individual and collective training records are accurately recorded in the proper system of record DTMS.

The Role of the Staff Sergeant



2.6. The Staff Sergeant (SSG).

The SSG leads squads and sections and is a critical link in the NCO support channel. As a first line supervisor, SSGs live and work with Soldiers every day and are responsible for their health, welfare, and safety. These leaders ensure that their Soldiers meet standards in personal appearance and teach them to maintain and account for their individual and unit equipment and property. The SSG enforces standards, develops, and trains Soldiers in MOS skills and unit missions. SSGs secondary role is to support the chain of command through the NCO Support Channel.

The core competencies required of the SSG include, but are not limited to:

Readiness

- 1) Understand and communicate the importance of readiness, the systems that track it, and the impacts it has on the mission.
- 2) Promote and support current and proposed initiatives (ESB, EIB, EFMB, ACFT) to drive readiness.
- 3) Understand, train, and assess individual, team, and squad tasks that fall within unit METL.
- 4) Develop squad training plans that support the unit METL and are nested with platoon and company training plans.
- 5) Understand the reception and integration process for individual Soldiers.
- 6) Integrate Army programs into unit readiness.

Leadership

- 1) Actions and conduct consistently reflect the Army Values and Army Ethics; remain a role model for Soldiers.
- 2) Understanding the Mission Command Philosophy enables the execution of the commander's intent through disciplined initiative and decisive action.
- 3) Take opportunities to continually train Soldiers, share knowledge to develop subordinates for increased positions of responsibility.
- 4) Be the subject matter expert in their PMOS.
- 5) Know your Soldiers at a personal level to promote effective personal and professional counseling.
- 6) Employ adaptive thinking and problem solving skills to resolve conflicts and manage Soldier issues.
- 7) Understand and execute prudent risk management.

Training Management

- 1) Build a learning organizational culture where opportunities for growth and improvement are encouraged.
- 2) Verify completed training is documented in the system of record DTMS.
- 3) Collaborate efforts and resources to allow for cross-training and continuity.
- 4) Ensure training meets the commander's intent, mission requirements, and enhances Soldier's abilities.
- 5) Execute training and remain engaged throughout the planning process.
- 6) Implement and enforce policies and standards on training and emphasize the 8-Step Training Model.
- 7) Lead tough, realistic, and challenging training.

Communications

- 1) Tactical Communications – ability to use critical thinking and articulate thoughts in a tactical situation.
- 2) Employ active listening to gain a thorough understanding of CDR's intent, unit's mission, and challenges presented by the operational environment.
- 3) Communicate CDR's intent and operational objectives ensuring a shared understanding by subordinates.
- 4) Effective use of written communication when writing narratives, awards, evaluations and electronic communications.
- 5) Solicit feedback from subordinates or audience to ensure content is understood by all.
- 6) Use visual modeling to complement narratives; enhance shared understanding.

Operations

- 1) Understand the commander's intent and employ principles of mission command to achieve it in the operational environment.
- 2) Understand unit capabilities and how to employ them.
- 3) Engaged throughout the planning process, assist in and be a part of the planning, preparation, execution, and assessment of training.
- 4) Understand and execute battle drills, command's SOPs, and local unit policies.
- 5) Be a combat multiplier utilizing systems; have a directed focus on the battlefield and in garrison (ATRRS manager, Battle Staff, MFT, RFMSS, TAMIS, DTMS).
- 6) Be a trainer to increase proficiency of their subordinates (Soldiers and Army Civilians) to prepare to conduct its wartime mission.

Program Management

- 1) Conduct performance assessments to ensure the squad meets unit mission requirements leverage knowledge and experience to manage issues and solve problems at the squad level.
- 2) Know where and how to use support agencies to enhance or maintain Soldier readiness (ACS, AER, JAG, EO, IG).
- 3) Manage and enforce equipment maintenance plans within the squad.
- 4) Ensure individual and squad collective training is recorded in appropriate system of record DTMS.
- 5) Support unit's heraldry, history, and local command's programs (NCO induction ceremony, FRG, BOSS).

The Role of the Sergeant and the Corporal



2.7. The Sergeant (SGT).

The SGT leads teams as part of a squad, crew or section and is the first line of the NCO Support Channel. As a first line supervisor, SGTs have the most direct impact on Soldiers. SGTs live and work with Soldiers every day and are responsible for their health, welfare, and safety. The counseling, training, and care SGTs provide will determine the success of the unit's mission and issues that develop during home station operations. These leaders ensure their Soldiers meet standards in personal appearance, teach them to maintain and account for their individual, as well as, unit equipment.



The Corporal (CPL).

The CPL was established in 1775 with the birth of the Army and the NCO corps. Along with the rank of sergeant, the corporal is the only rank that has never disappeared from the NCO corps. CPLs are the base of the NCO ranks, serving as leader of the smallest Army units, principally; teams leaders. Like sergeant, corporals are responsible for the individual training, personal appearance and cleanliness of their Soldiers. As the command sergeant major is known as the epitome of success in the NCO corps, the corporal is the beginning of the NCO corps. As the NCO corps is known as the backbone of the Army, the corporal is the backbone of the NCO corps.

The core competencies required of the SGT/CPL include, but are not limited to:

Readiness

- 1) Document and report individual and team training data accurately.
- 2) Conduct performance assessments and open and honest counseling of subordinates.
- 3) Focus on commander's key training objectives and own the individual training of your Soldiers' that supports readiness.
- 4) Know your Soldiers and how their individual readiness affects unit readiness.

Leadership

- 1) Demonstrate the courage and moral strength to do the right thing consistent with the Army Values and the Army Ethics.
- 2) Develop Soldier knowledge, skills, and behaviors.
- 3) Understand mission command to execute the commander's intent through disciplined initiative and decisive action.
- 4) Take opportunities to grow as a leader and continually seek self-improvement and knowledge for higher levels of responsibility.

Training Management

- 1) Follow Troop Leading Procedures and the 8-step Training Model to support the training management process.
- 2) Understand the commander's intent, mission requirements, and know Soldiers' abilities to achieve desired training outcomes.
- 3) Execution of key and essential training events such as the ACFT, individual, and collective training.
- 4) Follow and enforce policies and standards on training.
- 5) Lead effective, challenging, and realistic training.

Communications

- 1) Understand and translate the commander's intent and ensure Soldiers understand the unit's mission.
- 2) Understand and enforce appropriate digital communications practices following OPSEC and social media policies.
- 3) Use effective communication and messages to support a positive environment and the Army profession.
- 4) Collaborate with other NCOs, coordinate resources, and cross-train Soldiers.

Operations

- 1) Understand the operational environment and the commander's intent.
- 2) Provide feedback to supervisors which will influence planning efforts for realistic and attainable training goals.
- 3) Time management- consider resources to plan, prepare, execute, and assess training.
- 4) Ensure enlisted Soldiers' are accountable and responsible for their actions.

Program Management

- 1) Employ time management to encourage work/life balance for your Soldiers.
- 2) Seek additional duties and support unit programs.
- 3) Understand the commander's vision and goals.
- 4) Research and use Army programs for Soldier care.

2.8. NCO Support Channel.

a. The NCO Support Channel (leadership chain) parallels and complements the chain of command. It is a channel of communications and supervision from the Command Sergeant Major (CSM), to 1SG and then to other NCOs and enlisted personnel of the unit. Commanders define responsibilities and authority of their NCOs to their staff and subordinates by:

- Transmitting, instilling, and ensuring the efficacy of the professional Army ethic.
- Planning and conducting day-to-day unit operations within prescribed policies and directives.
- Training of enlisted Soldiers in their MOS as well as in the basic skills and attributes of a Soldier.
- Supervising unit physical fitness readiness training and ensuring that unit Soldiers comply with the height/weight and appearance standards of AR 600–9, and AR 670–1.
- Teaching Soldiers the history of the Army, to include military customs, courtesies, and traditions.
- Caring for individual Soldiers and their Families, both on and off duty.
- Teaching Soldiers the mission of the unit and developing individual training programs to support the mission.
- Accounting for and maintaining individual arms and equipment of enlisted Soldiers and unit equipment under their control.
- Administering and monitoring the Noncommissioned Officer Development Program (NCOPDS) and other unit training programs.
- Achieving and maintaining courage, candor, competence, commitment, and compassion.

b. DA Pam 611–21 and AR 600-20 contain specific information concerning the responsibilities, command functions, and scope of NCO roles.

2.9. Command Supply Discipline Program (CSDP).

CSDP is a commander's program. However, leaders must enforce and implement effective programs to ensure resources are not subject to fraud, waste, and abuse. CSDP is an individual, supervisory and managerial responsibility that standardizes supply discipline throughout the Army. An essential characteristic of our responsibility is stewardship of our profession. A key role and responsibility of a NCO is accountability and readiness of property and stewardship of Army resources. Besides the readiness of Soldiers, the single most important combat multiplier is equipment readiness and serviceability. The purpose of CSDP is:

- Establish supply discipline as regulatory guidance.
- Standardize supply discipline requirements.
- Provide responsible personnel with a single listing of all existing supply discipline requirements.
- Make the Army more efficient regarding time spent monitoring subordinates' actions.

2.10. Property Accountability.

- a. All persons entrusted with government property are responsible for its proper care, custody, safekeeping, and disposition.
- b. Army property will not be used for any private purpose except as authorized by HQDA.
- c. No government property will be sold, given as a gift, loaned, exchanged or otherwise disposed of.
- d. Giving or accepting an issue document, hand receipt, or other forms of receipt to cover articles that are missing or appear to be missing is prohibited.
- e. Military members or Civilian employees of the Army who occupy government quarters, or issued furnishings for use in government quarters must properly care for such property.

2.11. Accounting for Army Property.

- a. All property (including historical artifacts, art, flags, organizational property, and associated items) acquired by the Army from any source, whether bought, acquired, or donated must be accounted for.
- b. Accounting will be continuous from the time of acquisition, until the ultimate consumption or disposal of the property occurs.

2.12. Item Classification.

- a. Nonexpendable property is personal property that is not consumed in use and that retains its original identity during the period of use. Nonexpendable property requires formal property book accountability at the user level. Examples are major end items such as HMMWV, weapons, tents, tarpaulins, flags, pennants, and national flags.
- b. Expendable property is property consumed in use, or loses its identity in use. It includes item's not consumed in use, with a unit cost of less than \$500. Expendable items are items that require no formal accounting after issue to the user level. Example: oil, paint, fuel, or cleaning material.
- c. Durable property is personal property that is not consumed in use and that does not require property book accountability, but because of its unique characteristics, requires control when issued to the user. It is property that requires control at the user level using hand- receipt procedures or managed using inventory lists. Example: computers, laptops, and software.

2.13. Inventories of Personal Property.

All on-hand property carried on property book records and/or hand receipt records at the user's level will have a complete physical inventory upon change of the primary hand receipt holder or accomplished annually, whichever occurs first.

2.14. CSDP Responsibility.

Responsibility is the obligation of an individual to ensure government property and funds entrusted to their possession are properly used and cared for, and that proper custody, safekeeping and disposition are provided. Types of responsibility are:

- a. Command responsibility. Commanders are obligated to ensure all government property is properly used, care for, safeguarded, in proper custody, and disposed of. Command responsibility is inherent in command and cannot be delegated.
- b. Supervisory responsibility. Obligates supervisors to ensure all government property is properly used, cared for, safeguarded, in proper custody, and disposed of. It is inherent in all supervisory positions and is not contingent upon signed receipts or responsibility statements and cannot be delegated.
- c. Direct responsibility. Obligates a person to ensure all government property is properly used, cared for, safeguarded, in proper custody, and disposed of. Direct responsibility results from assignment as an accountable officer or acceptance of the property on hand receipt from an accountable officer.
- d. Custodial responsibility. Obligates an individual for property in storage, awaiting issue, or turn-in to exercise reasonable and prudent actions to property care for and ensure proper custody, safeguarding, and disposition of the property is provided.
- e. Personal responsibility. Obligates a person to exercise reasonable and prudent actions to properly use, care for, safeguard, and dispose of all government property issued for, acquired for, or converted to a person's exclusive use, with or without a receipt.

CHAPTER 3

THE ROADMAP TO LEADERSHIP



Basic Training is just the beginning of one's Leadership development.

*Ft. Leonard Wood, MO.
(November 30, 2017), Photo
courtesy of the Official
Twitter page of the U.S. Army.*

Leadership continues to grow throughout your career and improves through experience.



Chapter 3

The Roadmap to Leadership

3.0. Ref.

- ADP 1-0, *The Army*.
- ADP 6-22, *Army Leadership and the Profession*.
- ADP 7-0, *Training*.
- AR 350-1, *Army Training and Leader Development*.
- AR 600-9, *The Army Body Composition Program*.
- AR 600-20, *Army Command Policy*.
- AR 670-1, *Wear and Appearance of Army Uniforms and Insignia*.
- ATP 6.22-1, *The Counseling Process*.
- DA Pam 611-21, *Military Occupational Classification and Structure*.
- Yukl, Gary. *Leadership in Organizations*, Sixth Ed. (Saddle River, NJ: Pearson-Prentice Hall, 2006), p.147.
- Yukl, G., & Falbe, C.M. (1990). Influence tactics in upward, downward, and lateral influence attempts. *Journal of Applied Psychology*, #75, pp. 132-140.
- French, J. R. P., Raven, B. The Bases of Social Power. In D. Cartwright and A. Zander (Eds.), *Group Dynamics*. (New York: Harper & Row, 1959), pp. 150-167.
- Goleman, Daniel. Leadership That Gets Results. *Harvard Business Review*, March-April 2000, p.78.

3.1. The Army defines leadership as the activity of influencing people by providing purpose, direction, and motivation, to accomplish the mission and improve the organization. The NCOs approach to leadership is to take charge, build the team, and accomplish the mission. Leadership is developed over time, through challenging experiences, and developed through the three domains (See Chapter 4). Although doctrine is generally not specific to commissioned officers or NCOs, the responsibilities focus areas, and the approach to leadership doctrine is unique for the NCO.

3.2. Army Leadership Requirements Model.

The leadership requirement model aligns expectations with leader development activities and personnel management practices and systems. The model's components center on what a leader is (attributes—BE and KNOW) and what a leader does (competencies—DO). A leader's character, presence, and intellect enable them to apply the core leader competencies and enhance their proficiency. Leaders who gain expertise through operational assignments, institutional learning, and self-development will be versatile enough to adapt to most situations and grow into greater responsibilities. Figure 3-1 illustrates the framework.

3.3. A significant distinction between the attributes and competencies of the leadership requirements model is that competencies are skills that can be trained and developed while attributes encompass enduring personal characteristics, which are molded through experience over time.

A Soldier can be trained to be a capable machine gunner, but may not necessarily be a brave machine gunner without additional experience. Every educational, operational, and self-development event is an opportunity for observation, feedback, and reflection.

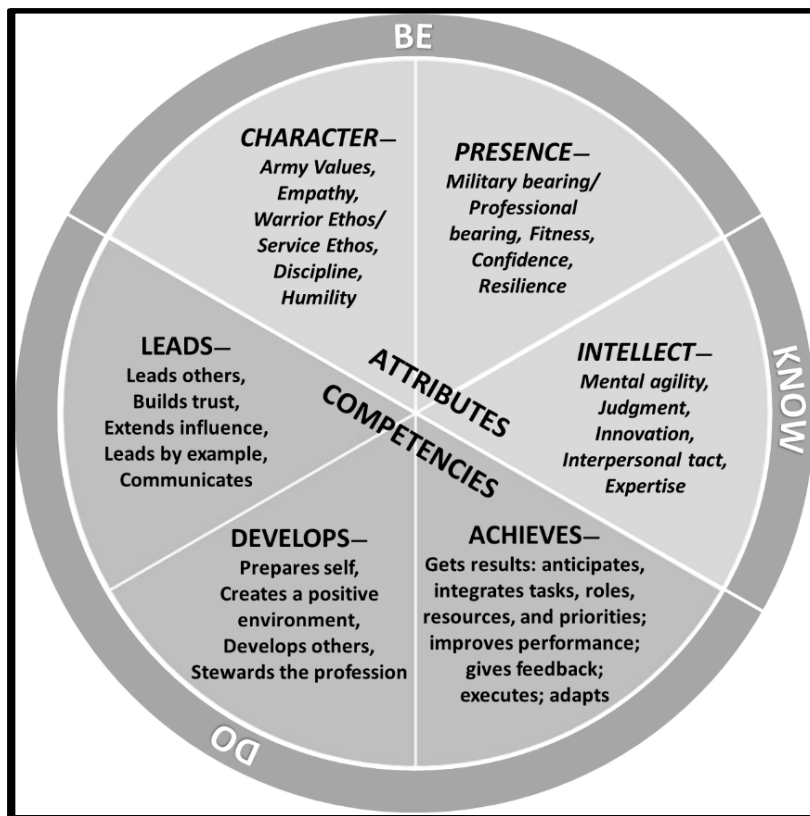


Figure 3-1. The Army leadership requirements model.

3.4. Core Leader Attributes.

Attributes are characteristics internal to a leader. These affect how an individual behaves, thinks, and learns within certain conditions. Strong character, solid presence, and keen intellect enable individuals to perform the core leader competencies with greater effect. The three categories of core attributes are—

- Character: the moral and ethical qualities of the leader.
- Presence: characteristics open to display by the leader and open to viewing by others.
- Intellect: the mental and social abilities the leader applies while leading.

3.5. Core Leader Competencies.

The core leader competencies are actions that the Army expects leaders to do: lead, develop, and achieve. Competencies provide an enduring, clear, and consistent way of conveying expectations for Army leaders. The core competencies are universal for all Army leaders. The core competency categories are—

- Leads: (take charge) provides purpose, direction, and motivation; builds trust; provides an example; communicates.
- Develops: (build the team) develops themselves, creates a positive climate, develops subordinates, and stewards the profession.
- Achieves: (accomplish the mission) executes, adjusts, and gets results to accomplish tasks and missions on time and to standard.

3.6. Mission Command and Be, Know, Do.

Mission command is the Army's approach to command and control that empowers subordinate decision making and decentralized execution appropriate to the situation. The attributes and competencies that NCOs need to exercise mission command are discussed in the LRM. To put these attributes and competencies into action, we focus on what a NCO must Be, Know, Do.

a. Be:

- Physically fit and mentally tough.
- Role Model (words and deeds).
- Candid, Competent, Courageous, and Committed.
- Tactically and Technically Proficient.

b. Know:

- Your Soldier.
- Yourself.
- Mission and unit capabilities.
- Operating environment.

c. Do:

- What is right morally and ethically.
- Decisive.
- Develop seniors, peers, and subordinates.
- Lead from the front.

3.7. Compliance vs. Commitment.

Military leaders are responsible for achieving any and all assigned missions. That is the expected result or outcome of their leadership. They can do this through either commitment or compliance-focused influence. Compliance-focused is directed at a followers behavior. It is generally effective for gaining *short-term and immediate results*. It also works well in time-constrained environments with basic tasks that require a specific action or behavior, and there is little need for follower understanding.

Long-term and lasting change requires a different focus. Leaders must move beyond compliance-prompted behavioral changes and focus on influencing followers attitudes, beliefs, and values in order to gain commitment. Commitment-focused is directed at a follower's thinking and implies the followers want the organization to succeed and positive changes to occur. Committed followers make a decision to take *personal ownership* of mission tasks, have *internal buy-in* to the leader's decisions and orders, and *pro-actively dedicate* themselves to mission accomplishment. They feel a shared responsibility for the successful completion of the task at hand. The critical point is that the commitment is self-initiated. It is a cognitive, thought-based process. The leader can create an environment that promotes and encourages follower commitment but the bottom line is that the individual must make a personal, internal, thought-out decision to fully sign on to the mission. The challenge for the organizational-level leader is gaining this commitment from subordinate leaders and followers for the health and future of the organization. How do they do it? It all begins with power.

3.8. Power.

A core tool or means leaders can leverage to gain follower commitment is the power available to them. *Power is defined as the capacity to influence others and implement change.* It is not the actual influencing action. Influencing is the application of power. Without power, there is little influencing; and with no influencing, there is no opportunity to gain genuine compliance or commitment from others.

What are the sources of a leader's power? According to Dr. 's Gary Yukl and Cecelia M. Falbe, there are two independent sources of power: *position and personal*. The first is the authority that comes from the position the leader is filling. This gives them position or positional power. With this form of power comes the authority of the position. Position power promotes follower compliance. The second source of power is personal power. This power comes from the leader's followers and is based on their trust, admiration, and respect for the leader. It is tied to the leader's expertise and personality. Personal power encourages and connects with follower commitment (See Figure 3-2).

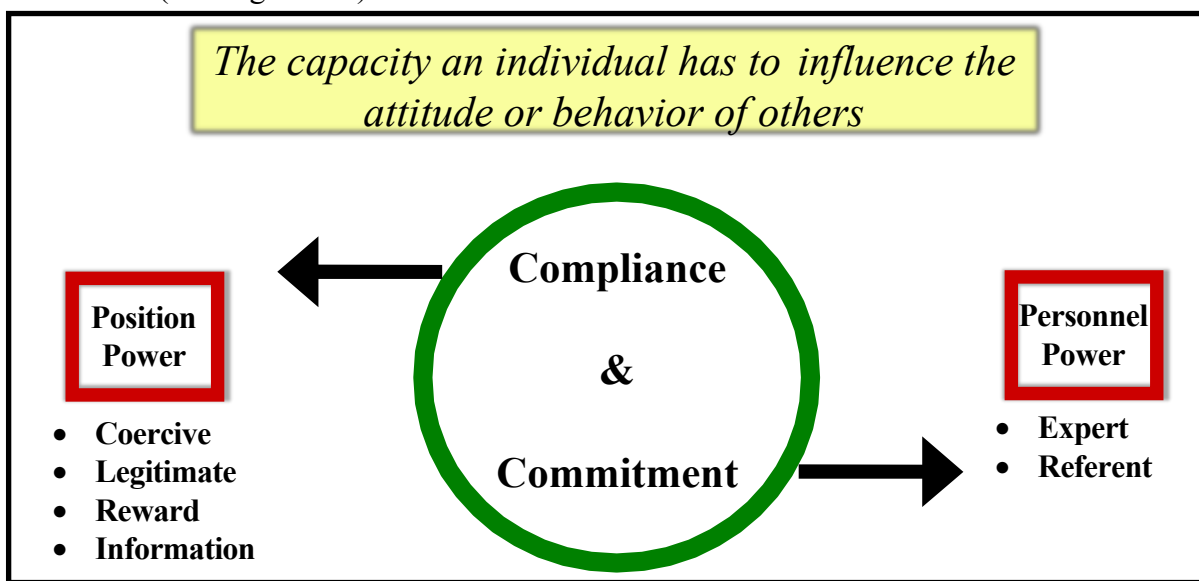


Figure 3-2. Sources of power.

a. Position power is derived from a particular office or rank in a formal organization. According to taxonomy of social psychologists John R.P. French and Bertram Raven, it can be divided into further subcategories such as coercive, legitimate, reward, and Information. When this power is applied through the use of appropriate influence techniques, it can be very effective in changing the behavior of followers. In other words, it is excellent in gaining compliance.

1) Coercive power is the opposite of reward power. Whereas reward power offers something positive and desirable, coercive power presents something negative and undesirable. Coercive power is the capacity to influence others through administering negative sanctions such as punishments, removal of privileges, or being placed in a bad light among one's peers. Coercive power is stereotypical of toxic military leaders. This form of power has serious limitations and disadvantages. It may bring temporary compliance but undermines long-term commitment. It could result in passive-aggressive behavior, retaliation, and formal complaints against the chain of command leading to disciplinary or relief actions.

2) Legitimate power comes from the leader's formal or official authority. Individuals with legitimate power influence others through orders and requests that are consistent and appropriate with their position. In the exercise of legitimate power, the followers respond because they believe the leader has the right to make requests or give orders, and they have an obligation to comply. Command is a form of legitimate power. According to ADP 6-22, Army Leadership, "command is the authority a commander in the military service lawfully exercises over subordinates by virtue of rank or assignment. It grants military leaders both the right and obligation to make decisions, give orders, and exercise control of resources such as budgets, equipment, vehicles and other assigned materials."

3) Reward power involves the capacity of leaders to use highly desired resources to influence and motivate their followers. These include promotions; selection for special duties, activities, or privileges; best competitions; medals; letters of appreciation or commendation; and so on. On a lesser but still significant scale, the reward could be public or private verbal praise, a thank you note, time off, an intercession on another's behalf, or a simple recognition by handshake or personal acknowledgment. When Soldiers realize their leaders in the chain of command know who they are, it can be highly motivational. In reality, the rewards leaders generate for followers are limited only by their creativity and originality.

4) Information power includes access to critical information, control over its dissemination, and the ability to act on that information. Based on rank and position, organizational leaders routinely have access to information that subordinates do not. Thus a leader who controls the flow of information has the opportunity to interpret events for subordinates and influence both perceptions and attitudes. Information is also vital in crisis situations because it is essential to the emotional wellbeing of those being led.

b. Personal power is derived from the followers based on their trust, admiration and respect for the leader. It is the power given to the leader by the followers based on the leader's personality or expertise. It can be subdivided into two categories: expert power and referent power. When this power is applied through the use of appropriate influencing techniques, it can be very effective in gaining commitment in others. This is because it allows the leader to influence not just the follower's behavior but their thinking as well through an appeal to personal attitudes, beliefs, and values. It is important to remember that followers can withdraw this power just as easily as they give it. Whereas position power encourages follower compliance, personal power promotes follower commitment with the use of proper influence tactics.

1) Expert power is based on the knowledge and expertise one has in relation to those being led. It is being the subject matter expert or SME. The more knowledge, skills, talents, and proficiencies leaders have, the more power they can leverage. Those selected for battalion CSM have successfully served in jobs such as a battalion CSM or operations SGM. These previous jobs should have provided the knowledge and expertise essential for their success as a battalion CSM. The challenge at the organizational level is that there may be many individuals in a battalion possessing varying levels of expert power. This could include assigned warrant officers, various noncommissioned officers, and those whose assignments have given them special knowledge or experiences. Part of leveraging expert power, is the leader's effective utilization of all available expert resources to accomplish the mission.

2) Leaders can offset a lack of expert power by leveraging their referent power. Referent power refers to the strength of the professional relationship and personal bond leaders develop with their followers. When followers admire leaders and view them as role models or even friends, they imbue them with referent power. People will work hard for such leaders simply because they want to look good in their eyes and not let them down. To put it another way, referent power is the power generated by relationships the brick and mortar of solid organizations. The stronger the relationship, the higher the probability things will get done and get done well. Also, referent power has the highest potential of all the forms of power to gain a strong commitment from the followers.

3.9. Leadership Styles.

The application of influence tactics is also demonstrated through one's leadership style. While the Army doctrinally does not advocate specific leadership styles it is beneficial to be aware of the six most recognized styles discussed by Dr. Goleman, during his emotional intelligence research. The six leadership styles are:

- Authoritative.
- Affiliative.
- Democratic.
- Coaching.
- Pacesetting.
- Coercive.

a. The first four leadership styles are much more effective at achieving a positive climate, high levels of performance, and deeper follower commitment (See Figure 3-3 on page 3-8). Probably the most effective of the four is the authoritative style. The Authoritative (not authoritarian) style mobilizes people toward a common vision and says, *Come with me*. The leader enthusiastically works to get people on board with the vision. The leader's focus is follower ownership and buy-in of the vision. It is a style that is noted for very effective communication skills.

b. The Affiliative leader says, *People come first*. It is a style that creates harmony, emotional bonds, trust, honesty, and teamwork. Followers are given the freedom to do their jobs and flexibility is always enhanced by this style.

c. Then there is the Democratic style. It builds consensus through participation and asks, *what do you think?* The democratic style is noted for open dialogue, effective listening, and collective decision making. There are also high levels of follower frustration resulting from this style because of endless meetings and the time required to arrive at a final decision.

d. The Coaching leadership style develops people for the future and is characterized by the phrase, *Try this*. It focuses more on personal development than immediate work related tasks. It is the least used style because leaders indicate they do not have the time to engage in the slow process of helping followers grow. Soft influencing tactics such as participation, consultation, personal appeals, and relationship building are the hallmark of these four styles.



Figure 3-3. Leadership styles.

e. The Coercive style demands immediate compliance and can be described by the phrase, *Do what I tell you!* It is a toxic, disrespectful, and bullying style that almost always results in low follower morale and productivity.

f. The Pacesetting style on the other hand sets very high standards of performance. It is the *Do as I do and do it now* style. It is characterized by a leader who is a workaholic, role models high standards, wants everything to be better and faster, and promptly replaces those who do not measure up. Pacesetting leaders expect followers to know what to do and, if they need to be told what to do, they are the wrong fit for the job. Pacesetters usually believe follower development is a waste of time and resources. Legitimate requests and pressure are common influencing tactics employed by these two leadership styles. The coercive and pacesetting styles are effective at gaining short-term follower compliance but generally have negative long-term consequences.

g. When comparing leadership styles, one is not better another, but that they all have a purpose and an associated methodology that can prove very effective when aligned with the leaders' sources of power, selection of appropriate influencing techniques, and application of those techniques through the use of emotional intelligence skills. When considering your style as a leader, you must analyze it from this broader perspective to ensure you have properly aligned all the components of power and influence to support your actions and behavior. If not, the consequential misalignment will achieve results you probably never wanted or expected.

3.10. Dynamics of Leadership.

The most effective leaders adapt their approach to the mission, the organization, and the situation. A division commander addressing brigade commanders before conducting large-scale combat operations leads and communicates differently than a drill sergeant training new recruits in basic training. The constant change affects peacetime and combat operations. Personnel change out, time-lines move, anticipated resources do not materialize, adversaries do what was least expected, and the weather keeps CAS assets grounded. Commanders, leaders, and staffs plan for possible changes and continually monitor progress to engage as needed. Leaders account for the important factors affecting the dynamics of leadership. Four consistent factors are:

- The leader.
- The led.
- The situation.
- The Communication.

3.11. The Leader.

NCOs motivate and influence Soldiers inside and outside of the chain of command and develop an identity through clear leadership and self-awareness. Self-awareness is fundamental to understanding one's abilities through accurately assess their own experience and competence as well as earn the trust of those they influence.

3.12. Formal Leadership.

NCOs have different responsibilities and authorities at echelons. The Uniform Code of Military Justice supports military leaders in positions of legitimate authority. Leaders use these authorities and clear communication to ensure adherence to regulation, policies, and standards. As a NCO, you have duties and responsibilities that you must accomplish. These duties include:

Specified Duties.

Those related to jobs and positions, mainly military occupational specialty (MOS) related duties. Be proficient and knowledgeable on Army directives, Army regulations, and down-trace doctrines that affect a Soldiers development.

Directed Duties.

Those issued by superiors orally or in writing; these duties are not found in the unit's organizational charts.

Implied Duties.

Often support specified duties, but in some cases, they may not be related to the MOS job position. These duties may not be written, but implied in instructions. They are duties that improve the quality of the job and help keep the unit functioning at an optimum level.

3.13. Command.

NCOs that are members of a command team share the responsibility for effectively using available resources and for planning the employment of, organizing, directing, coordinating, and controlling military forces for the accomplishment of assigned missions. Command team relationships are unique. The Army expects command teams to lead beyond the mere exercise of formal authority. They lead by example and serve as role models. Their personal example and actions carry tremendous weight.

3.14. Informal Leadership.

Informal leadership exists throughout organizations and plays a critical role in mission accomplishment. Informal leadership and networks are not solely based on rank or position in the organization, and it stems from a personal relationship, specialized knowledge, unique experiences, or technical expertise specific to an individual or team. Informal networks that operate in support of organizational goals are a force multiplier.

3.15. The Led.

Every Army leader is a subordinate to someone, so all good leaders also practice good followership. Followership is defined as, "Followers of character and commitment acting to support the needs and goals of the team." This means putting the needs of others in front of your desires and building a relationship of trust and loyalty. We are all bound by the same regulation and standards, and by acknowledging and demonstrating this, we build and establish trust with our Soldiers. The willingness of their leaders and their subordinates to serve faithfully and competently in both leadership and followership roles is the foundation of humility.

3.16. Humility.

In its simplest form, humility is the absence of arrogance. For humility to apply, a leader must first have competence and confidence. Humility is interpreted differently by different genders and cultures. Too much or too little humility can signal a lack of self-awareness that undermines followers' trust and confidence in the leader's ability to make the right decisions. Individuals need to guard against their biases and assess character based on the whole set of Army Values and attributes and look out for the unit's welfare to achieve success.

3.17. The Situation.

The situation affects which actions leaders take. Leaders consider the unique characteristics of the task or mission at hand, the abilities of their subordinates, their familiarity with similar situations, and amount of time available. High-risk or urgent situations often require immediate and decisive actions, particularly in combat. Low risk or slowly developing situations allow leaders to spend more time with deliberate and collaborative approaches, coaching, and teaching subordinates as they go along. This fosters a higher level of commitment, develops subordinates, and creates the organizational cohesion essential for leading successfully in challenging situations.

a. Leaders learn to adapt to the situation by disciplining themselves to practice different approaches. This prepares leaders to adapt to new, urgent, stressful, or high-risk situations. In general, leaders should strive to improve all of the leader attributes and core leadership competencies, adapt their leadership techniques to each situation, and become lifelong learners. This requires leaders to:

- Know how to assess tasks and conditions.
- Know how to assess their own capabilities and those of their followers.
- Know how to adjust their leadership techniques.
- Know those they lead.
- Understand how to employ the mission command approach to the situation.
- Develop themselves and the competence of subordinates.
- Establish and maintain positive leadership climates.

3.18. Communication.

Competent leadership requires excellent communication. Communication as a competency ensures more than the simple transmission of information. Communication generates a shared understanding and situational awareness. Succinctly communicating information in a transparent manner is an essential skill for both leaders and subordinates to learn.

Leaders cannot lead, supervise, counsel, coach, mentor, or build teams without communication. Communication also flows from bottom to top. Effective leaders observe their organizations by circulating among their followers to coach, listen, and clarify.

3.19. Electronic Communication.

The Army is a vast network of cohorts and components. The need for electronic communications cannot be overstated, especially in today's operations. The existence of physical distances between units, especially in COMPOs 2 and 3, make the reliance on electronic communication a necessity and an integral part of an organizations PACE plan. The use of thorough OPORDs, VTC/SKYPE, and texting can assist in providing context and developing greater dissemination of information.

However, electronic communication cannot replace audio and visual cues, and emotions that can only occur with face-to-face interactions through shared understanding and active listening. NCOs must remember that if electronic means are part of a PACE plan, all communications must remain professional. Anything that Soldiers post, share, text, or mail is no different than saying it in front of a formation. See (AR 600-20, AR 25-13) for further guidance.

3.20. Levels of Leadership.

The Army acknowledges three levels of leadership—

- Direct.
- Organizational.
- Strategic.

3.21. The leader attributes and competencies apply across all leadership levels. The concept of subordination helps members understand the expectations the Army has for them across a career. Foundations include understanding oaths, dignity, and respect for all people, the Army Values, leadership, command, authority, Army operations, military discipline, and similar basics (See Figure 3-4). Leaders gain a firmer understanding of the enduring requirements and add specialized knowledge as they move through the levels.

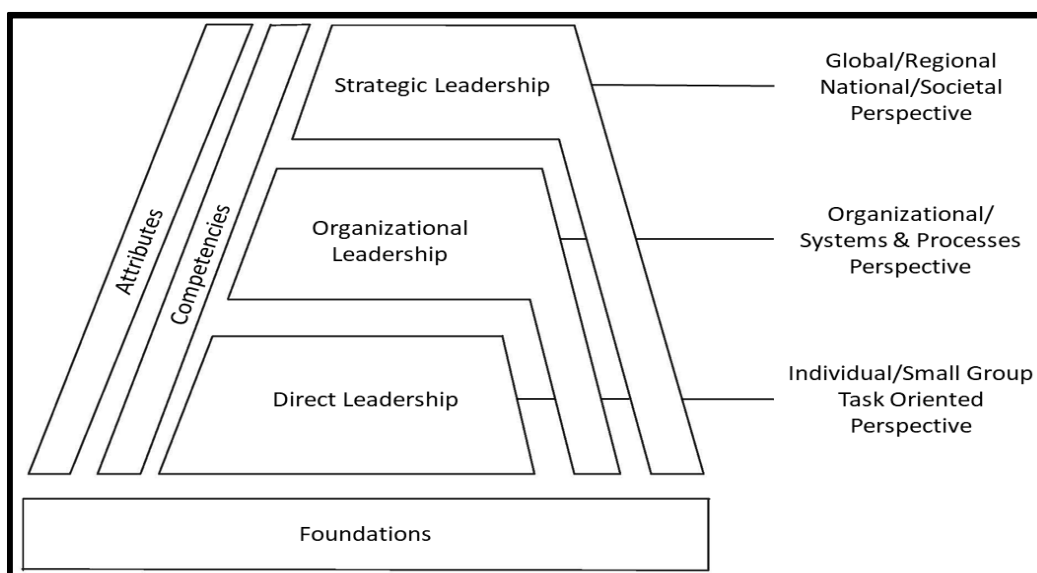


Figure 3-4. Army leadership levels.

3.22. Direct Leadership.

Direct leadership is face-to-face or first-line leadership that generally occurs in organizations where subordinates see their leaders all the time, such as teams, squads, sections, platoons, departments, companies, batteries, and troops. The direct leader's span of influence may range from a few to dozens of people. The leader's day-to-day involvement is vital for successful unit performance. Direct level leadership covers the same type of functions, such as those performed by an infantry squad or a graves registration unit.

- a. Direct leaders develop others through coaching, counseling, mentoring, and setting an example. For instance, company-grade officers and noncommissioned officers are close enough to Soldiers to exert direct influence when observing training or interacting with subordinates during other functions.
- b. Direct leaders generally experience more certainty and less complexity than organizational and strategic leaders because of their close physical proximity to their subordinates. They direct actions, assign tasks, teach, coach, encourage, give guidance, and ensure successful completion of tasks or missions. They must be close enough to the action to determine or address problems. Examples of direct leadership tasks are vehicle maintenance, supervision of creating of fighting positions, and performance counseling.
- c. Direct leaders understand the mission of their higher headquarters two levels up and when applicable, the tasks assigned one level down. This provides them with the context in which they perform their duties.

3.23. Organizational Leadership.

Organizational leaders build teams of teams with discipline, cohesion, trust, and proficiency through personal example, using a wide range of knowledge and applying leader competencies. They focus their organizations down to the lowest level on the mission by disseminating a clear intent, sound concepts, and a systematic approach to execution.

- a. Organizational leaders build on direct leader experiences, reflect the Army Values, and instill pride within organizations. Since they lead complex organizations throughout the Army's operating and generating forces, organizational leaders often apply elements of direct and organizational leadership simultaneously.
- b. Given the increased size of their organizations, organizational leaders influence indirectly more often than directly. Soldiers and subordinate leaders look to their organizational leaders to set achievable standards, to provide clear intent, and to provide the necessary resources. Decisions and actions by organizational leaders have more significant consequences for more people over a longer time than those of direct leaders. Since the connections between action and effect are sometimes more remote and challenging to see, organizational leaders spend more time than direct leaders coordinating, thinking, and reflecting about what they are doing and how they are doing it. Organizational leaders develop clear concepts for operations as well as policies and procedures to control and monitor execution.

3.24. Strategic Leadership.

Strategic leaders include military and civilian leaders at the major command through DoD levels. Strategic leadership guides and integrates multiple organizational level units that perform a wide range of functions and influences several thousand to hundreds of thousands of people. These leaders allocate resources, communicate strategic vision, and prepare their commands and the Army itself for future missions. Strategic leaders shape Army culture by ensuring their directives, policies, programs, and systems are ethical, effective, and efficient.

a. Strategic leaders apply all core leader competencies they acquired as direct and organizational leaders, while further adapting them to the complex realities of their strategic conditions. Strategic leader decisions must consider congressional hearings, Army budgetary constraints, new systems acquisition, Civilian programs, research, development, and inter-service cooperation. Every strategic leader decision has the potential of affecting the entire Army.

b. Strategic leaders are essential catalysts for change and transformation. Because they follow a long-term approach to planning, preparing, executing, and assessing, they often do not see their ideas come to fruition during their tenure. Army modernization is an example where long-range strategic planning is necessary. Relying on many subordinate leader teams, the Army depends on organizational leaders to endorse the long-term strategic vision and ensure it reaches all of the Army. Because they exert influence primarily through their senior staffs and subordinates, strategic leaders must have excellent judgment when selecting and developing subordinates for critical duty positions.

3.25. Navigation of the Continuum.

NCOs have to continue to progress through different levels and echelons. The developmental stages that lead to a shared understanding and growth have to be deliberate and measured through actions, thoughts, and counseling (See Figure 3-5).

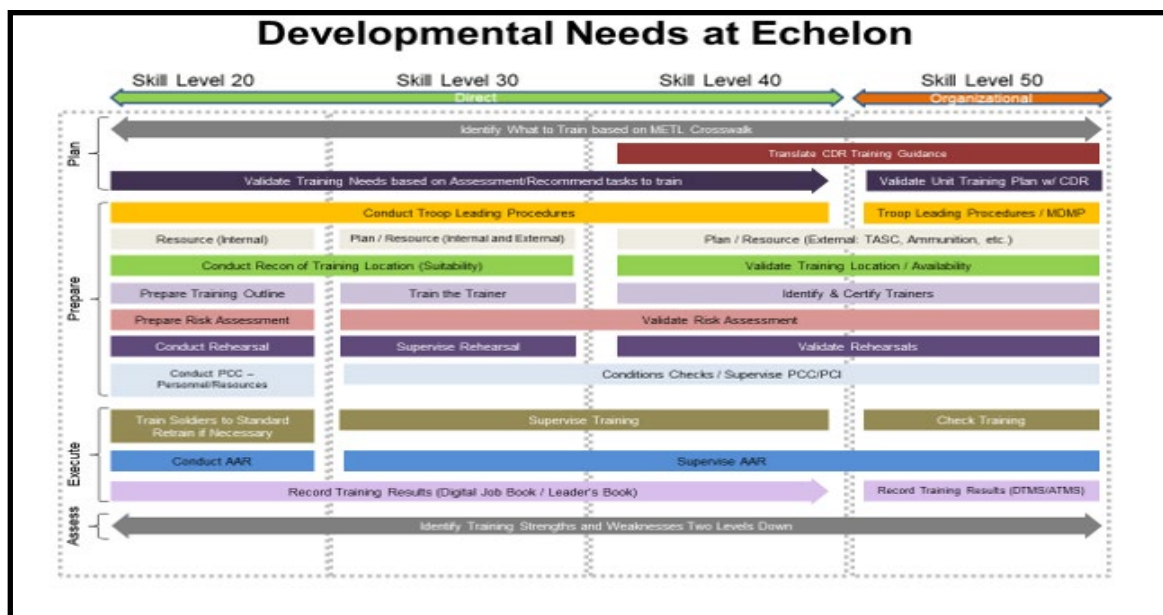


Figure 3-5. Skill level progression.

3.26. Counseling, Coaching, and Mentoring.

a. Counseling is central to leader development. Counseling is the process used by leaders to guide subordinates to improve performance and develop their potential. Subordinates are active participants in the counseling process. During counseling, leaders help subordinates to identify strengths and weaknesses and create plans of action. There are three types of developmental counseling:

1) Event counseling. This involves a specific event or situation. Event oriented counseling includes:

- Instances of superior or substandard performance.
- Reception and Integration counseling.
- Crisis counseling.
- Referral counseling.
- Promotion counseling.
- Separation counseling.

2) Performance counseling. When leaders conduct a review of a subordinate's duty performance over a certain period. Performance Counseling includes:

- Discussion of established performance objectives and standards for the next period.
- Periodic performance counseling as part of the NCOER support form requirements.
- Beginning of and during the evaluation period and provides opportunity for leaders to establish and clarify expected values, attributes, and competencies.

3) Professional growth counseling. Professional growth counseling includes:

- Planning for the accomplishment of individual and profession goals.
- Identify and discuss subordinate's strengths and weaknesses.
- Create an individual development plan that builds on those strengths and weaknesses.

4) Opportunities for Civilian and military schooling, future assignments special programs and reenlistment options 4. Refer to ATP 6-22.1 for more information on the counseling process.

b. Coaching relies primarily on teaching and guiding to bring out and enhance capabilities already present. Coaching is a development technique used for skill, task, or specific behaviors. The coach helps them understand their current level of performance and guides them to reach the next level of development. Coaches should possess considerable knowledge in the area in which they coach others.

c. Mentoring. Mentorship is the voluntary developmental relationship that exists between a person of more significant experience and a person of lesser experience that is characterized by mutual trust and respect (AR 600-100). The mentoring relationships exist outside the superior–subordinate relationship. Supportive mentoring occurs when a mentor does not outrank the person they mentor but has extensive knowledge and experience they wish to share with someone over time. Mentoring relationships may occur between peers and between senior NCOs and junior officers; thus, mentoring can occur across many levels of rank.

d. Coaching, counseling and mentoring will intertwine with techniques that can lead to:

- More focused goals.
- Greater leader's self-awareness.
- Uncover potential.
- Eliminate developmental barriers.
- Develop plans of action.

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CHAPTER 4

TRAINING



Being an active role model and providing developmental feedback during training will help your Soldiers, organization, and you to improve.

"Train as you Fight" is not just a saying, it may keep you alive.



"If you're technically and tactically proficient, if you comply with the Army's standards and policies, and if you lead by example, you won't have any problem. If you don't, you'll have problems with your Soldiers... you can't fool them... you never could, and you never will."

- 7th SMA Glen E. Morrell

Chapter 4

Training

4.0. Ref.

- AR 350-1, *Army Training and Leader Development*.
- ADP 7-0, *Training*.
- FM 7-0, *Train to Win in a Complex World*.
- FM 7-22, *Army Physical Readiness Training*.
- STP-21-1- *SMCT, Soldier's Manual of Common Tasks, Warrior skills level One*.
- Soldier Training Publications (STP) by MOS and skill level.
- Army Publishing Directorate (APD).
- Army Training Network (ATN).
- Combined Arms Training Strategies (CATS), available at ATN.
- Digital Training Management System (DTMS), available at ATN.

4.1. Doctrine and Battle Focused Training.

a. Training is the most important thing the Army does to prepare for operations. Training doctrine provides units and leaders the time-tested procedures to train in the most effective manner possible. There are two primary sources of training doctrine. These are:

- ADP 7-0, *Training*. This manual provides a basic overview of Army training concepts and procedures.
- FM 7-0, *Training*. This is the NCO corps 'how-to' training manual describing in detail the concepts and procedures of Army training described in ADP 7-0.

b. Battle-focused training is training targeted on unit mission-essential task (MET) and weapons proficiency. Battle-focused training has first priority in planning and execution as specified by the unit training plan (known as UTP) and as depicted on the unit long-range training calendar (an attachment to the UTP).

c. Battle-focused training also reflects the NCOs' understanding of the relationship between individual and collective training (See Figure 4-1). Given training guidance, mission, and the commander's intent for training; leaders can then determine the individual and collective tasks to train and their relationship to each other. They do this to better understand and select the right tasks to train, rather than take the approach of attempting to train on everything.

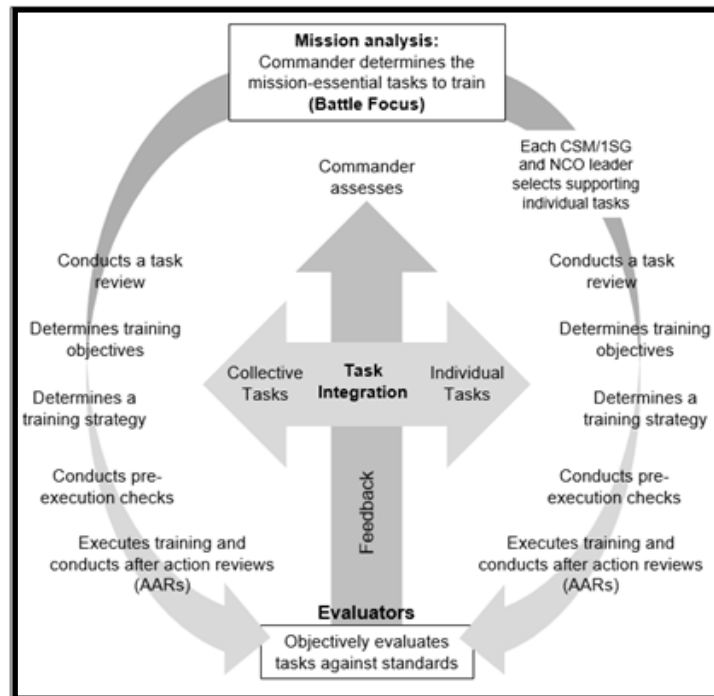


Figure 4-1. The battle focused integration of individual and collective tasks.

4.2. The Army's Principles of Training.

The principles of training provide a broad, foundational approach to guide how commanders and other leaders plan, prepare, execute, and assess training. See ADP 7-0 for a discussion of each of these:

- Train as you fight.
- Train to standard.
- Train to sustain.
- Train to maintain.

4.3. The Army Operations Process is the Training Process.

a. The Army training process applies the operations process. Using the operations process framework provides leaders the same methodical, cyclical approach to plan unit training and conduct every training event. With the commander as the primary and central figure in training, the commonality between unit operations and training becomes evident. Planning, preparing, executing, and assessing unit training does not significantly differ from performing these activities for an operation. Using the Army operations process for training supports the principle of training: train as you fight.

4.4. Training Domains.

a. Training occurs in three domains, institutional, operational, and self-development (See AR 350-1 for a complete discussion of the training domains). Each training domain complements the other and has an important role in both leader development and unit preparedness. Additionally, the NCO corps has a significant role in a Soldier's development as well as their own as they transition through each training domain, often concurrently.

b. The **institutional training domain** includes Army centers/schools that provide initial training and subsequent functional and professional military education and training for Soldiers, military leaders, and Army Civilians. Army schools ensure Soldiers, leaders, and Army Civilians can perform critical tasks to prescribed standards throughout their careers, and support units on a continuous basis. Army schools help instill the Army Profession, the Army Ethic, and character development of Army professionals. Within the institutional training domain is the **NCO Professional Development System (NCOPDS)**. The goal of NCO training and education is to prepare NCOs to lead and train Soldiers who work and fight under their supervision and to assist their leaders to execute unit missions.

- 1) NCOPDS is linked to promotion to SGT, SSG, SFC, MSG, and SGM.
- 2) NCOPDS provides the NCO with progressive and sequential leader, technical, and tactical training relevant to the duties, responsibilities and missions they will perform in operational units.
- 3) Life Long Learning. The NCOPDS is geared towards more training in the early stages of Soldier and leader development. As leaders progress, less emphasis is placed on training and more emphasis is placed on education.
- 4) Training is focused more on a step-by-step list of what needs to be done to accomplish the skill being learned and is accomplished when the trainee can reiterate the right answers and/or demonstrate the approved way of doing something. Training is specific, has a defined goal and a time, and requires a demonstration of proficiency.
- 5) Education is broader than training. It prepares learners to be critical and analytical thinkers for effective problem solving by facilitating the learning of principles, concepts, rules, facts, and associated skills and values/attitudes. Education aims to develop a NCO's understanding, abilities to synthesize information, and enhance skills within and beyond their role.

(a) **NCO Professional Development Program (NCOPDP)**. Unit professional development programs reinforce knowledge that is essential for the development of leaders. NCOPDP sessions are tailored to unique unit requirements and support the commander's leader training and leader development program. NCOPDP consists of training, programs, formal and informal, one-on-one groups, involving coaching as well as instruction, and will be fully integrated into the unit's overall training program. NCO development is achieved through a progressive sequence of local and Army level education, unit and individual training, and assignments of increasing scope and responsibility. The NCO Corps has a small population of senior NCOs who serve in positions at strategic assignments. While small in number, applicable regulations address preparing and identifying the right talent to fill these high-visibility positions. These include SGM of the Army, senior NCOs in Army commands and combatant commands, Army Staff, and Congressional liaison positions. These are all referred to as nominative SGM positions (see AR 350-1 for NCOPDP goals and objectives).

(b) When considering leader development in units, assignments of increasing scope and responsibility linked to broadening assignments are key to career management and development, such as progressing from Squad Leader to Platoon Sergeant and taking assignment and serving as an instructor or recruiter.

c. The *operational training domain* encompasses training activities that unit leaders schedule, and individual units and organizations undertake. NCO leaders are responsible for the proficiency of their subordinates while assigned to the unit. It is primarily in the operational training domain that NCOs and their subordinates progressively develop leader skills in support of the unit training mission. AR 350-1 states, "the operational domain is where leaders undergo the bulk of their development."

1) Experience in the operational domain is essential for leader development. Experience enables leaders to execute mission command (MC) at all levels of responsibility. It is where junior leaders achieve technical competence, mid-grade leaders further develop their ability to lead units and organizations, and senior leaders are developed to compete for higher echelon assignments and responsibilities.

2) While in the operational domain, NCOs provide subordinate leaders and Soldiers with active role modeling and provide developmental feedback during training. Leaders allow their subordinates adequate time to pursue education and self-development opportunities. Leader development makes an essential contribution to any unit's readiness to train effectively and accomplish its mission.

d. The *self-development domain* includes planned and goal-oriented learning that reinforces and expands the depth and breadth of an individual's knowledge base and self-awareness. Self-development bridges learning gaps between the operational and institutional domains and sets conditions for continuous learning and growth.

1) Self-development complements what is learned in the classroom and on the job, enhances professional competence, and helps meet personal objectives. There are three types of self-development:

(a) Distributed Leaders Course (DLC). Required learning that continues throughout a career and that is closely linked to and synchronized with classroom and on-the-job learning. DLC bridges the operational and institutional domains and sets the conditions for continuous growth. DLC builds knowledge and skills through a defined sequence of learning approaches involving formal education and experiential learning.

- DLC I Required for Basic Leaders Course (BLC).
- DLC II Required for Advanced Leaders Course (ALC).
- DLC III Required for Senior Leaders Course (SLC).
- DLC IV Required for Master Leaders Course (MLC).
- DLC V Required for Sergeants Major Course (SMC).
- DLC VI Required for Nominative Eligible BDE CSM and Joint Assignments.

NOTE: Consistent with recent guidance; successful completion of a prerequisite level of DLC is required prior to making a reservation in the Army Training Requirements And Resources Systems (ATTRS) for the corresponding level of NCOPDS.

(b) Guided self-development. Recommended but optional learning that will help keep personnel prepared for changing technical, functional, and leadership responsibilities throughout their career.

(c) Personal self-development. Self-initiated learning where the individual defines the objective, pace, and process.

4.5. NCO Roles in Training.

a. The NCO Corps has an enduring, vital, and foundational role in unit training. NCOs are responsible for the training of Soldiers, crews, and small teams. NCOs conduct standards-based, performance-oriented, battle focused training. They:

- Ensure Soldiers are proficient in individual tasks (MOS and common tasks); battle drills; assigned individual weapons, crew served, platform weapons systems; and meet physical fitness standards.
- Provide timely training feedback to the commander in order to accurately and objectively assess the unit's training proficiency.
- Know and enforce training standards.
- Crosswalk and develop platoon and lower echelon battle tasks to ensure they nest with company mission-essential tasks (METs).
- Fulfill a critical role by assisting in the professional development of the officer corps and junior NCOs.
- Maximize Soldier participation and reduce training distractors.
- Protect training time.

4.6. Unit Training Proficiencies.

a. There are three proficiencies units train (See Figure 4-2). These are:

- Mission-essential tasks (MET).
- Weapons.
- Collective live-fire.



Figure 4-2. Unit Training Proficiency Elements.

1) Mission-essential tasks (MET). METs only go down to company level, but below that, NCOs use ‘battle tasks’. A battle task is a collective task on which a lower echelon trains that supports a company mission-essential task. Battle tasks enable lower echelons (and staffs) to achieve battle-focused training by nesting their collective tasks to the company METs. Battle tasks are reviewed, and approved by the company commander and are not reportable for unit readiness reporting. For example, at platoon level, the Platoon Leader and Platoon Sergeant analyze each company MET to determine which platoon collective tasks best supports the company MET. At squad level, the NCO Squad Leader analyzes platoon battle tasks to determine squad collective tasks that best support the platoon battle task.

This process continues down to the lowest company echelon, for example crew/team/section level where the NCO leader determines the battle tasks for their echelon. At this lowest company echelon, the NCO determines the best individual tasks that best support the battle task at that level. This ‘crosswalk’ ensures that the METs at the company level nest down to the tasks individual Soldiers train. The tasks individual Soldiers train form the proficiency foundation for the company, battalion and ultimately the brigade (see FM 7-0).

4.7. NCOs Train to Standard.

a. As NCOs train Soldiers and small units, they ensure that training is task-based, meaning that tasks (individual, collective, etc.) are what individuals and units train in order to achieve training proficiency. This also includes achieving weapons proficiency standards. Standards for both tasks and weapons are developed, and published by proponents. A proponent is the command/agency responsible for the development of branch specific guidance, doctrine and other publications. An example of a proponent is the Signal Center of Excellence (CoE). For example, training standards are found in:

- Individual task training and evaluation outlines (T&EOs). Proficiency ratings are GO/NO-GO for these tasks. Search ATN by task title/number.
- Collective task T&EOs. Proficiency ratings are T, T-, P, P-, U (see FM 7-0). Search ATN by task title/number.
- Weapon qualification standards are found in the specific weapon’s training circular (TC). Proficiency ratings are QUALIFIED/NOT QUALIFIED. Find these on the APD website.
- Collective live-fire. Proficiency ratings are T, T-, P, P-, U (see FM 7-0). Search ATN by task title/number.

b. Training events provide a structured framework for units to train tasks under realistic conditions in order to evaluate task proficiency. Training events by themselves are not a measure of training proficiency. They are categorized as crawl, walk, or run level events and progressively develop specific task and skill proficiencies. Some training events focus on the development of technical proficiencies like gunnery and weapons qualification.

4.8. NCO Training Techniques.

a. NCOs employ training techniques that uniquely apply to small units (company and below and to staffs). The characteristics of these techniques is that they are generally low cost, require limited training areas or facilities, and can be conducted without extensive planning or resources (see FM 7-0). These include:

- Lane training.
- Hip-pocket (opportunity) training.

- Sergeant's time training.
- Training models.

b. Lane training:

1) Lane training is a company and below training technique designed to practice and evaluate a task or series of tasks in a small, controlled training area or facility. Lanes are designed to practice, observe, and evaluate individual tasks, collective tasks, or battle drills. In lane training, a small unit operates from a stationary position or tactically moves mounted or dismounted through a prescribed land course (a lane). An evaluator observes as the unit performs selected tasks. The tasks performed are observed and evaluated based on standards outlined in the tasks' T&EO. There are two types of lane training (see FM 7-0):

- Lane training exercise (LTX).
- Situational training exercise (STX).

The advantages of lane training include:

- It requires limited space to execute tasks.
- The training environment is unit controlled.
- Tasks are based on unit proficiency requirements and training objectives.
- Feedback of performance is immediate.
- It provides the opportunity to conduct multiple task iterations, retrain tasks as necessary, and execute tasks until performed to standard.

2) Hip-pocket (opportunity) training:

(a) Hip-pocket training (also referred to as opportunity training) consists of individual tasks on which the NCOs can train when they experience inactive periods during scheduled training. Ideally, NCOs can train these tasks in 15 to 30 minutes since more time may not be available. It is another technique for managing sustainment training. Hip-pocket training is also used to sustain proficiencies for crew based skills. For example during down-time at a weapons range, it provides an additional opportunity to train crew drills for crew served weapon systems, or other crew oriented tasks.

3) Sergeant's time training:

(a) Sergeants Time Training (known as STT) provides scheduled training time set aside by unit commanders for unit NCOs to train their Soldiers in specified tasks and skills. STT is standards-based, performance-oriented, and battle-focused training. Units conduct this beneficial and effective training every week. Many installations reserve three or four hours each week for STT. The day or time of the week is not important. Units plan for, resource, rehearse, and execute STT with no external distracters.

4) Training models:

(a) Training models are a unit developed training management tool that provides a logical and adaptable framework of activities to plan, prepare and execute small unit (platoon and below) training. Training models help leaders plan and execute training events that are not complex and do not require extensive resourcing or planning. NCOs tailor training models in the number of steps and procedures used based on experience, effectiveness and unit preferences. Training models are not a replacement for TLP or the operations process in planning at these echelons (train as you fight). A widely used training model is the 8-step

training model. This model provides a simple technique for junior leaders at lower echelons in thinking through and managing simple training events at platoon and below but is not a replacement for TLP (see FM 7-0).

4.9. Web-Based Training Resources.

a. NCOs know and enforce training doctrine as the first step to effective unit training. Second to that is an NCOs understanding of the web-based systems that support training doctrine. NCOs access web-based training support through the Army Training Management System (ATMS). It is the current Army enterprise program allowing leaders to store, display, report and share individual and unit training data. ATMS consists of web-based applications and centralized databases which include-

- Army Training Network (ATN).
- Combined Arms Training Strategy (CATS).
- Digital Training Management System (DTMS).

All of these systems can be accessed through ATN.

b. The ATMS suite of applications automates some routine command, unit, institution, and individual training processes. These ATMS enablers directly support Army training doctrine. Secondary to a full understanding of training doctrine is a knowledge and an understanding of what these systems deliver in support of training management.

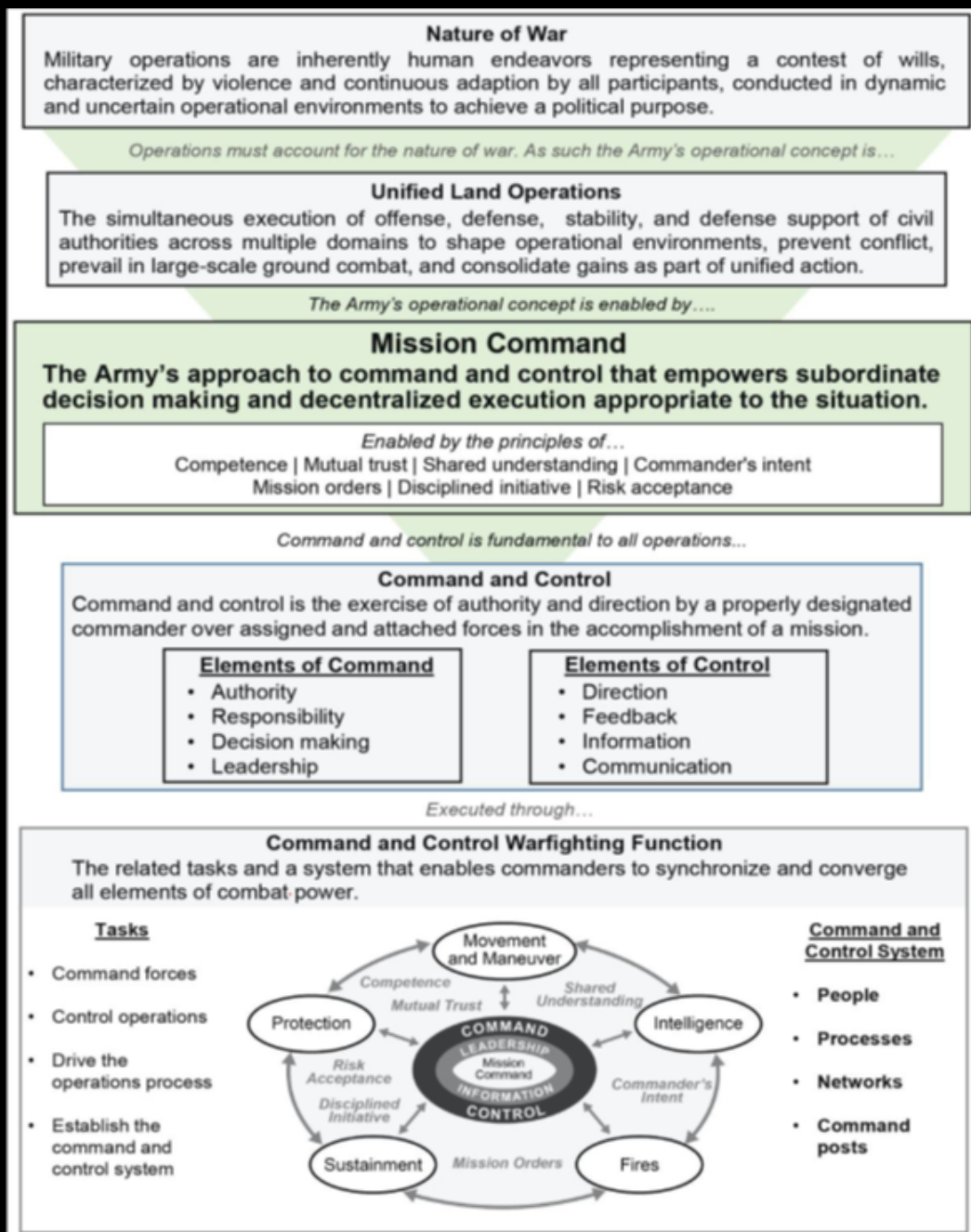
c. In addition to the resources available through ATMS, there are additional helpful resources to assist NCO trainers. These include, but are not limited to, the Center for Army Leadership (known as CAL), the Central Army Registry (CAR), and the Center for Army Lessons Learned (known as CALL).

NOTE: Additional information can be found in Appendix C: Leader Tools

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CHAPTER 5

MISSION COMMAND



To be successful, NCOs must understand, apply, and explain the tenants of Mission Command.

"It was very obvious, very quickly that the most important thing we could do to continue the success and the momentum... was to keep people informed."
- CSM Richard B. Cayton

CHAPTER 5

Mission Command; Command and Control of Army Forces.

5.0. Ref.

- ADP 1-01, *Doctrine Primer*.
- ADP 3-0, *Operations*.
- ADP 5-0, *The Operations Process*.
- ADP 6-0, *Mission Command; Command and Control of Army Forces*.
- ADP 6-22, *Army Leadership and the Profession*.
- ADP 7-0, *Training*.
- FM 3-0 (w/ch1), *Operations*.

Mission Command

The Army's approach to command and control that empowers subordinate decision making and decentralized execution appropriate to the situation.

Enabled by the principles of...

Competence | Mutual trust | Shared understanding | Commander's intent
Mission orders | Disciplined initiative | Risk acceptance

5.1. Mission Command Defined.

Mission Command is the Army's approach to command and control that empowers subordinate decision making and decentralized execution appropriate to the situation. The mission command approach to command and control is based on the Army's view that war is inherently chaotic and uncertain. No plan can account for every possibility, and most plans must change rapidly during execution to account for changes in the situation (ADP 6-0). Noncommissioned Officers play a critical role as leaders within an organization to enforce and enable Mission Command by their everyday actions, whether in garrison or during operations. Figure 5-1 details other factors that affect the level of control that commanders and NCOs apply to situations based on various factors, both internal and external.

← More control Less control →		
	Considerations	
• Predictable • Known	Situation	• Unpredictable • Unknown
• Inexperienced • New team	Unit Cohesion	• Experienced • Mature team
• Untrained or needs practice	Level of Training	• Trained in tasks to be performed
• Being developed	Level of Trust	• Established
• Top down • Explicit communications • Vertical communications	Shared Understanding	• Reciprocal information • Implicit communications • Vertical and horizontal communications
• Restrictive	Rules of Engagement	• Permissive
• Optimal decisions later	Required decision	• Acceptable decisions later
• Science of war • Synchronization	Appropriate to	• Art of war • Orchestration

Figure 5-1. Control.
TC 7-22.7

5.2. Principles of Mission Command.

Since Mission Command is concentrated on the objective, rather than every subordinate task within, the principles of Mission Command require a lot of latitude and understanding by the NCO corps. Noncommissioned officers conduct mission command every day – from physical training, to pre-combat inspections and checks, command maintenance activities, and during combat operations. Successful Mission Command is enabled by the principles of competence, mutual trust, shared understanding, commander’s intent, mission orders, disciplined initiative, and risk acceptance. NCOs must strive to improve their understanding and implementation of these principles on a daily basis. The seven principles reinforce the criticality of the NCO Corps in the day to day operations of their unit. NCOs of value are valued within their organizations.

Competence is my watch word-

-NCO Creed

a. *Competence* is the foundation principle of Mission Command. Units with competent leaders and Soldiers are able to have an organization that exercises mission command. Competence is built through the three domains of leader development. These three domains are the institutional, operational, and self-development. NCOs are expected to be experts in their MOS and skill level, and in various Army programs (physical readiness training, administrative tasks, etc.). Competent NCOs are not just good “field Soldiers”, but rather, well-rounded and set the example in all environments.

Examples of NCO focus areas for each leader development domain to build competence:

Leader Development Domains	Examples
Institutional	NCOPDS (BLC, ALC, etc.)
	Functional Training (Battle Staff NCO, Master Gunner)
Operational	Deployments
	Home Station Training (Gunnery, STX, Maintenance)
	Leader’s Time Training (Individual, crew, team training)
Self-Development	Self-study (learning down trace doctrine and regulations)
	Civilian Education
	Competitive board preparation (Audie Murphy, NCO of the Month, etc.)

b. *Mutual trust* is gained by competent leaders, subordinates, and peers. Leaders must be able to trust their peers and subordinates, just as peers and subordinates must trust their leaders. The NCOs role is critical in this process as they are the principle trainers of individuals, crews, and teams. NCOs also often play a crucial role in guiding Soldiers through personal issues, care, and well-thought out training plans. NCOs have to know their Soldiers capabilities and limitations and look for opportunities to close gaps within the leader development domain. NCOs who show genuine concern for their subordinates will gain their trust. Soldiers gain trust by showing motivation and a learning attitude during training. NCOs who share in hardships, lead from the front, and develop subordinates will gain trust. Taking care of Soldiers is not coddling Soldiers, but rather, is ensuring they are competent and disciplined.

I will communicate consistently with my Soldiers, and never leave them uninformed.

-NCO Creed

c. *Shared understanding* of the situation, along with the flow of information to the lowest possible level, forms the basis for unity of effort and subordinates' initiative. Effective decentralized execution is not possible without shared understanding (ADP 6-0). Shared understanding relies on the ability of a leader to communicate, on a two-way basis, to subordinates, peers, and seniors. NCOs must first understand Army doctrine to ensure a common professional language is used and understood. Additionally, shared understanding is communicated to subordinates through constant discussion and collaboration. An example is when a Platoon Sergeant discusses the next 90 days of major events and works with Squad Leaders to develop training plans to support the major training events. Squad Leaders, then in turn, create shared understanding with their squads with the communication of the standards and expectations. Counseling, both formal and informal, are critical NCO responsibilities that ensure shared understanding. NCOs must always look for indicators that communication is effective, and therefore, should refrain from utilizing text messages and social media to convey instructions. While electronics assist leaders in short duration communications to convey simple instructions, they should not be the primary means of communication.

d. The *commander's intent* succinctly describes what constitutes success for the operation. NCOs have a duty to achieve the commander's intent, and therefore they must understand it. The commander's intent consists of:

- The operation's purpose.
- Key tasks (may be the source of implied tasks).
- Conditions that define the end state.

Note: NCOs should look at the commander's intent for both opportunities to exercise disciplined initiative, and also understand the limitations where they can exercise initiative and make decisions. Simply put, the commander's intent are the 'guardrails' of empowerment and accountability.

When describing the purpose of the operation, the commander's intent does not restate the "why" of the mission statement. Rather, it describes the broader purpose of the unit's operation in relationship to the higher commander's intent and concept of operations. Doing this allows subordinates to gain insight into what is expected of them, what constraints apply, and, most importantly, why the mission is being conducted. NCOs can use this as a primer to adjust training plans, refine focus areas of activities, and/or begin Troop Leading Procedures (TLP). The TLPs are:

- 1) Receive the Mission.
- 2) Issue a warning order.
- 3) Make a tentative plan.
- 4) Start necessary movement.
- 5) Conduct reconnaissance.
- 6) Complete the plan.
- 7) Issue the Operations Order.
- 8) Supervise and refine.

e. *Mission orders* are directives that emphasize to subordinates the results to be attained, not how they are to achieve them. Mission Orders are conveyed through use of the five paragraph order. The five paragraphs used in all orders (WARNO, OPORD, FRAGO) are:

- 1) Situation.
- 2) Mission.
- 3) Execution.
- 4) Sustainment.
- 5) Command and Signal.

Some phases of operations require tighter control over subordinate elements than other phases require. An air assault's air movement and landing phases, for example, require precise synchronization. Its ground maneuver plan requires less detail. When a complex mission arises that requires tightened control, NCOs should understand and communicate that the operation is not micromanagement, but rather is a force protection measure.

I will exercise initiative by taking appropriate action in the absence of orders.

-NCO Creed

f. *Disciplined initiative* is at the heart of how the NCO operates on a daily basis. Disciplined initiative creates opportunity, time, and space for leaders. Disciplined initiative is when subordinates have the discipline to follow their orders and adhere to the plan until they realize their orders and the plan are no longer suitable for the situation in which they find themselves (ADP 6-0). To exercise disciplined initiative, subordinates must also understand the commander's intent (purpose, key tasks, and end state). NCOs should ask the following questions when applying disciplined initiative:

- 1) Will the benefits of the action outweigh the risk of desynchronizing the overall operation?
- 2) Will the action further the higher commander's intent?

g. *Risk acceptance* is defined as the exposure of someone or something valued to danger, harm, or loss. Because risk is part of every operation, it cannot be avoided. Risk acceptance is not a bottom-up responsibility. Rather, risk acceptance is a leader's responsibility. NCOs reinforce this principle by advising commanders, identifying emerging risk, and ensuring controls are implemented and supervised. A fundamental example is a Squad Leader ensuring their squad's equipment is cleaned and maintained following a mission. These actions lower risk to their Soldiers and the mission by making sure that the weapons will function when needed.

h. The principles of Mission Command, as the approach to command and control that empowers subordinate decision making and decentralized execution is nothing more than good leadership. Below is an example of the correlation between the BE, KNOW, and DO contained within ADP-6 and the leadership requirements model and the principles of Mission Command (See Figure 5-2 on page 5-6).

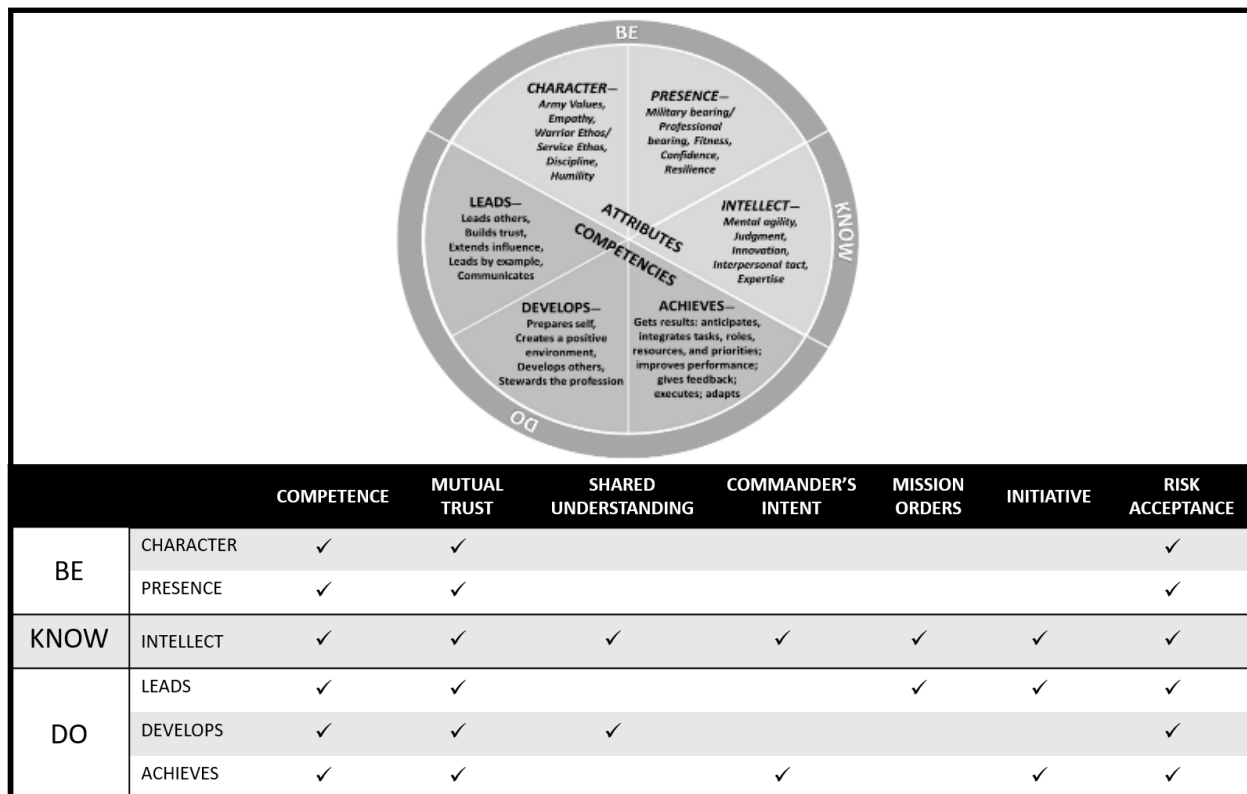


Figure 5-2. Mission Command aligned with NCO Attributes and Competencies.

5.3. Command and Control Defined.

a. *Command and Control* (C2) is the exercise of authority and direction by a properly designated commander over assigned and attached forces in the accomplishment of mission (JP1). While command is commander-centric, NCOs play a major role in the commander's decision making through advising, level of training, discipline of the unit, standards of equipment maintenance, and competence of junior NCOs and Soldiers.

b. *Command* is the authority that a commander in the armed forces lawfully exercises over subordinates by virtue of rank or assignment (JP 1). Command is personal—an individual person commands, not an organization or a headquarters. Command is considered more art than science, because it incorporates intangible elements that require judgment in application (See Figure 5-3). The key elements of command are:

- 1) Authority.
- 2) Responsibility.
- 3) Decision making.
- 4) Leadership.

c. *Control* is the regulation of forces and warfighting functions to accomplish the mission in accordance with the commander's intent. The proper application of control incorporates some level of art, since commanders must use judgment with regard to the abilities of subordinates and the likelihood that friction is part of every operation. The key elements of control are—

- 1) Direction.
- 2) Feedback.
- 3) Information.
- 4) Communication.

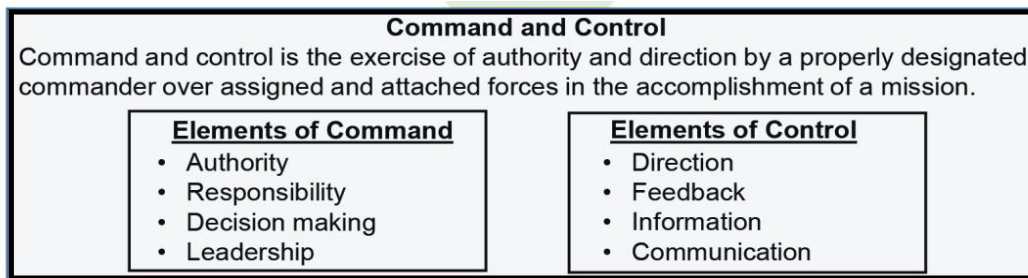


Figure 5-3. C2.

5.4. NCO Role in Mission Command.

- a. NCOs are key enablers of Mission Command, and they must be trained in the Mission Command principles to effectively support their commander and lead their Soldiers. The most important component to remember is people—those who assist commanders and exercise control on their behalf. An effective system accounts for the characteristics and limits of human nature. Simultaneously, it exploits and enhances uniquely human skills. Noncommissioned officers are required to exercise disciplined initiative to make decisions and take actions to further their commander's intent. They must actively work to understand the commander's intent two levels up and relay that intent to their Soldiers. They train to develop mutual trust and shared understanding with their commanders and their Soldiers.
- b. NCOs enforce standards and discipline and develop their subordinates as they build teams. They are trained to operate under mission orders and decide for themselves how best to achieve their commander's intent. With information available to all levels of command and increasing dispersion on the battlefield, Noncommissioned officers must be comfortable in exercising initiative to make decisions and act. Commanders expect subordinates to exercise this authority to further the commander's intent when changes in the situation render orders irrelevant, or when communications are lost with higher echelon headquarters (ADP 6-0).
- c. Commanders must know the status of their forces. CSMs, 1SGs, and Platoon Sergeants play vital roles in providing commanders real time awareness about the morale and physical condition of their Soldiers. Commanders need to know when circumstances may prevent friendly forces from performing to their full potential. Examples of this may include:

- 1) A subordinate unit may have recently received inexperienced replacements.
- 2) May have lost cohesion due to leader casualties.
- 3) May be extremely fatigued due to an extended period of operations.

5.5. The Command and Control Warfighting Function (See Figure 5-4 on page 5-8).

- a. *A warfighting function* is a group of tasks and systems united by a common purpose that commanders use to accomplish missions and training objectives (ADP 3-0). The Army's six warfighting functions are:

- 1) Movement and Maneuver.
- 2) Intelligence.
- 3) Fires.
- 4) Sustainment.
- 5) Protection.
- 6) Command and Control.

b. The *Command and Control (C2) warfighting function* is the related tasks and a system that enable commanders to synchronize and converge all elements of combat power (ADP 3-0). The primary purpose of the C2 warfighting function is to assist commanders in integrating the other elements of combat power (movement and maneuver, intelligence, fires, sustainment, protection, information and leadership) to achieve objectives and accomplish missions. The C2 warfighting function consists of the following tasks:

- 1) Command forces
- 2) Control operations
- 3) Drive the operations process
- 4) Establish the C2 system

C2 system – the arrangement of people, processes, networks, and command posts that enable commanders to conduct operations.

- 1) People – trained and effective personnel are integral to an effective C2 system.
- 2) Processes – used to organize activity within the headquarters that increase organizational competence and create efficiency.
- 3) Networks – enable commanders to communicate information and control forces.
- 4) Command posts – a physical location for the people, processes and networks to operate.



Figure 5-4. C2 warfighting functions.

5.6. The NCO's role in the Command and Control Warfighting Function.

NCOs play an integral role in the C2 warfighting function. In operations, commanders employ their CSMs throughout the area of operations to extend command influence, assess morale of the force, and assist during critical events. Company 1SGs and Platoon Sergeants perform similar functions at company and platoon levels. NCOs who serve as Battle Staff NCOs are expected to use their operational expertise to integrate the warfighting functions, understand the tasks, and operate command posts, and C2 systems (CPCE, etc.).

CHAPTER 6

THE ARMY PROFESSION



Be a professional at all times, regardless of the task. Our Soldiers and community are always watching.

Photo courtesy of MSG Schmidt, Nicole (1 TSC Color Guard)

"Soldiers ...expect their Sergeants to be professional, set the example, and kick them in the butt when they need it."

- 8th SMA Julius W. Gates

Chapter 6

The Army Profession and Ethics

6.0. Ref.

- ADP 1, *The Army*.
- ADP 6-22, *Army Leadership and the Profession*.
- AR 600-20, *Army Command Policy*.
- AR 600-25, *Salutes, Honors, and Visits of Courtesy*.
- FM 6-22, *Leader Development*.
- MIS PUB 27-7, *The Manual for Courts-Martial*.

6.1. The Army Profession.

a. Our Nation trusts the Army to provide for the National Defense. Trust starts with the Oath of Enlistment (See Figure 6-1) demonstrating strength of character, commitment to defend the principles of freedom and to fight against tyranny. As a NCO, it is your duty to carry out the missions assigned to you in accordance with the law and intent of Congress. The foundation on which the Army is built is based on trust. As a leader, you assure your leaders and Soldiers of your competence, character, and commitment. Trust is intangible, but your ability to fulfill your roles and discharge your responsibilities depends on the trust between and among Soldiers, between Soldiers and leaders, and between Families and the Army. Article VI of the Constitution requires that every member of the Army “shall be bound by Oath or Affirmation, to support the Constitution.”

b. Taking the oath is a solemn, moral, and sacred commitment between the Nation and your Soldiers and affirms your commitment to devote yourself to selfless service, adherence to orders, and duty. It is your word and bond to comply with the obligations of each stanza. The oath is an ethos peculiar to the character, disposition, and values specific to the Army culture. In addition, the oath is legally binding. It mandates that all Soldiers are subject to the Manual for Courts-Martial (MCM) 2019, 10 United States Code (USC), and Law of Land Warfare.

c. When you took the initial oath, you became a member of the Army Profession. As Army Professionals and leaders, all NCOs must “Stand Strong” by certifying or re-certifying their competence, character, and commitment. Being a professional involves taking advantage of the opportunity, demonstrating the highest degree of honor and assuming responsibility. We are stronger when we develop and maintain professional knowledge; apply combat power according to law, and how personnel and units operate in garrison or on the battlefield.

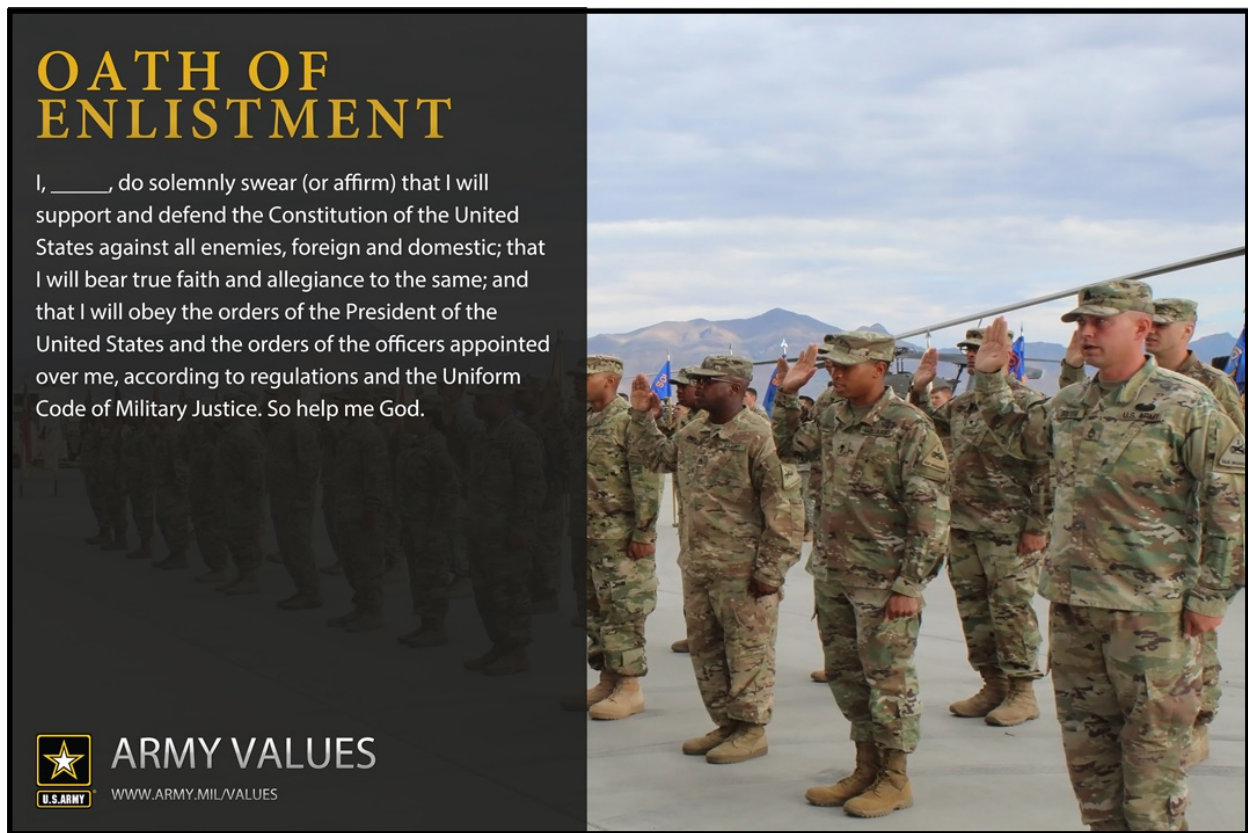


Figure 6-1. Oath of Enlistment.

6.2. Our Ethic.

a. The our Ethic is an evolving set of laws, values, and beliefs, deeply embedded within the core of the Army culture and practiced by all members of the Army profession to motivate and guide the appropriate conduct of individual members bound together by a common moral purpose.

b. Our ethics and values are continuously challenged when engaged in warfare. The heaviest burden of ethical behavior and enforcement rests with small-unit leaders, who maintain discipline and ensure Soldier conduct remains within ethical and moral boundaries. There are five compelling reasons for this. It is important that you and your Soldiers understand and espouse these ideas, which have the most severe impact on our ability to win the hearts and minds of our enemies and to safeguard honorable service.

- Make ethical decisions in action fraught with consequences.
- Leaders must not tacitly accept misconduct or encourage it.
- Soldiers must live with the consequences of their conduct.
- Humane treatment of detainees.
- Humane treatment of noncombatants.

c. The US Army as a Military Profession.

The Army profession is a unique vocation of experts certified in the ethical design, generation, support, and application of land power, serving under civilian authority and entrusted to defend the Constitution and the rights and interests of the American. An Army professional is a Soldier or Army Civilian who satisfies the requirements for certification in competence, character, and commitment.

d. Characteristics of the Army Profession.

Trust, honorable service, military expertise, stewardship, and esprit de corps. The members of the Army profession, Soldiers and Army civilians, create and strengthen the Army culture of Trust.

- **Trust** - The bedrock of the Army's relationship with the American people. Our professional responsibility is to preserve this earned trust. Within the Army profession, mutual trust is the organizing principle necessary to build cohesive teams.

- **Honorable Service** - Our professional responsibility is to daily contribute honorable service, living by and upholding the Army ethic in the conduct of our mission, performance of duty, and all aspects of life.

- **Military Expertise** - Our professional responsibility is to continually advance our expert knowledge and skills in land power and to certify Army professionals. To sustain our expertise, the necessity of lifelong learning is accepted by all Army professionals.

- **Stewardship of the Profession** - All Army professionals have the duty to be faithful, responsible, and accountable stewards, advancing the Army profession, strengthening the Army culture of trust, and conveying the legacy we inherited from those who led the way.

- **Esprit De Corps** - The Army profession has a deep respect for its history and traditions and strives to achieve standards of individual and collective excellence. Army professionals are a cohesive team where mutual trust is reinforced through shared professional identity—living by and upholding the Army ethic. This collective commitment fortifies esprit de corp.

"Being an [Army professional] means a total embodiment of the Warrior Ethos and the Army Ethics. Our Soldiers need uncompromising and unwavering leaders. We cannot expect our Soldiers to live by an ethic when their leaders and mentors are not upholding the standard. These values form the framework of our profession and are nonnegotiable."

- 14th SMA Raymond F. Chandler, III

6.3. Army Values.

Army Values coupled with ethics are the foundation of our profession. Critical to each Soldier's development is learning about and living by Army values, when in or out of uniform. Self-discovery, determining the character, applying and living the Seven Core Values reinforces trust in our Soldiers.

- Loyalty- Bear true faith and allegiance.
- Duty- Fulfill your duties.
- Respect- Treat people as they should be treated.
- Selfless Service- Put the welfare of the Nation, the Army and your subordinates before your own.
- Honor- Live up to Army Values.
- Integrity- Do what is right, legally, and morally.
- Personal Courage- Face fear, danger, or adversity (physical and moral).

6.4. Army Customs, Courtesies, and Traditions.

a. What often sets the Army apart as an institution steeped in history is the commitment to observing Army customs and traditions. It is customs and traditions, strange to the Civilian eye, but solemn to the Soldier, which keeps the person in uniform motivated during times of peace. In war, they keep the warrior fighting at the front. Educating Soldiers on the importance of observing customs and traditions is key to leader development.

b. Army customs have been handed down over the centuries and add to the interest, pleasure, and graciousness of Army life. Many customs compliment military courtesy. The breach of Army customs may bring disciplinary action. The customs of the Army are its common law. Examples of Army customs are:

- Always render a salute if the situation warrants.
- Render proper respects to the flag, reveille, and retreat at all times.
- Never criticize leaders, Soldiers, or the Army in public.
- Always make proper use of your chain of command.
- Make no excuses while taking responsibility for your actions.
- Always speak with your own voice.

c. Courtesy among members of the Armed Forces is vital to maintaining discipline. Courteous behavior provides a basis for developing good human relations. Mutual courtesy between subordinates and superiors shows the respect to each member of our profession. Military discipline is founded upon self-discipline, respect for properly constituted authority, and embracing the Army ethic with its supporting individual values. Military discipline will be developed by individual and group training, which is enforced by NCOs to create a mental attitude resulting in proper conduct and prompt obedience to lawful military authority. Some simple examples and visible signs of respect and self-discipline are:

- When talking to an officer of superior rank, stand at attention until directed otherwise.
- When you are dismissed, or when the officer departs, come to attention and salute.
- When speaking to or being addressed by an NCO of superior rank, stand at parade rest until directed otherwise.
- When a NCO of superior rank enters the room, the first Soldier to recognize the NCO calls the room to "At ease."
- When an officer of superior rank enters a room, the first Soldier to recognize the officer calls personnel in the room to attention, but does not salute. A salute indoors is rendered only when reporting.
- Walk on the left of an officer or NCO of superior rank.
- When entering or exiting a vehicle, the junior ranking Soldier is the first to enter, and the senior in rank is the first to exit.

- When outdoors and approached by a NCO, you greet the NCO by saying, "Good morning, Sergeant," for example.
- The first person who sees an officer enter a dining facility gives the order "At ease," unless a more senior officer is already present. Many units extend this courtesy to senior NCOs, also. When you hear the command "At ease" in a dining facility, remain seated, silent and continue eating unless directed otherwise.

d. Tradition.

Tradition is a customary pattern of thought, action, and behavior held by an identifiable group of people. It is information, beliefs, and customs handed down by word of mouth or by example from one generation to another without written instruction. Our military traditions are really the "Army Way" of doing and thinking.

- 1) Army traditions are the things that everyone in the Army does.
- 2) Unit traditions are the unique things that units do that other units may or may not do. Some unit traditions are:

- Ceremonial duties. Soldiers of the Old Guard, the 3d Infantry, have been Sentinels of the Tomb of the Unknown Soldier since 1948.
- The green berets of the Army's Special Forces.
- Airborne units' maroon beret.
- Cavalry units' spurs and Stetson hats.
- Special designations (authorized unit nicknames) such as "The 7th Cavalry Regiment's Garry Owen."
- Distinctive items of clothing worn in your unit such as headgear, belt buckles, and tankers' boots.
- Unit mottos such as "Victory!" or "Follow me!"

e. The Army Flag and its Streamers.

Until 1956, no flag represented the Army as a whole. The first official flag was unfurled on 14 June 1956 (Flag Day and the Army birthday) at Independence Hall in Philadelphia, Pennsylvania. The Army flag (See Figure 6-2) is in the national colors of red, white, and blue with a yellow fringe (Colors). It has a white field with the War Office seal in blue in its center. Beneath the seal is a scarlet scroll with the inscription "United States Army" in white letters. Below the scroll the numerals "1775" appears in blue to commemorate the year in which the Army was created with the appointment of General George Washington as Commander in Chief. The historic War Office seal, somewhat modified from its original, is the design feature that gives to the Army flag its greatest distinction. "The central element is a Roman cuirass, a symbol of strength and defense. The United States flag, of a design used in the formative years of the Nation, and the other flag emphasize the role of the Army in the establishment of and the protection of the Nation.

The sword, esponton (a type of half-pike formerly used by subordinate officers), musket, bayonet, cannon, cannon balls, mortar, and mortar bombs are representative of traditional Army implements of battle. The drum and drumsticks are symbols of public notification of the Army's purpose and intent to serve the Nation and its people. The Phrygian cap (often called the Cap of Liberty) supported on the point of the unsheathed sword and the motto 'This We'll Defend' on a scroll held by the rattlesnake, a symbol depicted on some American colonial flags, signify the Army's constant readiness to defend and preserve the United States." These Army implements are symbols of strength, defense, and notification that signify the Army's purpose and intent to serve the nation and its people with the readiness to defend and preserve these United States of America.

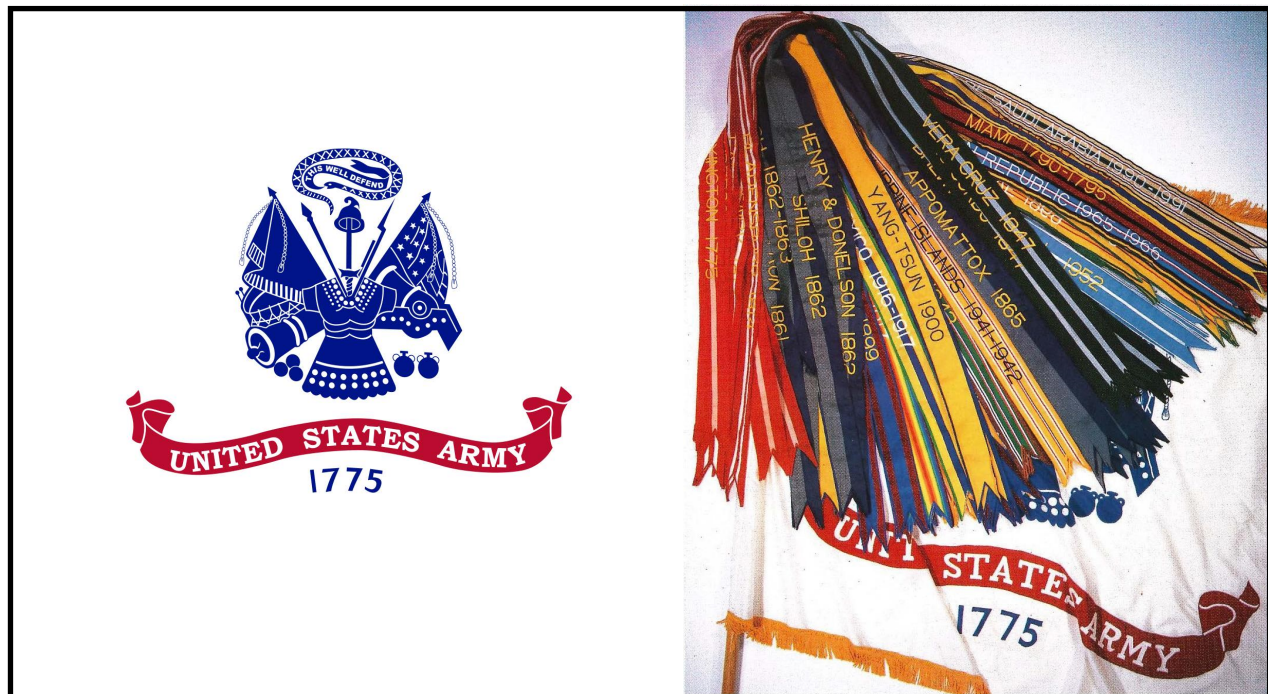


Figure 6-2. The Army flag & streamers.

f. The colors used in the flag were selected for their traditional significance. Red, white, and blue are the colors, of course, of the colors. Furthermore, those colors symbolize in the language of heraldry, the virtues of hardiness, and valor (red), purity and innocence (white), and vigilance, perseverance, and justice (blue). Blue is especially significant since it has been the unofficial color of the Army for more than two hundred years. The placement of the two flags shown on the seal, the organizational and the national flags are reversed in violation of heraldic custom. The placing of the United States flag on the left (from the flag's point of view) rather than on the right reflected the tendency of the leaders of the Revolutionary War period to discard traditional European concepts. The display of both an organizational color and the national flag was a common practice of the Continental Army during the Revolutionary War.

There are 189 approved campaigns streamer affixed to the Army Flag, and two open campaigns to be closed and added to the flag when they are assigned an end date and represent on-going campaigns from Operation Enduring Freedom and Operation Iraqi Freedom.

The National Flag of the United States of America often called the American Flag, The Stars and Stripes, Old Glory, or Red, White and Blue (See Figure 6-3 on page 6-8).



Figure 6-3. Spirit of 76.

1) On June 14, 1777, the Second Continental Congress passed the Flag Resolution, which stated: "Resolved, That the flag of the thirteen United States be thirteen stripes, alternate red and white; that the union be thirteen stars, white in a blue field, representing a new constellation." Flag Day is now observed on June 14 of each year. While scholars still argue about this, tradition holds that the Continental Army at the Middlebrook encampment first hoisted the new flag in June 1777.

2) The flag is a living and breathing entity that represents the service and sacrifice of all those who have served before us, who now serve, and to those who will serve in the future. It is important to understand the rules of displaying the American flag and the customs of honoring it as the symbol of this great country. The flag is the symbol of freedom, a freedom that came at a cost. It is our duty to guard and protect it and never let it fall to the ground. It is important for leaders and Soldiers to understand its role and symbolism.

6.5. Pride and Esprit de Corps.

a. As history, customs, courtesy, and traditions have a major impact on our Army culture and our nation, we must instill a sense of pride that defines our character. Pride is a state or feeling of being proud. It is something done by or belonging to oneself or something that causes a person to be proud. Pride can have a negative as well as a positive connotation. Having an inflated sense of one's personal status or accomplishments is a negative connotation. Having a sense of attachment towards one's own or another's choice and action, or towards a whole group of people is having a fulfilled feeling of belonging.

b. Some senses of pride are derived from having:

1) Self Pride –

Having self-esteem, self-worth, self- respect, and personal value. It is an essential human need that is vital for survival and normal, healthy development. It arises automatically from within based on your personal beliefs and consciousness. It occurs in conjunction with your thoughts, behaviors, feelings, and actions.

2) Civic Pride –

Is being proud of or relating to a city or town or the people who live therein, or being involved in community affairs. It relates to citizenship and being a citizen. Voting is an example of a civic duty that gives you a sense of civic pride. Taking pride in your unit, your organization, or installation, is demonstrating a sense of civic pride.

3) National Pride –

Being proud of your country or proud of yourself as seen in Figure 6-4. It means being proud and happy to be a citizen. It can take the form of defending your country in times of need and standing by your country even in difficult times.

4) Esprit de Corps –

Soldiers want to know they are part of a long-standing tradition. Customs and traditions remind them they are the latest addition to a long line of Soldiers. The sense of belonging lives in many veterans long after they have left service. Instilling a sense of esprit comes by making Soldiers realize they are part of something greater than themselves. They build deeper Army values, personal values, family bonds, stronger work ethic, and high integrity. It is, therefore, important for leaders to pass on the history that surrounds the organization's crests, awards, decorations, and badges. Upholding traditions ensures the Army's culture becomes indispensable to every member of the Army team.

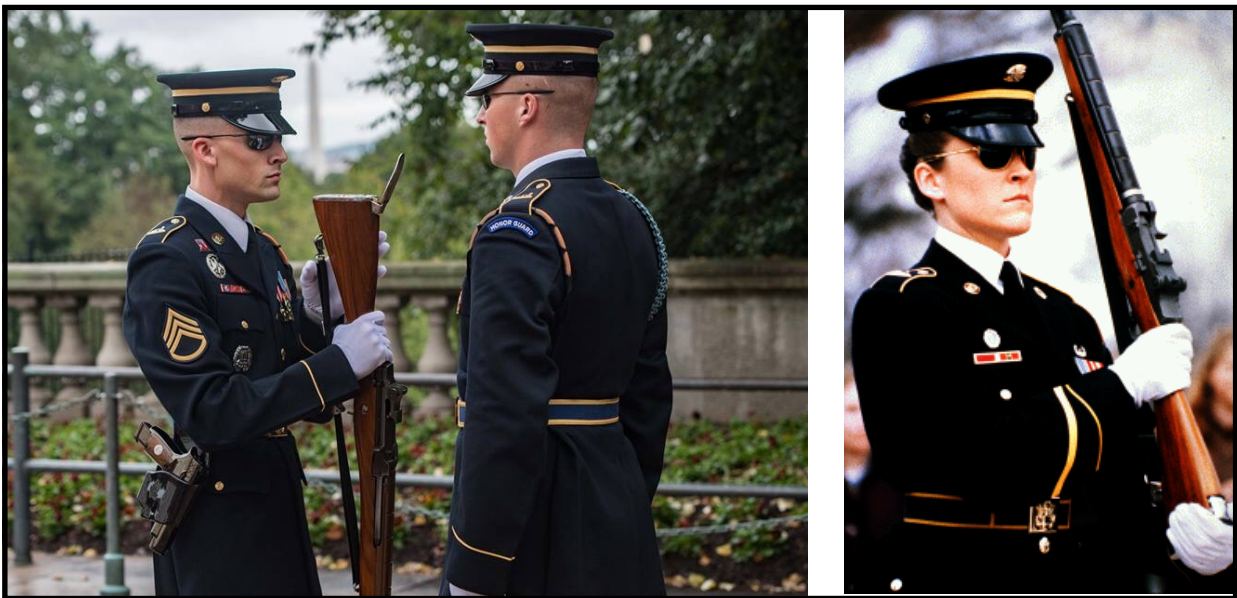


Figure 6-4. Honor Guard-Tomb of the Unknown Soldier.

6.6. Drill and Ceremonies.

a. While we no longer use drill and formations to align the ranks as was done for the phalanxes of Rome or the squares of Waterloo, drill and ceremony is still the foundation of instilling and developing discipline in any size unit and the individual. Additionally, it is still one of the finest methods for developing confidence and troop leading abilities in our subordinate leaders.

b. Drill enables commanders to quickly move their forces from one point to another, mass their forces into a battle formation that affords maximum firepower, and maneuver those forces as the situation develops. The hallmark of the world's best fighting organizations - the Roman legions, the Spartans, the Foreign Legion, the British Brigade of Guards, and many others - is that they are as good on parade as they are in the field or in the attack. The objectives accomplished by drill - professionalism, teamwork, confidence, pride, alertness, attention to detail, esprit de corps, and discipline - are just as important to the modern Army as they were to the militaries of the past.

- 1) Drill was historically used to prepare troops for battle.

- 2) The three methods of instruction used to teach drill to Soldiers are step-by-step, talk-through, and by the numbers.

- 3) A drill command is an oral order of a commander or leader. The precision with which a movement is executed is affected by the manner in which the command is given.

c. Military ceremonies serve several purposes. The following is not an exhaustive list. Military ceremonies can honor commanders, officials, or dignitaries; or permit them to observe the state of training of an organization. Military ceremonies can also be used to present decorations and awards, honor or recognize unit or individual achievements, commemorate events, mark changes of command and responsibility, and induct newly promoted NCOs into the ranks.

- 1) Military music was used for signaling during encampments, parades, and combat.

- 2) Bugle calls were adopted during the Continental Army's contact with the Soldiers and Armies of Europe.

- 3) Our National Anthem officially became the "Star Spangled Banner" by law on 3 March 1931.

- 4) The Army Song. "The Army Goes Rolling Along" was formally dedicated by the Secretary of the Army on Veterans Day, 11 November 1956.

d. The unit guidon and organizational colors remain an integral part of ceremonies. The art of executing drill with units in unison demonstrate the level of professionalism, discipline, and sense of pride Soldiers have in their organizations. Training all Soldiers on the Manual for Guidon is an invaluable skill that instills that sense of pride and professionalism in them.

e. Change of Responsibility.

Throughout military history, unit colors have marked the location of the commander on the battlefield and have served as a rallying point. In more recent times, the colors have come to symbolize the authority of command and responsibility. The key to the ceremony is the passing of the unit's colors. The colors represents not only the lineage and honor of authority, but also the responsibilities to the organization. This ceremony as seen in Figure 6-5 marks the transfer of responsibility for the accomplishments of the unit and the welfare of its Soldiers and their Families from the outgoing to the incoming senior NCO of an organization.



Figure 6-5. Change of Responsibility.

Note: For more information on ceremonies, see TC 3-21.5 and Annex A of this TC.

f. NCO Induction Ceremony.

The NCO induction ceremony is meant to celebrate the transition of a Soldier to a leader as they join the ranks of a professional NCO corps. The induction ceremony should in no way be used as an opportunity for hazing, but more as a rite of passage. It allows fellow NCOs of a unit to build and develop a cohesive bond and support team development. The importance of recognizing the transition from Soldier to NCO should be shared among superiors, peers, and Soldiers of the newly promoted. The induction ceremony should be held separately and serve as an extension of the promotion ceremony. An example of an NCO induction ceremony script can be found on the Army Career Tracker website, under the NCO Academies Community.

6.7. Inspections.

a. Military inspections were created by Peter I of Russia for checking on conditions of unit administration, services and material supply of the troops. Today, they provide the means to assess the capabilities of an organization and to identify any potential problems. NCOs are vital to the inspection program to ensure the unit is operating efficiently, effectively, and free of issues detrimental to readiness, morale, and mission accomplishment.

b. Inspections must have a specific purpose.

- 1) Related to mission accomplishment.
- 2) Tailored to meet the commander's needs.
- 3) Performance oriented and start with an evaluation against recognized standards in order to identify compliance with that standard.

4) Capable of identifying and analyzing process improvement opportunities that will increase performance support, transformation, and reduce risks. Basic elements of an inspection are:

- Measure performance against a standard.
- Determine the magnitude of the problem(s).
- Seek the root cause(s) of the problem(s).
- Determine a solution.
- Assign responsibility to the appropriate individuals or agencies.

c. Organizational Inspection Program (OIP). The OIP provides the commander with an organized management tool to identify, prevent, or eliminate problem areas.

d. Command Inspections.

1) Command inspections ensures units comply with regulations and policies and allow commanders to hold leaders at all levels accountable for this compliance. It allows commanders to determine the training, discipline, readiness, and welfare of the command and help identify systemic problems within the units and assist in the recognition of emerging trends.

2) Staff Assistance Visits (SAV). SAVs provide assistance in unit functional areas such as maintenance, logistics, human resources, and training to improve and refine processes and to prepare for inspections of those functional areas. SAVs are intended to help the unit help itself.

6.8. What makes you an Army Professional?

NCOs are certified in candor, competence, character, and commitment. Consistent demonstration of these qualities develops mutual trust within cohesive teams.

- Candor - Demonstrated ability to be frank, honest, and sincere with others.
- Competence - Demonstrated ability to successfully perform duty with discipline and to standard.
- Character - Dedication and adherence to the Army ethic, including Army values, as consistently and faithfully demonstrated in decisions and actions.
- Commitment - Resolve to contribute Honorable service to the Nation and accomplish the mission despite adversity, obstacles, and challenges.
- Standards - Enforce standards and discipline while conducting daily missions and making decisions.

CHAPTER 7

OFFICER AND NCO RELATIONSHIPS



Relationships are vital and take time. NCOs should never stop trying to build and improve their relationships with their Officer counterparts.

Relationship issues will not stay in garrison, they will put Soldiers lives at risk if not fixed.



"The most essential dynamic of combat power is competent and confident Officer and Noncommissioned officer leadership."

- CSM Marcelino Malavet

Chapter 7

Officer and NCO Relationships

7.0. Ref.

- ADP 6-22, *Army Leadership and the Profession*.
- AR 600-20, *Army Command Policy*.
- FM 6-22, *Leader Development*.

7.1. Army Officers and NCO relationship.

Mutual trust and common goals are the two characteristics that enhance the relationship between officers and NCOs. For instance, "Leaders who commit to coaching, counseling, and mentoring subordinates build relationships that foster trust. These relationships built on trust enable leaders to empower subordinates, encourage initiative, reinforce accountability, and allow for open communication. Further, these relationships establish predictability and cohesion within the team. Doing so ensures Soldier safety while forming professional and personal bonds with the officers based on mutual trust and common goals." NCOs are "the backbone of the Army" and are the senior enlisted advisors who assist commanders with knowledge and discipline for all enlisted matters.

- a. Every Soldier has a Sergeant. Officers are no exception. Platoon Sergeants, 1SGs, Sergeant Majors, and Command Sergeant Majors at all levels serve as their respective officer's Sergeant.
- b. An important part of your role as an NCO is how you relate to commissioned officers. To develop this working relationship, NCOs, and officers must know the similarities of their respective duties and responsibilities.
- c. Commissioned officers hold a commission granted by the President of the United States once approved by the United States Senate, which authorizes them to act as the President's representative in certain military matters. Laws, regulations, policies, and customs limit the duties and responsibilities of commissioned officers, NCOs, and government officials. As the President's representatives, commissioned officers carry out the orders of the Commander-in-Chief as handed down through the chain of command. In carrying out orders, commissioned officers get considerable help, assistance, and advice from NCOs.

d. NCOs obtain their authorities as agents of the Secretary of the Army outlined in Army regulations. They support the command authority of commissioned officers. As the Secretary of the Army's representatives, NCOs carry out the orders of Commander-in-Chief through the chain of command. In carrying out orders, NCOs provide support, assistance, and advice to officers.

e. A Commissioned Officer:

- Commands and establishes policy, plans, and programs the work of the Army.
- Must be technically and tactically proficient in his/her MOS and that of the organization.
- Concentrates on collective training to enable the unit to accomplish the mission.
- Is primarily involved with unit operations, training, and related activities.
- Pays particular attention to the standards of performance, training, and professional development of Officers as well as NCOs.
- Creates conditions, make time and other resources available, so the NCO can do the job.
- Supports the NCO.

f. A Noncommissioned Officer:

- Conducts the daily business of the Army within established orders, directives, and policies.
- Focuses on individual training, which develops the capability to accomplish the mission.
- Primarily involved with training and leading Soldiers and teams.
- Ensures each subordinate team, NCO, and Soldier is prepared to function as an effective unit and each member is well trained, highly motivated, ready, and functioning.
- Concentrates on standards of performance, training, and professional development of NCOs and enlisted Soldiers.
- Follows orders of officers and NCOs in the support channel.
- Maintains good order and discipline, suggests and implements solutions to unit issues, gives candid feedback to their officer counterparts, and ensures the welfare of Soldiers and their Families.
- Accomplishes the mission.
- Effective trainer.
- Develop foresight and keep standards high.
- Possess the courage to act.
- Subject matter expert in their MOS, able to provide clear and concise input relevant to MDMP.

g. The success of the U.S. Army is directly related to the quality of the professional relationships between its officers and NCOs. The officer/ NCO team forms the cornerstone of our Army, and when the bond is formed, it can have the single most important impact on unit effectiveness and efficiency. Conversely, if the bond is broken, it can have a devastating impact on morale, esprit de corps, readiness, and mission accomplishment.

h. AR 600-20 defines command authority and what is NCO support, but the officer/NCO relationship is not created by a strict set of rules, policy or procedures, it is based on mutual respect, communication, trust, commitment, and devotion. The officer/NCO relationship benefits officers at all levels, but especially junior officers. The relationship established during

junior officer development will have a lasting impact on those officer's opinions, respect for, good will, and confidence in NCOs for the rest of their careers.

i. NCOs accept as an unwritten duty, the responsibility to instruct and develop Second Lieutenants, but it is the company commander's responsibility to train lieutenants and the battalion commander who is the driving force behind the training of lieutenants.

j. There are several critical officer/NCO relationships that form the bond as a team: Platoon Sergeant/Platoon Leader, 1SG/company CDR, and CSM/CDR at battalion and higher. Both NCOs and officers have expectations of each other that form the foundation of a strong relationship. Two expectations that are applicable to all NCO/officer relationships are: NCOs and officers can expect mutual respect, trust, and loyalty from their counterparts; NCOs and officers should always appear unified. If there is a disagreement, it must be settled out of sight and out of sound of everyone.

k. What should the NCO expect of an Officer? The NCO can expect the officer to:

- Have personal integrity and high morals.
- Maintain a high state of appearance be a standard bearer.
- Be fair, be consistent, and have dignity.
- Be compassionate and understanding- do not be aloof to the issues and problems of Soldiers.
- Have courage in the face of danger.
- Have courage of convictions and stand up for what is right, even though it might be hard.
- Not expose themselves or Soldiers to unnecessary risk.
- Protect family time and encourage work/life balance.
- Be accountable for their own actions and the actions of their Soldiers.
- Endure hardships equal to the hardships experienced by Soldiers.

l. What should the officer expect of an NCO? The officer can expect the NCO to:

- Be loyal to the officer's position.
- Be devoted to the cause of national defense.
- Possess endurance and motivation that matches officers.
- Possess intestinal fortitude and courage.
- Possess a strong desire to achieve goals that matches officers.
- Possess a strong spirituality, love of country, and a love of duty that matches officers.
- Endure hardships equal to the hardships experienced by Soldiers.
- Master expertise in Army programs that supports the needs of Soldiers and their Families.

m. What should a Platoon Sergeant expect of their Platoon Leader? The PSG can expect the PL to:

- Be pleasant and approachable.
- Let the NCOs handle the problems of the platoon, while keeping him/her informed.
- Be equally involved in physical training, to share ownership of successes and shortcomings, communicate with the platoon when opportunities arise.
- Uphold the highest standards.
- Show maturity and display candor.
- Recognize the imbalance of experience.
- Ensure the PL and PSG begin with common goals.

- Communicate. Good communication does not happen all by itself. Talk, talk, talk and listen, listen, listen.
 - Counsel. The PL and PSG must work together to establish realistic, recognizable standards and after counseling a Soldier, communicate the results to each other.
 - Give the company CDR and the 1SG a perspective of how he/she is getting along with the PSG.
 - Lead Squad Leaders and depend on NCOs to directly lead individual Soldiers.
 - Give the company commander and the 1SG a perspective on how the PSG is doing.
- n. What should Platoon Leader expect of their Platoon Sergeant? The PL can expect the PSG to:
- Understand the inherent responsibility to coach and counsel PL's to develop their competence, character, and commitment in the performance of their duty. Developing junior officers is a PSG's responsibility.
 - Recognize the imbalance of experience.
 - Demonstrate tact and diplomacy with the PL.
 - Offer advice, but execute orders.
 - Incorporate the PL into the team he/she has to lead.
 - Mold, guide, and educate the PL to the subtleties of Army life.
 - Share knowledge and experience.
 - Train and correct the PL when needed.
 - Show a genuine concern that the PL is learning the right way instead of the easy way.
 - Present a unified front. Do not undermine or destroy the PLs credibility (Remember that order/counter-order creates disorder).
 - Set the example for the PL through military bearing and consistent demonstration of character, competence, and commitment to the mission, Soldiers, and their Families.
 - When the PL makes a mistake, make sure they learn from those mistakes, if repeated; provide firm, pointed instruction to keep it from being habitual.
 - Give the company commander and the 1SG a perspective on how the PL is doing.
 - Give the PL the PSG's view on particular matters before the PL discusses with the company commander.
- o. What should the 1SG expect of the company CDR? The 1SG can expect the company CDR to:
- Possess the same qualities expected of all officers.
 - Maintains a positive rapport with the battalion CSM.
 - Administer fair and impartial justice.
 - Take responsibility for their actions and those of the unit and Soldiers.
 - Seek the 1SG's advice.
 - Never belittle or undermine the 1SG and respect the position or the 1SG's authority.
- p. What should the company commander expect of the 1SG? The company CDR can expect the 1SG to:
- Possess the same qualities expected of all NCOs.
 - Maintain discipline.

- Train, educate, and share experiences with both the commander and Soldiers.
- Loyal to the commander's position.
- Develop and agree on the goals, standards and objectives of the company.
- Build training plans with platoon leadership that supports the commanders vision.
- Ensure all administrative functions are executed accurately and on time.
- Build mutual trust and respect for each other.
- Know the commander's strengths and weaknesses.
- Know their responsibilities as defined in AR 600-20.
- Possess a strong sense of duty.
- Ready the company for any mission.
- The standard-bearer in appearance, morals, ethics, values, competences, and commitment.
- An advisor, but execute orders.
- The subject matter expert in Army programs that best supports the needs of Soldiers and their Families.

q. What should the CSM expect of the battalion/brigade CDR? The CSM can expect the BC to:

- Possess the same qualities expected of all officers.
- Seek advice and share views.
- Maintain open communications with the CSM.
- Fair and impartial.
- Inspire leaders and Soldiers.
- Understand each other and how they will function together as a team.
- Harness the CSM's talents.
- Do not limit the CSM's duties or responsibilities.
- Resource the CSM as an enlisted extension of the BC.
- Learn to know the CSM's feelings about any given subject.
- Give the position of CSM the respect it is due.
- Empower the NCO support channel to solve problems at the lowest level.
- Ensure there is no one in the chain of command that comes between the BC and the CSM.
- Provide manning guidance and plan for manning proportionately, and manning issues.
- Provide training guidance that includes crew certification, and company METL evaluations.

r. What should the battalion/brigade CDR expect of the CSM? CDRs can expect the CSM to:

- Possess the same qualities expected of the BC.
- Understand each other and how they will function together as a team.
- Share views.
- Visit Soldiers on the ground and get their perspective. Inspect and check where Soldiers are.
- A leader of presence and character.
- Inspire leaders and Soldiers.
- Manage the organization's sponsorship program.
- Manage processes and procedures.
- An expert in customs, courtesies, traditions and ceremonies.
- Understand each other and how they will function together as a team.
- A reliable, trusted confidant.

- Have honest and candid communications and be able to disagree without being disrespectful.
- Ensure there is no one in the chain of command that comes between the team.
- Direct access and accountable to the BC.
- After obtaining advice and making a decision, the CSM supports those decisions.
- Ensure the CSM provides advice to company CDR/1SG relationships, CSM/1SG relationships, CSM/company CDR relationships vs. the company CDR/battalion staff relationship.
- Ensure the CSM is responsible for assigning incoming NCOs.
- Be impartial and be objective.
- Conduct inspections, check training, sit as president of promotion boards, and be a part of the reenlistment program.
- Work closely with the EO/EEO/Chaplain and SHARP Advocate.
- The subject matter expert on all Army programs to support Soldiers and their Families.
- Most experienced trainer in the organization.
- Learn to know the commander's feelings about any given subject.
- Execute manning guidance and develop plans for manning proportionately, and manning issues.
- Assist unit leaders understand the commanders training guidance that includes crew certification, and company METL evaluations.

7.2. Army Civilians and NCO Relationship.

The Army currently employs the largest civilian workforce within the DOD. Army Civilians are skilled personnel dedicated to serving the nation as an integral part of the Army team. They provide mission-essential capability, stability, and continuity during war and peace to support Soldiers. The Army instills the essential characteristics of the Army profession and prepares Soldiers and Army Civilians to operate in dynamic environments. The Army Civilian and NCO relationship enables the Army to continue to accomplish its mission through performance, while contributing to the overall organizational goals.

a. With the exception of direct combat operations, Army Civilians serve alongside Soldiers in all operational environments. Taking an oath to support and defend the Constitution, Army Civilians provide mission-essential support and services. The NCO must understand the role Army Civilians play, how to utilize them in taking care of Soldier and accomplishing the mission. Whether working directly over, under or with Army Civilians, NCOs will afford the same level of respect given to fellow Soldiers. By knowing the rules and regulations by which Army Civilians adhere to, the NCO will gain an understanding on how to best build relationships and create an climate that's beneficial to all.

b. An NCOs use of social skills (team building) and especially inter-personal tact allows them to work effectively with other Soldiers and Civilians. When civilian supervisor NCOs they understand the professional development guidance needed to assist NCOs in their career advancement.

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CHAPTER 8

ARMY PROGRAMS



We do not have to do it alone, there are people and programs established to help us.

"The success of our nation is dependent upon the contributions of everybody doing his best to make our nation great."

- CSM Harry Hollowell

Chapter 8

Army Programs

8.0. Ref.

- Army Directive 2011-19, Expedited Transfer or Reassignment Procedures for Victims of Sexual Assault.
- AR 215-1, *Military Morale, Welfare, and Recreation Programs and Non-appropriated Fund Instrumentalities.*
- AR 350-1, *Army Training and Leader Development.*
- AR 385-10, *The Army Safety Program.*
- AR 600-8-8, *The Total Army Sponsorship Program.*
- AR 600-20, *Army Command Policy.*
- AR 600-85, *The Army Substance Abuse Program.*
- AR 601-280, *Army Retention Program.*
- AR 608-1, *Army Community Service.*
- AR 608-18, *The Army Family Advocacy Program.*
- AR 608-75, *Exceptional Family Member Program.*
- AR 621-5, *Army Continuing Education System.*
- AR 930-4, *Army Emergency Relief.*
- AR 930-5, *American National Red Cross Service Program and Army Utilization.*
- DA Pam 385-10, *Army Safety Program.*
- Public Law.
- Total Army Strong Program.
- United States Army Combined Arms Center.
- USAR 608-1, *Army Reserve Family Programs.*

8.1. Introduction.

NCOs are charged with taking care of their Soldiers. The scope of this charge includes personal and professional issues. A primary way to do so is to have an in-depth knowledge of the programs the Army has available to assist with the process. These programs were developed with the needs of Soldiers and their Families in mind. The list below provides available programs and applicable references to assist leaders in advising and counseling Soldiers.

8.2. American Red Cross (ARC).

The ARC exists to provide compassionate care to those in need. Our network of generous donors, volunteers, and employees share a mission of preventing and relieving suffering, at home and around the world through five key service areas: disaster relief, supporting America's military Families, lifesaving blood, health and safety services, and international services. The ARC directly supports Soldiers' needs such as emergency notifications through Red Cross Messages.

8.3. Army Community Service (ACS).

ACS is to facilitate a commander's ability to provide comprehensive, coordinated, and responsive services that support readiness of Soldiers, Civilian employees, and their Families. Families can seek ACS support through Family Assistance Centers, Soldier-Family Readiness Groups (SFRG), rear detachment, and Family Readiness Support Assistants. Soldier and Family readiness is supported through the Family Advocacy Program, Victim Advocacy Program, SHARP, Sexual Assault Prevention and Response Program (SAPR), Exceptional Family Member Program (EFMP), Transitional Compensation assistance, and New Parent Support Program. In addition, ACS provides support for relocation readiness, employment readiness, financial readiness, volunteer programs, Survivor outreach Services, and the Army One Source initiative.

8.4. Army Continuing Education System (ACES).

The ACES provides programs and services to promote lifelong learning opportunities and to sharpen the competitive edge of the Army. The ACES improves combat readiness and resilience through flexible and relevant education programs, services, and systems in support of the total Army Family. All eligible active duty, Reserve, and National Guard Soldiers are entitled to: Academic and vocational counseling services, Functional academic skills training (FAST), High school completion, Postsecondary programs, Tuition assistance, Testing services, Joint services transcript, Leader skill enhancement courses, Soldier training courses, Language training, Multi-use learning facilities (MLF)/Army learning centers (ALC), and College of the American Soldiers.

8.5. Army Emergency Relief (AER).

AER is the Army's own emergency financial assistance organization and is dedicated to "Helping the Army Take Care of Its Own." AER provides commanders a valuable asset in accomplishing their basic command responsibility for the morale and welfare of Soldiers.

As a leader, it is important to understand the rules and resources available to you and Soldiers. Personnel eligible for AER are:

- a. Soldiers on extended active duty and their dependents.
- b. Reserve Component Soldiers (ARNG and USAR) on continuous AD orders for more than 30 consecutive days and their dependents. (This applies to Soldiers on AD for training (ADT) and serving under various sections of title 10, United States Code (USC).
- c. Soldiers retired from AD for longevity, retired by reason of physical disability, or retired at age 60 under Section 1331, Title 10, United States Code (10 USC 1331) and their dependents.
- d. Surviving spouses and orphans of eligible Soldiers who died while on AD or after they were retired as identified in c above.

8.6. Army Family Action Plan (AFAP).

AFAP creates an information loop between the global Army Family and leadership. AFAP is the Army's grassroots process to identify and elevate the most significant quality of life issues affecting Soldiers of all components, retirees, Department of Army (DA) Civilians, and Families to senior leaders for action. Leaders, Soldiers, and Family members are integral to providing information to improve standards of living and institute information and support program.

8.7. Army Family Advocacy Program (FAP).

The Army FAP is dedicated to the prevention, education, prompt reporting, investigation, intervention and treatment of spouse and child abuse. The program provides a variety of services to Soldiers and Families to enhance their relationship skills and improve their quality of life.

8.8. Army Family Readiness Group (AFRG).

AFRG provides all the functionality of a traditional FRG in an ad-hoc and on-line setting to meet the needs of geographically dispersed units and Families across all components of the Army.

8.9. Army Family Team Building (AFTB).

AFTB is a Family resiliency and readiness training program that provides participants with an understanding of Army culture, and the skills and resources they need to become self-reliant, self-sufficient members of the military community. Additionally, AFTB offers company command team spouse training to prepare spouses to assume additional responsibilities as Soldiers progress through their positions.

8.10. Army Retention Program.

Personnel readiness is a responsibility of command. DA policy is that only those Soldiers who have maintained a record of acceptable performance will be offered the privilege of reenlisting within the active Army. Reenlistment is the Army's equivalent of the quality management program, but at the organizational level. It is a leader responsibility to ensure only the best-qualified Soldiers are reenlisted. The Army's ranks and formations are strengthened by evaluating the whole Soldier when determining their future service.

8.11. Army Safety Program.

No other program has more impact on Soldier readiness. It is every Soldier and Army Civilian's responsibility to stop unsafe acts by being responsible for accident prevention and applying risk management. This is also accomplished by compliance with the Army Safety Regulation, safety regulations work practices, standing operating procedures, and by using all necessary personal protective equipment (PPE). It is also required to report Army accidents and hazards in the workplace, and to employ risk management to manage risk. Safety goals will support overall command objectives by helping keep personnel safe and ready for duty. Leaders are safety officers who mitigate risk.

8.12. Army Substance Abuse Program (ASAP)/Substance Use Disorder Clinical Care (SUDCC).

- a. The ASAP exists to strengthen the overall fitness and effectiveness of the Army's workforce, to conserve labor, and to enhance the combat readiness of Soldiers. ASAP is responsible for developing, establishing, administering, and evaluating non-clinical alcohol and other drug abuse prevention, education, and training programs; overseeing the military, drug free workplace.
- b. SUDCC is an outpatient substance abuse treatment service available to all active duty personnel. The mission of SUDCC is to support military readiness by providing effective treatment strategies that will enable individuals to develop abstinence, enhance quality of life and promote healthy lifestyles.

8.13. Army World Class Athlete Program (WCAP).

The WCAP allows top-ranked Soldier-athletes to perform at the international level while also serving their nation in the military. Our members train and compete throughout the year and aim for the Olympic and Paralympic Games.

8.14. Better Opportunities for Single Soldiers (BOSS).

The BOSS is a quality of life program that addresses single Soldier issues and initiatives. The BOSS program enhances the morale and welfare of single Soldiers, increase retention, and sustain combat readiness. BOSS is the collective voice of single Soldiers through the chain of command, which serves as a tool for commanders to gauge the morale of single Soldiers regarding quality of life issues.

8.15. Center for the Army Profession and Leadership (CAPL).

The CAPL serves as the proponent for the Army profession, leadership, and leader development programs and assists CAC in the integration and synchronization of cross-branch/CMF/functional areas.

8.16. Child, Youth, and School Services (CYS).

CYS Services consists of four services; Child Development Services (CDS); School Age Services (SAS), Youth Services (YS) and CYSS Liaison, Education, and Outreach Services (CLEOS). CYS recognizes the challenges of our Soldiers and their Families.

By offering quality programs for children, youth, and students. CYS supports the Army Family by reducing the conflict between mission readiness and parental responsibility.

8.17. Comprehensive Soldier and Family Fitness Program (CSF2)/Master Resiliency Training (MRT).

a. CSF2 is designed to build resilience and enhance performance of the Army Family-Soldiers, Families and Army Civilians. CSF2 provides hands-on training and self-development tools so that members of the Army Family are better able to cope with adversity, perform better in stressful situations, thrive in the military and civilian sector, and to meet a wide range of operational demands. The program emphasizes social, physical, family, spiritual, and emotional fitness.

b. MRT is part of the CSF2 program, and offers strength-based, positive psychology tools to aid Soldiers, leaders, and Families in their ability to grow and strive in the face of challenges and bounce back from adversity. Training and information is targeted to all phases of the Soldier deployment cycle, Soldier life cycle, and Soldier support system.

8.18. Defense Enrollment Eligibility Reporting System (DEERS).

DEERS is a worldwide database of uniform services members (sponsors), their Family members, and others who are eligible for military benefits. DEERS is used in the Real-Time Automated Personnel Identification System (RAPIDS). The RAPIDS is Department of Defense (DoD) system that is used to issue the definitive credential within DoD for obtaining Common Access Card tokens in the DoD PKI.

8.19. Equal Opportunity Program (EO).

The Army will provide EO and fair treatment for military personnel and Family members without regard to race, color, gender, religion, national origin, reprisal, disability, age, sexual orientation, gender identity, status as a parent, or other impermissible basis, and provide an environment free of unlawful discrimination and offensive behavior.

8.20. Exceptional Family Member Program (EFMP).

The EFMP is a mandatory enrollment program that works with other military and Civilian agencies to provide comprehensive and coordinated community support, housing, educational, medical and personnel services to Families with special needs.

8.21. Financial Readiness Program (FRP).

The Army FRP provides a variety of education and counseling services to assist Soldiers and Families by increasing personal readiness and reducing financial stressors. Services include life-cycle education, personal financial training, advanced individual training, online financial readiness training and financial literacy gaming. The program provides financial guidance and support to Soldiers and their Families in the areas of general pay and allowances, entitlements, relocation, and credit reports.

a. Having knowledge of what impacts your credit and the ability to obligate debt without becoming indebted will have a significant impact on maintaining individual Soldier readiness. Indebtedness has negative impacts on Soldier morale, personal and family security, and peace of mind. Personal financial management entails maintaining good credit and building financial growth.

b. Building personal financial growth. The best personal financial manager is the individual. The first and best rule to personal financial growth is to develop and adhere to a sound budget philosophy. If a Soldier does not really understand how to use a budget effectively, they will not be able to manage financial growth. Managing a budget is about assets and liabilities. A bank account is an asset; a car loan is a liability.

8.22. The Inspector General's Office (IG).

The IG's Office primary function is to ensure the combat readiness of subordinate units in their command. They investigate noncriminal allegations and some specific criminal investigations, but they help correct problems that affect the productivity, mission accomplishment, and morale of assigned personnel, which is vital to unit readiness. The IG provides assistance with inspections and compliance programs as well as teaching and training provided to units and their leaders. The IG Office is a great resource to seek information and assistance when handling Soldier issues.

8.23. Military & Family Life Counseling Programs (MFLC).

MFLC Program's licensed clinical providers assist service members and their Families with issues they may face throughout the cycle of deployment - from leaving their loved ones and possibly living and working in harm's way to reintegrating with their Family and community.

8.24. Morale, Welfare, Recreation, and Family Programs (MWR).

MWR and Family Morale, Welfare, and Recreation (FMWR) provides programs and services supporting Soldiers, Families, and civilians that promote resiliency and strengthen our Army. Services include CYSS, Army Family programs, Soldier programs and community recreation, Family and MWR Business Initiatives, Armed Forces Recreation Centers, and MWR recreation delivery to theater operations.

8.25. Private Public Partnerships (P3).

The P3 program develops, integrates, and directs partner relations for the Army Reserve. P3 partners with not-for-profit (NFP), for-profit (FP), and academic organizations to support the Chief, Army Reserves top priorities and the Army Reserve mission of providing trained, equipped and ready Soldiers, leaders, and units to meet America's requirements at home and abroad.

8.26. Sexual Harassment/Assault Response and Prevention (SHARP), I AM STRONG, and CATCH.

- a. Sexual harassment and sexual assault violate everything the Army stands for including our Army values and Warrior Ethos. The Army is aggressively addressing sexual assaults by first focusing on prevention through education and training. Army leaders encourage reporting and work hard to reduce the stigma associated with sexual violence. Once reported, the Army focuses on care for victims and thorough investigations and prosecutions to hold offenders accountable. The Army continually assesses the effectiveness of its SHARP efforts to ensure the Army is meeting the needs of the Soldiers, Department of the Army Civilians, Family members and the nation. The SHARP programs mission is to reduce with an aim toward eliminating sexual offenses within the Army through cultural change, prevention, intervention, investigation, accountability, advocacy/response, assessment, and training to sustain the all-volunteer force.
- b. Intervene, Act and Motivate (I.A.M.) STRONG is the Army's campaign to combat sexual harassment and sexual assault by engaging all Soldiers in preventing sexual assault before they occur. Grounded by our shared belief in the Army values, we are a band of brothers and sisters, placing mission first, never accepting defeat, never quitting, and never leaving a fallen comrade. Our interdependence and shared respect among comrades frames who we are as a team and an Army - a team who finds sexual harassment and sexual assault reprehensible and beyond toleration. Those who commit these acts hurt other team members and wound our Army. These criminal acts are cowardly and damaging to the very moral fiber that gives our Army its innermost strength. They are a betrayal of the trust inherent in serving in the Profession of Arms. As Soldiers and proud members of our team, we are duty bound to Intervene, Act and Motivate others to stop sexual harassment and sexual assault and help foster an environment free of these behaviors.
- c. Catch a Serial Offender (CATCH) allows sexual assault victims (who filed a restricted report) to discover if the suspect in their report may have also assaulted another person, and having that knowledge, may help with the decision to convert their Restricted Report to Unrestricted. Participation in the CATCH program is voluntary. More information about this program can be found at Catch a Serial Offender website.

8.27. Soldier For Life – Transition Assistance Program (SFL-TAP).

SFL-TAP is a centrally funded and administered program that provides transition and job assistance services on major installations. Soldier for Life assist Soldiers in achieving the right mindset, obtain the necessary training and qualifications, and make the necessary connections through the Army, governmental and community efforts to successfully reintegrate Soldiers, veterans and their Families into Civilian life. The Soldier for Life initiative focuses on a Soldier's life-cycle; that once a Soldier, always a Soldier. The four points highlight that a Soldier starts strong, serves strong, reintegrates strong and remains strong. When Soldiers are better reintegrated, they stay Army strong, instilled in values, ethos, and leadership within communities.

8.28. Total Army Sponsorship Program (TASP).

The TASP assist Soldiers, Civilian employees, and Families during the reassignment process. It assists Families geographically separated from the Soldier or Civilian employee sponsor because of duty requirements. It improves unit or organizational cohesion and readiness by decreasing distractions that hamper personal performance and mission accomplishment, specifically by providing support and assistance, teaching teamwork, and encouraging development of a sense of responsibility. It supports the army's personnel life-cycle function of sustainment.

8.29. Total Army Strong Program.

Total Army Strong reaffirms the Army's commitment to the total Army Family, builds trust and faith between the Army and its most precious resource, the people, and sets the foundation for a balanced system of programs and services. These programs and services will meet the unique demands of military life, foster life skills, strengthen and sustain physical and mental fitness and resilience, and promote a strong, ready, and resilient Army.

8.30. Veterans Affairs (VA) Home Loan Program.

The VA Home Loan Program helps Veterans finance the purchase of homes with favorable loan terms and a competitive rate of interest. For VA housing loan purposes, the term "Veteran" includes certain members of the Army National Guard, the Army Reserve, regular Army, and certain categories of Spouses. Benefits for VA Home Loans include:

- Equal opportunity for all qualified veterans to obtain a
- VA loan that is reusable.
- No down payment, (unless required by the lender or the purchase price is more than the reasonable value of the property).
- No mortgage insurance.
- One time VA funding fee, that can be included in the loan.
- Veterans receiving VA disability compensation are exempt from the VA funding fee.
- VA limits certain closing costs a Veteran can pay.
- Can be taken over by other qualified persons.
- Minimum property requirements to ensure the property is safe, sanitary, and sound.
- VA staff dedicated to assisting Veterans who become delinquent on their loan.

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APPENDIX A:

Change of Responsibility Script



MUSIC: From **1515** until **1528** plays appropriate music, and the Color guard takes its initial position.

PARTICIPATING UNITS: All MSC/battalion/company, and HHC on the final line. Each company 1SG will dress their formations at the ready line prior to the ceremony stating. All units will be at *Parade Rest* NLT **1515** hours.

NCOIC: (At **1525**) faces about and directs **BATTALION ATTENTION, PARADE REST.**

NARRATOR: (At **1528** announces) “LADIES AND GENTLEMEN, THE CEREMONY WILL BEGIN IN TWO MINUTES. PLEASE MOVE TO YOUR SEATS AND SILENCE ALL CELL PHONES AND ELECTRONIC DEVICES.”

***Note:** for Indoor ceremony narrator reads*

NARRATOR: “THIS CEREMONY WILL BE CONDUCTED AS AN OUTDOOR CEREMONY; ALL MILITARY PERSONNEL WILL WEAR HEADGEAR AND RENDER PROPER OUTDOOR MILITARY COURTESIES.”

NARRATOR: (At **1530** announces) “GOOD AFTERNOON, LADIES AND GENTLEMEN. ON BEHALF OF **Commander’s Name**, THE COMMANDER OF **Unit Name**, WELCOME TO THE CHANGE OF RESPONSIBILITY CEREMONY. TODAY, COMMAND SERGEANT MAJOR **CSM1 Name** WILL RELINQUISH RESPONSIBILITY AS THE **Unit Name** COMMAND SERGEANT MAJOR TO COMMAND SERGEANT MAJOR **CSM2 Name**. WE EXTEND A SINCERE WELCOME TO OUR DISTINGUISHED GUESTS. AMONG OUR DISTINGUISHED GUESTS FOR TODAY’S CEREMONY ARE (**Names of Distinguish Guest**)

***NOTE:** Protocol will provide the list just prior to the ceremony*

NARRATOR: “LADIES AND GENTLEMEN, AT THIS TIME (**SPOUSE’S FULL NAME**) SPOUSE OF (**RANK, LAST NAME OF CSM1**) IS BEING PRESENTED A BOUQUET OF RED ROSES (or Gift) THANKING **HIM/HER** FOR ALL THEIR SUPPORT AND DEVOTION TO THE SOLDIERS AND FAMILIES OF (**Unit Name**). RED ROSES SIGNIFY THE BONDS OF LOYALTY AND AFFECTION BETWEEN THE SOLDIERS AND FAMILIES, AND TO SIGNIFY OUR SORROW AT THEIR DEPARTURE FROM (**Unit**). THEY WILL BE REMEMBERED AND MISSED.”

USHER: Presents flowers to Mrs./Mr. CSM1 Spouse’s name.

***NOTE:** Flowers may be substituted with a gift for the spouse if the CSM chooses not to give flowers.

NARRATOR: “AT THIS TIME (**SPOUSE’S FULL NAME**) SPOUSE OF (**RANK, LAST NAME OF CSM2**) IS RECEIVING A BOUQUET OF YELLOW ROSES (or Gift) WELCOMING HIM/HER TO THE (**UNIT**). YELLOW IS THE COLOR OF NEW BEGINNINGS AND SYMBOLIZES HIS/HER ARRIVAL TO THE (**UNIT**). IN TIME (**FIRST NAME OF INCOMING SPOUSE**)’S ROSEBUDS WILL BLOSSOM, AS WILL HIS/HER RELATIONSHIP WITH THE SOLDIERS AND THEIR FAMILIES.”

USHER: Presents flowers to Mrs./Mr. CSM2 Spouse's name.

***NOTE:** Flowers may be substituted with a gift for the spouse if the CSM chooses not to give flowers.

Once applause is complete:

NARRATOR: "LADIES AND GENTLEMEN, PLEASE STAND FOR THE INVOCATION GIVEN BY (**CHAPLAIN NAME**), AND REMAIN STANDING FOR THE ARRIVAL OF THE OFFICIAL PARTY, POSTING OF THE COLORS AND HONORS TO THE NATION."

CHAPLAIN: Moves to the podium (**Prayer should not exceed 1 minute**). After completion of his/her remarks, moves to his/her seat.

NARRATOR: "THE NONCOMMISSIONED OFFICER IN CHARGE FOR TODAY'S CHANGE OF RESPONSIBILITY CEREMONY IS **Rank and Name**. THE CEREMONY WILL BEGIN WHEN THE NCOIC COMMANDS THE UNITS BE BROUGHT TO ATTENTION."

NCOIC: Faces about and directs **BRING YOUR UNITS TO ATTENTION**.

UNITS: Each unit will execute the *Position of Attention* (in sequence starting with the right flank unit).

OFFICIAL PARTY: When all units are at attention, the official party marches to their post or are already in place at this time.

Once the official party has halted at their post:

NCOIC: Gives the command **COLORS CENTER (pause), MARCH**.

COLOR GUARD: Takes seven steps forward and halt. Then the NCOIC marches forward until he/she is three steps in front of the color detail, halts, and then faces about. The NCOIC will then command "**COLORS FORWARD, MARCH**." On the command of execution **MARCH**, the NCOIC and the Colors march forward and then halt when the NCOIC has reached his/her original post. The NCOIC then commands "**MARK TIME, MARCH, COLORS HALT**".

Once the Colors has halted:

NCOIC: Executes the Hand Salute and reports, "**SIR/MA'AM, the Colors are PRESENT.**"

COMMANDER: Returns the Salute and directs "**CONDUCT THE CHANGE OF RESPONSIBILITY.**"

NCOIC: executes a right face and takes approximate eight (8) steps to his/ her post and executes a right face, and directs "**BRING YOUR UNITS TO PRESENT ARMS.**"

UNITS: Each unit will command *Present*, Arms in sequence starting with the right flank unit).

Once the last unit has executed Present Arms:

The NCOIC faces about (looking over the left shoulder) and gives the command **COLORS PRESENT, ARMS**. NCOIC Salutes along with detachment.

MUSIC: Plays the NATIONAL ANTHEM.

Upon completion of the National Anthem.

NARRATOR: “LADIES AND GENTLEMEN, PLEASE BE SEATED.”

NCOIC: Executes *Order Arms*, and *faces about*, then commands **Order, ARMS.**

NCOIC: Directs **BRING YOUR UNITS TO ORDER ARMS AND PARADE REST.**

UNITS: Each unit will execute order arms and parade rest in order beginning with unit on far right.

When all units are at *Parade Rest*, the NCOIC faces about and remains at *Attention* with the colors.

NCOIC & OFFICIAL PARTY: As the narrator begins reading, the NCOIC moves (cue words: “**THE CHANGE**”) towards the colors and centers him/herself about one step in front of the colors. The reviewing party moves forward and halts three paces in front of the NCOIC. The CSM1 and CSM2 will take one step forward and (simultaneously) the NCOIC faces about to retrieve the Colors from the Color bearer. Once the NCOIC retrieves the Colors, the command **Center, FACE** will be given (both CSMs face inward and the NCOIC faces about) placing all parties in position.

NARRATOR: “THE CHANGE OF RESPONSIBILITY IS A SIMPLE YET TRADITIONAL EVENT THAT IS RICH WITH SYMBOLISM AND HERITAGE. THE KEY TO THE CEREMONY IS THE PASSING OF THE COLORS. THE VERY SOUL OF A MILITARY UNIT IS SYMBOLIZED IN THE COLORS UNDER WHICH IT FIGHTS, FOR THEY REPRESENT NOT ONLY THE LINEAGE AND HONORS OF THE UNIT, BUT ALSO THE LOYALTY AND UNITY OF ITS SOLDIERS. THE CUSTODIAN OF THE COLORS IS THE COMMAND SERGEANT MAJOR, WHO IS THE SENIOR ENLISTED SOLDIER IN THE UNIT, AND PRINCIPAL ADVISOR TO THE COMMANDER.

NCOIC: Once the narrator reads (cue words: “THE NONCOMMISSIONED OFFICER IN CHARGE”), the Colors are then passed from the NCOIC (Right hand above their left hand) to the CSM1; CSM1 (Left hand above their right hand) steps forward and presents the Colors to the Commander (Right hand above their left hand), and then to the CSM2 (Left hand above their right hand).

NARRATOR: THE NONCOMMISSIONED OFFICER IN CHARGE **Rank and Name** WILL PASS THE COLORS TO COMMAND SERGEANT MAJOR **CSM1 Name**, WHO WILL IN TURN PASS THE COLORS TO THE COMMANDER. THE PASSING OF THE COLORS SYMBOLIZES THE RELINQUISHMENT OF RESPONSIBILITY AND AUTHORITY FROM COMMAND SERGEANT MAJOR **CSM1 Name**. THE COMMANDER WILL THEN PASS THE COLORS TO COMMAND SERGEANT MAJOR **CSM2 Name**, CHARGING HIM/HER WITH THE RESPONSIBILITY AND AUTHORITY THAT COMES WITH HIS/HER POSITION.

NARRATOR: "CHARGE ORDERS FOR COMMAND SERGEANT MAJOR **CSM2 Full Name**. LET IT BE KNOWN FROM THIS DAY FORWARD THAT THE COMMANDER OF THE (**Unit Name**), HAS PLACED SPECIAL TRUST AND CONFIDENCE IN YOUR PATRIOTISM, DEDICATION, INTEGRITY, AND LEADERSHIP ABILITIES. THEREFORE, YOU ARE HEREBY APPOINTED AS THE COMMAND SERGEANT MAJOR, **Unit Name**."

NARRATOR: COMMAND SERGEANT MAJOR **CSM2 Name** WILL NOW RETURN THE COLORS TO THE NONCOMMISSIONED OFFICER IN CHARGE."

Once the Colors are handed to CSM2:

Upon completion of reading the Charge of Responsibility order, the colors are returned to the NCOIC:

NCOIC: Gives the command of ***ABOUT, FACE*** and all parties execute a movement to face the Color guard. The NCOIC returns the Colors to the Color bearer and then the commander gives the command ***ABOUT, FACE*** and all parties face the review stand. The commander then gives the command ***FORWARD, MARCH*** and the commander, and both CSMs step off as in marching. While moving back to the review stand, the CSMs pass behind the commander (incoming ahead of the outgoing) changing positions. Once back to the review stand, the commander commands ***MARK TIME, MARCH***, and ***GROUP, HALT***. Once at a halt, the commander commands ***ABOUT, FACE*** and ***PARADE, REST***.

NCOIC: On the command *Forward March*, he/ she returns to initial position. Then assumes the position of *Parade Rest*.

NARRATOR: "LADIES AND GENTLEMEN, THE COMMANDER OF THE **Unit Name, Commander's Name**."

COMMANDER: Comes forward and gives his/her remarks. After completion of his/her remarks, moves to his/her seat.

NARRATOR: "LADIES AND GENTLEMEN, THE OUTGOING COMMAND SERGEANT MAJOR, COMMAND SERGEANT MAJOR **CSM1 name**."

CSM1 NAME: Moves to the podium and gives remarks. After completion of his/her remarks, moves to his/her seat.

NARRATOR: "LADIES AND GENTLEMEN, THE COMMAND SERGEANT MAJOR OF THE **Unit Name**, COMMAND SERGEANT MAJOR **CSM2 name**."

CSM2 NAME: Moves to the podium and gives remarks. After completion of his/her remarks, moves to his/her seat.

Once **CSM2 Name** moves to his/her seat:

NCOIC: Assumes the position of Attention, faces about and directs "BRING YOUR UNITS TO ATTENTION."

UNITS: Each unit will execute the *Position of Attention* (in sequence starting with the right flank unit).

Once all of the units are at *Attention*:

NCOIC: faces about.

NARRATOR: “LADIES AND GENTLEMEN PLEASE STAND FOR THE PLAYING OF THE ARMY SONG.”

*Note Units may opt to retire the colors if the ceremony is conducted indoors.

NARRATOR: “LADIES AND GENTLEMEN PLEASE STAND FOR THE PLAYING OF THE ARMY SONG AND REMAIN STANDING FOR THE RETIRING OF THE COLORS.”

MUSIC: PLAYS THE ARMY SONG.

Upon completion of the Army Song:

NCOIC: looks over left shoulder and Gives the command "***COLORS, POST.***"

On the command POST the Colors Reverse March and halt. NCOIC remains looking over left shoulder.

NCOIC: Gives the command MARCH.

On the command MARCH the following actions occur simultaneously: Colors step off and return to their original posts, executes reverse Colors, and halts. The NCOIC marches to his/her position, centered on the formation, and executes right Face.

CSM2: moves towards the NCOIC and takes charge of the formation, NCOIC moves towards the formation and takes his/ her place behind the color guard. CSM2 faces about.

CSM2: Salutes and announces “Sir/Ma’am, this concludes today’s ceremony.”

COMMANDER: Returns Salute and commands "TAKE CHARGE OF THE UNIT."

CSM2: Gives the command "TAKE CHARGE OF YOUR UNITS."

CSM2: after units take charge he/she will face about and moves to his/her seat:

NARRATOR: “LADIES AND GENTLEMEN, THIS CONCLUDES TODAY’S CEREMONY. PLEASE TAKE A MOMENT TO WISH COMMAND SERGEANT MAJOR **CSM1 Name** AND HIS/HER SPOUSE A FOND FAREWELL. THERE IS A RECEPTION FOR COMMAND SERGEANT MAJOR **CSM2 Name** AND HIS/HER SPOUSE AT (**Location**).”

-----below optional-----

***NOTE:** Units may execute the retiring of the colors following the steps below.

UNITS: Each unit will execute a Column Right (in sequence starting with the right flank unit, follow the colors out of the off parade field).

NCOIC: commands "*RIGHT WHEEL*, MARCH" and once the movement has been completed "*FORWARD*, MARCH" is given.

COLOR GUARD: Executes Right Wheel, then marches out of the off parade field.

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APPENDIX B:

NCO Induction Ceremony



Introduction: Below is a basic script for the execution of an NCO Induction Ceremony at the Battalion level. This can be tailored to fit any size organization. Feel free to add or subtract from this script to make it personal to your organization. Additions for specific unit history or regimental significant is highly encouraged.

1. **Narrator:** Ladies and gentlemen, the ceremony will begin in two minutes please take your seats and silence all electronic devices.

Start a video of pictures of all NCOs about to get inducted

2. **Narrator:** Today's official party for the ceremony is Command Sergeant Major ????, the ????, Command Sergeant Major, and Command Sergeant Major ????, the ????, Command Sergeant Major. Ladies and gentlemen, please stand for the arrival of the official party, posting of the colors and remain standing for the singing of the National Anthem and the invocation.

Official party music plays and ends when the official party arrives at their positions

Color guard posts colors and marches off.

3. **SPC ????** from ????, Company: sings national anthem.

4. **SFC ????** from ????, Company: gives invocation.

5. **Narrator:** Ladies and gentlemen please be seated. Good afternoon, CSM ????, CSM ????, distinguished guests, families, friends and fellow Noncommissioned Officers. Welcome to the ???? Battalion Noncommissioned Officer Induction Ceremony, where we will recognize the passing of Soldiers into the ranks of the United States Army Noncommissioned Officer Corps.

6. **Narrator:** The tradition of passing a Soldier on to be a Noncommissioned Officer can be traced to the Army of Fredrick the Great. You may notice the two Noncommissioned Officers guarding the Noncommissioned Officer arch with their sharp uniform, clean boots, and NCO-issued halberds/swords. While in battledress, the NCO wears boots. They always have. Well, almost.

Many Soldiers at Valley Forge did not have shoes, much less boots. They marched and fought with no more than rags that were wrapped around bleeding, frostbitten feet. Those volunteers literally knew the meaning of a "come as you are" war.

From the crude, rough and soiled moccasins worn by Soldiers more than 225 years ago, to the smooth and polished boots of today, boots have been basic to Soldiering. Whether strapped, laced, buttoned, buckled or wrapped by leggings, boots have marched, paced, sloshed, trampled, jumped and charged over or through dirt, mud, snow, brush, rock, sand and water.

In peace and war, the boots of the American Soldier have left their prints in many places ... **(add places from unit history)**, to name a few.

With boots on, U.S. Soldiers have fought wars, policed cities, stood between hostile forces, provided humanitarian relief, rescued civilians ... And died. Boots have been buried with Soldiers, removed from bodies, amputated along with legs and shattered by mines. No matter where, or when, or what the mission, an Army NCO was there ... Wearing boots.

Their issued weapon, enables them to cut to the heart of the matter and ensure only those that are qualified and are a demonstrated true leader of Soldiers may pass under this sacred archway.

In the beginning, before one could be fully recognized as an NCO, he was required to stand four watches; one every four days. At the first watch a Soldier appeared and claimed a gift of bread and brandy. **(Pause)**

(SPC ???? from ???? Company, moves from their seat to take the bread and brandy then returns to their seat).

The sergeant of the guard came to the second watch for beer and tobacco. **(Pause)**

(SSG ???? from ???? Company, takes beer and tobacco and returns to his seat).

The First Sergeant reserved his visit for the third watch, when he was presented with a glass of wine and a piece of tobacco on a tin plate. **(Pause)**

(1SG ???? from ???? Company, takes a glass of wine and tobacco on a plate, then returns to his seat).

On the morning after the fourth watch, the sun would rise on a new NCO.

Today, we commemorate this rite of passage as a celebration of the newly promoted sergeants joining the ranks of the Noncommissioned Officer Corps, to emphasize and build on the pride we all share as members of such an elite corps.

7. Ladies and gentlemen, please listen to the voices of the NCOs from the past, present, and future as they light the candles:

8. **Narrator: SSG ???? from the ???? Company**, will light the red candle with the letter “N” which represents THE PAST, AND THE BLOOD WHICH WAS SHED.

As the NCO begins speaking to light the candle, the table for the watch is removed

9. **SSG ????:** “I am that Sergeant, a Noncommissioned Officer in the Army of the United States of America. For over two hundred and forty-three years I have trained and led our Nation’s Soldiers; and with them have purchased freedom with our blood. To the tyrant we are the day of reckoning, to the oppressed we represent a hope for the future. I was there at the beginning.

After saying their part, returns to their seat

10. **Narrator: SFC ???? from ???? Company**, will now light the white candle with the letter “C” which represents THE PRESENT AND PURITY.

11. **SFC ????:** “I led my Soldiers across that line of death drawn in the sand by a tyrant and in 100 hours crushed his Army. Against an enemy that knew they had no hope of surviving a head-to-head battle and resorted to guerrilla warfare, I stood firm at my outpost and showed that the American Army is the Supreme Fighting Force for freedom in the world.”

After saying their part, returns to their seat

12. **Narrator:** SSG ???? from the ???? Company will now light the blue candle with the letter “O” that represents THE FUTURE AND LOYALTY TO OUR COUNTRY.

13. **SSG ????:** “I am the Sergeant leading my Soldiers on patrol, manning check points, executing logistics, and securing sites across all domains of battle. I am a warrior and I train my Soldiers to live the Warrior Ethos. I am prepared to go anywhere on this earth to project the power of our country to implement peace and guarantee democracy.”

After saying their part, returns to their seat

14. **Narrator:** Ladies and gentlemen, the Command Sergeant Major for the ???? (hosting) battalion, Command Sergeant Major ????.

15. **CSM ????:** (introduces the guest speaker).

16. **CSM ????:** Ladies and gentlemen: Command Sergeant Major ????.

applause

17. **CSM ????:** (speech).

applause after speech

Arch guards move into place beside the arch, facing out

18. **Narrator:** Ladies and gentlemen, now you will witness the signing and issuing of the NCO Charge by the inductees and Command Sergeant Major ???? (Host CSM). This document denotes acceptance of the duties and responsibilities of the Noncommissioned Officer.

Host CSM moves from his seat to center stage. CSM ???? turns to narrator and asks:

19. **CSM ????:** “How many candidates do we have to induct?”

20. **Narrator:** Sergeant Major, there are ?? candidates.

On “Candidates” all the Soldiers will stand in unison

Narrator: As leaders, NCO’s must be proven worthy of the rank of Sergeant. Keep an attentive ear as you listen to your First Sergeants while they give you words of wisdom and experience.

On “First Sergeants”, 1SG #1 stands and faces the inductees

21. **1SG #1:** (commands, “Stand at ease”) “inductees, the place you will leave is a place to be respected and remembered, for there remain your subordinates. However, you are never to stand there again, unless you are no longer eligible to continue as a Noncommissioned Officer.”

22. **1SG #2:** “The place that you are going is sacred, for it symbolizes the past, present, and future of the Noncommissioned Officer.”

23. **1SG #3:** "From this place, you will carry out the responsibilities of your position. Never forget where you came from or that you must lead by example and always take care of your Soldiers."

24. **1SG #4:** "The NCO's within your unit also stand behind you as you learn and grow. Stay within the circle of Noncommissioned Officers, solicit their help and knowledge; accept their guidance, because they have been where you are about to go." (Calls; "**GROUP ATTENTION**").

Host CSM ??? moves to center stage after 1SG #4 stops speaking

1SG #4 executes an about face and turns the formation over to CSM ???

25. **Host CSM ???:** "Inductees, raise your right hand and repeat after me."

26. **Host CSM ???:** "I (state your full name)
will discharge carefully and diligently
the duties of the grade
to which I have been promoted,
and uphold the traditions
and standards of the Army.

I understand that Soldiers of lesser rank
are required to obey my lawful orders.
Accordingly, I accept responsibility
for their actions
as a Noncommissioned Officer.
I accept the charge
to observe and follow
the orders and directions
given by supervisors,
acting according to the laws,
articles, and rules
governing the discipline of the Army.

I will correct conditions
detrimental to the readiness thereof.
In so doing,
I will fulfill my greatest obligation
as a leader,
and thereby confirm
my status as a Noncommissioned Officer."

27. **Host CSM ????:** “Inductees, do you swear or affirm to uphold and secure the value and the responsibilities of the Noncommissioned Officer Corps?”

28. **Inductees:** “Yes, Command Sergeant Major!” (drop right hand).

*CSM ??? looks at the candidates

29. **Host CSM ????:** “Candidates are you ready to pass through the lines of authority and accept the responsibility of a Noncommissioned Officer?”

30. **Inductees:** “Yes, Command Sergeant Major!”

31. **Narrator:** Please take your seats.

All companies except HHC take their seats

32. **Company 1SG’s** gives command “**Right Face**” and “Forward March” candidates move to table and signs the charge of responsibility; inductee then moves onto the stage as their name is called*

As soon as 1SG’s call right face the Arch Guards center face

Host CSM ??? walks across the stage and has the Guest speaker join them next to the arch

33. **Host CSM ????:** “Who will vouch for these NCOs to be inducted?”

Host CSM ??? will ask each respective 1SG as he takes his position beside the arch ahead of his Soldiers

34. **1SG from Unit:** “I do, Command Sergeant Major!”

Arch guards raise their halberds/swords with the tips crossed after the 1SG’s pass under, then they will raise and cross their sword while the entire company passes under

*NOTE: For smaller ceremonies, each NCO inducted can have a sponsor that is asked the same question before being allowed to cross under the archways.

CSM- Are there any Soldiers present that deserve to be members of our time honored corps?

Inductee: I (Rank/Name).

Sponsor: I (Rank/Name) recommend SGT ??? to be inducted into the Noncommissioned Officer corps.

Once all have been introduced and recommended, all move on stage to cross under the archway

35. **Narrator:** Now that you have been officially charged, step forward to receive your charge. Take them with you and display them proudly. As you leave here today, never forget your role as a Noncommissioned officer.

Unit may determine what each inductee will receive. Recommended they receive their Signed NCO Charge, a framed copy of the NCO Creed signed by the BN Command Team, a copy of the Battalion History and a copy of the description and symbolism of their patch and the Battalion Crest, as well as TC 7-22.7, Noncommissioned Officer Guide.

As the second-to-last NCO's name is called from the preceding company, the following company will move to the position of attention, execute a right face and march to the stage.

36. **Narrator:** Recites NCO inductee List

HEADQUARTERS AND HEADQUARTERS COMPANY

SGT
SGT
SGT
SGT

COMPANY 2

SGT
SGT
SGT
SGT

COMPANY 3

SGT
SGT
SGT
SGT

COMPANY 4

SGT
SGT
SGT
SGT

Each new NCO will move through the arch, receive charge, and shake hands, pose for a photo, and return to their seat. Once the last NCO and official party is seated, narrator continues.

37. **Narrator:** Ladies and gentlemen, “A Soldier’s Request”.

Soldiers/NCOs will stand from their seats in the audience and recite their lines

38. **Soldier 1 SPC ????, Company :** *Treat me with respect, Sergeant. For no heart in the entire world is more loyal than the heart of an American Soldier. Do not break my spirit with your words, Sergeant. Know I will do what you demand, your guidance, patience, and understanding will more quickly teach me to “Be, Know, and Do”.*

39. **NCO Response SGT ????, Company:** TRUST ME, SOLDIER. YOU HAVE A MIND AND THE WILL TO BE TRAINED. I WILL TELL YOU MY HIGHEST TRUTH IF I KNOW YOU ARE LISTENING. YOUR TRUST IS ONE OF YOUR MOST PRIZED POSSESSIONS; WORKING TO EARN IT MAKES ME A BETTER LEADER.

40. **Soldier 2 SPC ????, Company:** *Speak with me often. For the praise and counseling you give is expected. I am an American Soldier, expecting to be trained. Discipline must be a part of my life. Train me for the elements, Sergeant. For I must learn to fight and win in the cold, the wet, and the desert. Those who would be my enemies will use these elements. I must be prepared.*

41. **NCO Response SSG ????, Company:** FOLLOW ME, SOLDIER, FOR THE STEPS I TAKE ARE TAKEN MORE CAREFULLY IF I KNOW YOURS WILL FOLLOW. PERSONAL SACRIFICES ARE EASY BUT YOUR SAFETY I HOLD DEAR. MAKE THEM ONE AND I WILL LEAD YOU AS BEST AS I CAN.

42. **Soldier 3 SPC ????, Company:** *I ask no greater glory, Sergeant, than to defend our country and our way of life, against all enemies, both foreign and domestic. Allow me that privilege, Sergeant.....train me. Provide me the necessities of food and drink, Sergeant. Train me to be self-sufficient, that I may lead the way, and stand ready, willing and able to protect you; with my life if necessary, should your life be in danger.*

43. **NCO Response SGT ????, Company:** OBEY ME, SOLDIER, NOT BECAUSE YOU HAVE TO BUT BECAUSE YOU WANT TO. YOUR ACTIONS GIVE MY WORDS POWER. A LEADER’S INFLUENCE IS LIMITED BY THE ACTIVITY THAT LEADER CAN INFLUENCE. EMPOWER ME SO THAT I MAY USE THAT POWER TO YOUR BENEFIT.

44. **Soldier 4 Pv2 ????, Company:** Train me, Sergeant, that one day I too can be called Sergeant, trainer of Soldiers, backbone of the Army. Train me to accept those responsibilities that are yours. Train me to train my Soldiers to be the greatest defenders of freedom in the world. Sergeant, train me to be a Sergeant. I shall leave this Army knowing, with my last step and my last breath, that my fate was always safest in your hands.

45. **All four Soldiers:** Sergeant, train me, that I too can earn the title “Sergeant”.

46. **NCO Response SSG ????, Company:** RESPECT ME, SOLDIER, BECAUSE RESPECT IS A TWO-WAY STREET. IT IS GIVEN MORE EASILY TO THOSE FROM WHOM IT IS READILY RECEIVED. WHEN YOU REMIND ME WHAT RESPECT LOOKS LIKE, I CAN KEEP MYSELF IN LINE AS WELL. SOLDIER, I AM A LEADER. GIVE ME A SOLDIER TO LEAD. I AM AT MY BEST WHEN YOU ARE AT YOURS. PUSH YOURSELF AND THEREBY PUSH ME TO BE A BETTER LEADER FOR YOU EVERY DAY. I PROMISE I WILL RISE TO THE CHALLENGE.

47. All newly inducted NCO's standing say in unison **"WE WILL LEAD YOU!"**

48. **Narrator:** SGT ????, will now present our guest speaker CSM ??? with a small token of appreciation for her kind words of wisdom. (Moves to the podium and gives the guest speaker the gift) Asks if he/she would join the newly inducted NCO's to recite the NCO Creed.

49. **Narrator:** Every Soldier has a Sergeant... Every Soldier deserves a leader who is a capable trainer, is trustworthy, is genuinely concerned for their health and welfare, and develops them to be the leaders of tomorrow. The Soldier's Creed and the Warrior Ethos are compelling obligations we expect our Soldiers to live by. Likewise, we expect our leaders to live by those obligations and the Creed of the Noncommissioned Officer. The Creed of the Noncommissioned Officer has served as a guiding document for Noncommissioned Officers since its inception in 1973, though its concepts have always been a part of our Corps. Each major paragraph begins with three letters: N, C, O. These words have inspired Noncommissioned Officers, and have served as a compass to guide us through the difficult paths that we travel. Today, our newest Noncommissioned Officers will affirm their commitment to the professionalism of our Corps, and become a part of the "Backbone" of the Army.

50. **Narrator:** Ladies and Gentlemen please stand and join our inductees and all NCOs past and present as they recite the Creed of the Noncommissioned Officer, emphasizing their duties and responsibilities of the grade to which they have been promoted and remain standing for the benediction, singing of the Division Song, the Army Song and the departure of the Official Party.

On "Please Stand" all Official Party and Inductee's stand at attention.

51. **Chosen Inductee:** The Creed of the Noncommissioned Officer

52. **NCOs:** Recite the NCO Creed.

Once the NCO Creed is complete, chosen NCO walks back to their seat.

53. **NCO:** Give Benediction (SFC ???).

Plays the Division/Army song. Then departure music

CSM ??? commands, "Right Face, forward march." Official Party exits ceremony area

54. **Narrator:** Ladies and gentlemen this concludes our ceremony. Please take a moment to join us in congratulating our newly inducted NCOs. Thank You for attending!
"Battalion Motto!!"

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Appendix C:

Leader Tools

Leadership Philosophy.

Military organizations have mission statements that assist in making operational what is in the organization's vision statement. A philosophy is intended to articulate an individual's priorities within the context of the vision and mission statements. A Leadership philosophy lets people know what you expect, what you value, and how you will act. Leadership philosophies help:

- Keep the leader on course.
- Let Soldiers know what the leader wants.
- Provide clear leader intent.
- Establish clear priorities.
- Provide consistency that enhances trust and confidence.
- Staff and Soldiers understand the leader's inner thoughts, beliefs, and expectations.
- Communicate a vision and purpose to an organization.

Army Training Network (ATN).

The ATN provides users at every level the most up-to-date doctrine, requirements, tasks, and tools to ensure Soldiers are trained and ready. The ATN provides self-help services for Unit Training Management, Home Station Training Enablers, Leader Development, Specific Training Resources, Training Doctrine & Publications, Centers of Excellence and Proponents, HQDA Commands Training Guidance and other training related resources. Training is the NCOs primary role and ATN provides substantial support. Support services include:

- **Unit Training Management:** Standards for Training Proficiency, Digital Training Management System (DTMS) Knowledge Base, training management briefings, and combined arms training strategies (CATS).
- **Home Station Training Enablers:** Operational environment/OPFOR publications, Army Learning Management System (ALMS), Integrated Training Environment (ITE) portal, MILGAMING portal, Network Engagement, Training Aids Devices, Simulators and Simulations (TADDS) at your local TSC, Total Ammunition Management Information System (TAMIS), and Mission Command Training Program.
- **Leader Development:** Army leader exchange (ALX), leader improvement center, Multi-source Assessment and Feedback (MSAF), Center for Army Lessons Learned (call), MYTRAINING (includes digital job book and leader readiness tool gadgets), Army leader exchange training support package, and institutional & operational UTM products.
- **Specific Training Resources:** Combat Training Centers (CTCs), Pre-Deployment, TRADOC Culture Center, and Traumatic Brain Injury (TBI).

- **Training Doctrine and Publications:** Central Army Registry (CAR), Army Publishing Directorate (APD), doctrine update publications, and joint doctrine education and training electronic information system (JEL+) JDEIS.

NOTE: More information about ATN can be found at: <https://atn.army.mil/>

Army Career Tracker (ACT).

The ACT is an individual career management system aimed at supporting the lifecycle of the Soldier. The ACT encourages Soldier and their leaders to define career goals, create and ensure time-lines are met for those goals and help fulfill objectives both inside and outside the Army. The ACT continues to improve on its capabilities to resource and inform Soldiers and leaders of initiatives and career development programs. The ACT provides the following features to assist both the Soldier and leader:

- a. Encourages Soldiers to develop an individual development plan that tracks training, military education, civilian education, and a host of other development paths.
- b. Access to DLC enrollment.
- c. Automated sponsorship, which standardizes procedures for requesting for a sponsor; management of the linkage between Soldier and Sponsor by the losing and gaining commands.
- d. Provides links to other support sites such as MyPay.
- e. Consolidates information from several systems and presents it at one central site.
- f. It integrates Total Army Database, GoArmy Education, the Army Learning Management System, and the Army Training Requirements and Resources System (ATRRS).

NOTE: The ACT website is available at CAC login: <https://actnow.army.mil/>

Center for Army Leadership (CAL) conducts leadership and leader development research, studies, analyses, assessments, and evaluations. It provides the Army leadership and leader development doctrine, products, and services. The CAL develops and maintains the Army Leader Development Strategy and its annexes. It also manages the Army Leader Development Program. Users access CAL at <https://usacac.army.mil/organizations/mccoe/cal>.

Central Army Registry (CAR) is a web-based digital catalog and repository that serves as the warfighters' one-stop source for training-related products. These products include doctrine, published tasks, training circulars, training support packages, and graphic training aids. Users can search for training products in the CAR by using the search function (product identification number, title, or keywords) or browsing capability (product type, proponent, etc.). The CAR is available on ATN and is accessed at <https://atiam.train.army.mil/catalog/dashboard>.

Center for Army Lessons Learned (CALL) is the Army's source for adaptive learning based on lessons and best practices from the Army. It publishes handbooks, newsletters, News From the Front, and other products. It provides timely and relevant knowledge by using integrated systems and interactive technology. Users access the CALL at <http://usacac.army.mil/organizations/mccoe/call>.

APPENDIX D:

PROFESSIONAL READING LIST

Self-Development and personal growth are essential to developing leaders. Reading is an essential element of professional development. The scope and breadth of leader relevant issues in professional readings will serve to broaden and to deepen our understanding of our roles in leadership. The recommend list is not all-inclusive and will evolve as relevant leadership issues emerge. As a professional, it is important to create a personal course to read, study, reflect and apply in order to improve your understanding of our profession.

Constitution of the United States.

Available online at <http://www.archives.gov/nationalarchives-experience/chartersconstitution.html>

Band of Brothers: E Company, 506th Regiment, 101st Airborne from Normandy to Hitler's Eagle's Nest.

Stephen E. Ambrose // New York: Simon & Schuster, 2001.

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John Winthrop Hackett // New York: Macmillan, 1983.

We Were Soldiers Once ... and Young: Ia Drang—the Battle That Changed the War in Vietnam.

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The Starfish and the Spider: The Unstoppable Power of Leaderless Organizations.

Ori Brafman and Rod Beckstrom // New York: Penguin Group, 2006.

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Jim Hunter, Amazon/Kindle.

The Richest Man in Babylon.

George S. Clason, Penguin books, 1926.

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GLOSSARY

The glossary lists acronyms and terms.

SECTION I - ACRONYMS AND ABBREVIATIONS

AAR: After Action Review
ACS: Army Community Service
ACFT: Army Combat Fitness Test
ACT: Army Career Tracker
ADP: Army Doctrine Publication
AEF: American Expeditionary Force
ALMS: Army Learning Management System
AOAP: Army Oil Analysis Program
AR: Army Regulation
ATMS: Army Training Management System
ATN: Army Training Network
BOSS: Better Opportunities for Single Soldiers
CAL: Center for Army Leadership
CALL: Center for Army Lessons Learned
CAR: Central Army Registry
CATS: Combined Arms Training Strategy
CMF: Career Management Field
COC: Chain of Command
CoE: Center of Excellence
COMPO 2/3: Component 2=National Guard; 3=Reserves
CPCE: Command Post Computing Environment
CSA: Chief of Staff Army
CSDP: Command Supply Discipline Program
CSGC: Command Staff General College
C²: Command and Control
C³: Common Core Competencies
DLC: Distributed Leader Course
DOD: Department of Defense
DTMS: Digital Training Management System
EFMB: Expert Field Medical Badge
EIB: Expert Infantryman Badge
EO: Equal Opportunity
EPMS: Enlisted Personnel Management System
ESB: Expert Soldier Badge
FM: Field Manual
FRAGO: Fragmentary Order

FRG: Family Readiness Group
FUOPS: Future Operations
GWOT: Global War on Terrorism
HLS: Higher Learning Commission
HQDA: Headquarters Department of the Army
IG: Inspector General
INCOPD: Institute for Noncommissioned Officer Professional Development
IPPS-A: Integrated Personnel and Pay System-Army
JAG: Judge Advocate General
JP: Joint Publication
LOE: Line of Effort
LTX: Lane Training Exercise
MC: Mission Command
MCM: Manual for Court Martial
MDMP: Military Decision Making Process
MEDPROS: Medical Protection System
MET: Mission Essential Task
METL: Mission Essential Task List
MFT: Master Fitness Trainer
MOS: Military Occupational Specialty
NATO: North Atlantic Treaty Organization
NCOA: Noncommissioned Officer Academy
NCOCC: Noncommissioned Officer Candidate Course
NCOER: Noncommissioned Officer Evaluation Report
NCOES: Noncommissioned Officer Education System
NCOLCoE: Noncommissioned Officer Leadership Center of Excellence
NCOPDP: Noncommissioned Officer Professional Development Program
NCOPDS: Noncommissioned Officer Professional Development System
NDA: National Defense Act
OIP: Organizational Inspection Program
OPORD: Operations Order
PACE: Primary/Alternate/Contingency/Emergency (Communications plan)
PME: Professional Military Education
RFMSS: Range Facility Management Support System
ROTC: Reserve Officer Training Corps
SAV: Staff Assistance Visits
SFL-TAP: Soldier for Life-Transition Assistance Program
SHAEF: Supreme Headquarters Allied Expeditionary Force
SMA: Sergeant Major of the Army

SME: Subject Matter Expert
SOP: Standard Operating Procedure
STT: Sergeants Time Training
STX: Situational Training Exercise
TAMIS: Total Ammunition Management Information System
TC: Training Circular
TLP: Troop Leading Procedures
TRADOC: U.S. Army Training and Doctrine Command
TTP: Tactics, Techniques, and Procedures
T&EO: Training and Evaluations Outline
UPL: Unit Prevention Leader
UTP: Unit Training Plan
USASMA: U.S. Army Sergeants Major Academy
USC: U.S. Code
VTC: Video Teleconferencing
WARNO: Warning Order

SECTION II - TERMS

Army Profession: A vocation of Soldiers and Department of the Army Civilians whose collective expertise is the ethical design of, support to, and application of land-power; serving under civilian authority; and entrusted to defend the Constitution and the rights and interests of the American people.

Candor: Being frank, honest, and sincere with others. Carefully considered professional judgment offered to subordinates, peers, and superiors in an expression of personal courage. (ADP 6-22)

Certification: Verification and validation of an Army Professional's character, competence, and commitment to fulfill responsibilities and successfully perform assigned duty with discipline and to standard.

Character: Consists of their true nature guided by their conscience, which affects their moral attitudes and actions. (ADP 6-22)

Command: The authority that a commander in the armed forces lawfully exercises over subordinates by virtue of rank or assignment. Command includes the authority and responsibility for effectively using available resources and for planning the employment of, organizing, directing, coordinating, and controlling military forces for the accomplishment of assigned missions. It also includes responsibility for health, welfare, morale, and discipline of assigned personnel. (JP 1-02)

Command and Control: The exercise of authority and direction by a properly designated commander over assigned and attached forces in the accomplishment of the mission. (JP 1)

Commitment: Resolve to contribute honorable service to the Nation and accomplish the mission despite adversity, obstacles, and challenge.

Competence: Demonstrated ability to successfully perform duties with discipline and to standard. Leader development: Leader development is a deliberate, continuous, sequential, and progressive process grounded in the Army values. It grows Soldiers and Army Civilians into competent, confident leaders capable of directing teams and organizations. (AR 350-1)

Leadership: The activity of influencing people by providing purpose, direction, and motivation to accomplish the mission and improve the organization. (ADP 6-22)

Mentorship: The voluntary developmental relationship that exists between a person of greater experience and a person of lesser experience that is characterized by mutual trust and respect. (AR 600-100)

Mission Command: The Army's approach to command and control that empowers subordinate decision making and decentralized execution appropriate to the situation. (ADP 6-0)

Servant leadership: Serving others by working toward their development and well-being in order to meet goals for the common good. "Servant leaders seek to nurture their abilities to dream great dreams" (Spears, 2010, p. 28).

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ADP 1, *The Army*. 31 July 2019.

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Army Family Team Building (AFTB) at <https://www.myarmyonesource.com/familyprogramsandservices/familyprograms/armyfamilyteambuilding/default.aspx>

Army Safety Program at <https://safety.army.mil/>.

Army Substance Abuse Program (ASAP) / Substance Use Disorder Clinical Care (SUDCC) at https://home.army.mil/imcom/index.php/search?search_paths%5B5D=&query=sudcc&submit=%3E%3E.

Army World Class Athlete Program (WCAP) at <https://www.armywcap.com/>.

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